

# Multiplicity and symmetry of positive solutions to semi-linear elliptic problems with Neumann boundary conditions

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# The Lane-Emden problem

Let  $\Omega \subseteq \mathbb{R}^N$  be open and bounded,  $N \geq 2$ , and  $2 < p < 2^* := \frac{2N}{N-2}$ . We consider

$$(\mathcal{P}_p) \begin{cases} -\Delta u + u = |u|^{p-2}u, & \text{in } \Omega \\ \partial_\nu u = 0, & \text{on } \partial\Omega. \end{cases}$$

Solutions are **critical points** of the functional

$$\mathcal{E}_p : H^1(\Omega) \rightarrow \mathbb{R} : u \mapsto \frac{1}{2} \int_{\Omega} |\nabla u|^2 + u^2 - \frac{1}{p} \int_{\Omega} |u|^p$$

$$\partial \mathcal{E}_p(u) : H^1(\Omega) \rightarrow \mathbb{R} : v \mapsto \int_{\Omega} \nabla u \nabla v + uv - \int_{\Omega} |u|^{p-2} uv$$

*Notation:*  $1 = \lambda_1 < \lambda_2 < \dots$  denote the eigenvalues of  $-\Delta + 1$   
 $E_i$  denote the corresponding eigenspaces

*Remark:* 0 is always a (trivial) solution.

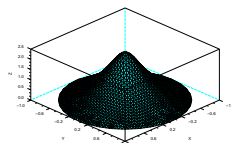
# Outline

- 1  $p \approx 2$ : ground state solutions
- 2  $p \approx 2$ : positive solutions
- 3  $p$  large: symmetry breaking of the ground state
- 4  $p$  large: bifurcations from 1
- 5  $p$  large: multiplicity results (radial domains)
- 6 Numerics

# Dirichlet boundary conditions

$$\begin{cases} -\Delta u + u = |u|^{p-2}u & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases}$$

- The ground state solution is positive and is even w.r.t. any hyperplane leaving  $\Omega$  invariant (when  $\Omega$  is convex). In particular, it is radially symmetric on a ball.
- Uniqueness of the positive solution when  $\Omega$  is a ball.
- If  $\Omega$  is strictly starshaped and  $p \geq 2^*$ , no solution exist.



# Existence of ground state solutions ( $p < 2^*$ )

## Theorem (Z. Nehari, A. Ambrosetti, P.H. Rabinowitz)

For any  $p \in ]2, 2^*[$ , there exists a ground state solution to  $(\mathcal{P}_p)$ . It is a one-signed function.

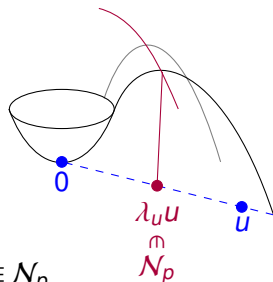
### Sketch of the proof.

- The functional  $\mathcal{E}_p$  possesses a mountain pass structure.

$$\begin{aligned} \blacksquare \exists u_0 \neq 0, \mathcal{E}_p(u_0) &= \inf_{u \neq 0} \max_{\lambda > 0} \mathcal{E}_p(\lambda u) \\ &= \inf_{u \in \mathcal{N}_p} \mathcal{E}_p(u) \end{aligned}$$

where  $\mathcal{N}_p$  is the Nehari manifold of  $\mathcal{E}_p$ .

- For any sign-changing solution  $u$ : if  $u^\pm \neq 0$ ,  $u^\pm \in \mathcal{N}_p$  and  $\mathcal{E}_p(u^\pm) < \mathcal{E}_p(u)$ , where  $u^\pm := \pm \max\{\pm u, 0\}$ .



## $p \approx 2$ : symmetry of ground state solutions

Theorem (D. Bonheure, V. Bouchez, C. Grumiau, C. T., J. Van Schaftingen, '08)

*For  $p$  close to 2 and any  $R \in O(N)$  s.t.  $R(\Omega) = \Omega$ , ground state solutions to  $(\mathcal{P}_p)$  are symmetric w.r.t.  $R$ .*

E.g. if  $\Omega$  is radially symmetric, so must the the ground state solution be.

Remark that the seminal method of moving planes is not applicable.

# Uniqueness of the positive solution

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*1 is the unique positive solution for  $p$  small.*

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Let  $v := P_{E_1} u_p$  (constant function) and  $w := P_{E_1^\perp} u_p$ .

$$\begin{aligned}
 \lambda_2 \int_{\Omega} w^2 &\leq \int_{\Omega} |\nabla w|^2 + w^2 \\
 &= \int_{\Omega} |u_p|^{p-1} w = \int_{\Omega} ((v+w)^{p-1} - v^{p-1}) w \\
 &= \int_{\Omega} (p-1)(v + \vartheta_p w)^{p-2} w^2 \quad (\vartheta_p \in ]0, 1[) \\
 &\leq (p-1)(|v| + \|w\|_{\infty})^{p-2} \int_{\Omega} w^2 \leq (p-1) K^{p-2} \int_{\Omega} w^2.
 \end{aligned}$$

As  $\lambda_1 = 1 < \lambda_2$ , for  $p \approx 2$ ,  $w = 0$  and then  $u_p = v = 1$ .



# A priori bounds for positive solutions

## Lemma

*Positive solutions  $(u_p)$  are bounded in  $L^\infty$  as  $p \approx 2$ .*

- Integration & Hölder:  $\int_{\Omega} u_p^{p-1} = \int_{\Omega} u_p \leq |\Omega|$  (recall  $u_p > 0$ ).
- Brezis-Strauss: from the bound on  $\int_{\Omega} u_p^{p-1}$ , we deduce a bound on  $\|u_p\|_{W^{1,q}(\Omega)}$ ,  $1 \leq q < N/(N-1)$ .
- Sobolev embedding:  $(u_p)$  bounded in  $L^r(\Omega)$ ,  $1 < r < N/(N-2)$ .
- Bootstrap:  $\|u_p\|_{W^{2,r}(\Omega)}$  is bounded for some  $r > N/2$  when  $p \approx 2$ .

# A priori bounds for positive solutions

## Proposition

Let  $2 < \bar{p} < 2^*$ . There exists  $C_{\bar{p}} > 0$  such that any positive solution to  $(\mathcal{P}_p)$  with  $2 < p \leq \bar{p}$  satisfies  $\max\{\|u\|_{H^1}, \|u\|_{L^\infty}\} \leq C_{\bar{p}}$ .

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It remains to obtain a bound for  $2 < \underline{p} < \bar{p} < 2^*$  in  $L^\infty$ . Blow up argument (Gidas-Spruck). Suppose on the contrary that there is a sequence  $(p_n) \subseteq [\underline{p}, \bar{p}]$  and  $(u_{p_n})$  s.t.

$$u_{p_n}(x_{p_n}) := \|u_{p_n}\|_{L^\infty} \rightarrow +\infty \quad \text{and} \quad p_n \rightarrow p^* \in [\underline{p}, \bar{p}].$$

(Drop index  $n$ .) Define

$$v_p(y) := \mu_p u_p(\mu_p^{(p-2)/2} y + x_p) \quad \text{where } \mu_p := 1/\|u_p\|_{L^\infty} \rightarrow 0.$$

Note:  $v_p(0) = \|v_p\|_{L^\infty} = 1$ .

# A priori bounds for positive solutions

The rescaled function  $v_p$  satisfies

$$-\Delta v_p + \mu_p^{p-2} v_p = v_p^{p-1} \quad \text{on } \Omega_p := (\Omega - x_p) / \mu_p^{(p-2)/2}$$

with NBC. By elliptic regularity,  $(v_p)$  is bounded in  $W^{2,r}$  and  $C^{1,\alpha}$ ,  $0 < \alpha < 1$  on any compact set. Thus, taking if necessary a subsequence,

$$v_n \rightarrow v^* \quad \text{in } W^{2,r} \text{ and } C^{1,\alpha} \text{ on compact sets of } \Omega^* = \mathbb{R}^N \text{ or } \mathbb{R}^{N-1} \times \mathbb{R}_{>a}.$$

One has  $v^* \geq 0$ ,  $v^*(0) = 1 = \|v\|_{L^\infty}$  and  $v^*$  satisfies

$$-\Delta v^* = (v^*)^{p^*-1} \quad \text{in } \mathbb{R}^N \quad \text{or} \quad \begin{cases} -\Delta v^* = (v^*)^{p^*-1} & \text{in } \mathbb{R}^{N-1} \times \mathbb{R}_{>a} \\ \partial_N v^* = 0 & \text{when } x_N = a \end{cases}$$

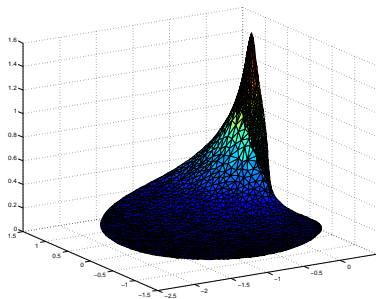
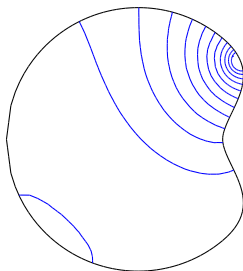
Liouville theorems imply  $v^* = 0$ .



# Symmetry breaking of the ground state

## Theorem (W.-M. Ni and I. Takagi, '93)

When  $R$  is sufficiently large, ground state solutions possess a unique maximum point  $P_R \in \partial(R\Omega)$ . Moreover,  $u_R \rightarrow 0$  outside a small neighborhood of  $P_R$ .  $P_R$  is situated at the “most curved” part of  $\partial(R\Omega)$ .



# $p$ large: symmetry breaking of the ground state

## Corollary

*1 cannot remain the ground state for all  $p$  on “large” domains.*

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### Lemma

*1 cannot remain the ground state solution for  $p > 1 + \lambda_2$ .*

**Proof.** The Morse index of 1 is the sum of the dimension of the eigenspaces corresponding to negative eigenvalues  $\lambda$  of

$$\begin{cases} -\Delta v + v = (p-1)v + \lambda v, & \text{in } \Omega, \\ \partial_\nu v = 0, & \text{on } \partial\Omega. \end{cases}$$

i.e. eigenvalues of  $-\Delta + 1$  less than  $p-1$ . When  $p > 1 + \lambda_2$ , the Morse index of the solution 1 is  $> 1$ .

## $p$ large: symmetry breaking of the ground state

### Proposition (Lopez, '96)

*On radial domains, the ground state is either constant or (e.g. when  $p > 1 + \lambda_2$ ) not radially symmetric.*



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### Proposition

*When  $\Omega$  is a ball or an annulus, the Morse index of a non-constant positive **radial** solution is at least  $N + 1$ .*

Based on: A. Aftalion, F. Pacella, Qualitative properties of nodal solutions of semilinear elliptic equations in radially symmetric domains, CRAS, 339(5), '04.

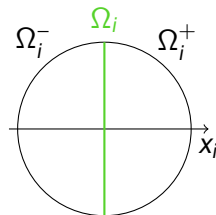
Let  $u$  be non-constant positive radial solution of  $(\mathcal{P}_p)$ . We have to show that

$$L\mathbf{v} := -\Delta \mathbf{v} + \mathbf{v} - (p-1)|u|^{p-2}\mathbf{v}$$

with NBC possesses  $N + 1$  negative eigenvalues.

## $p$ large: symmetry breaking of the ground state

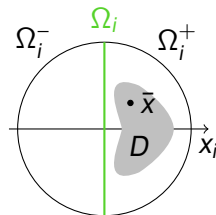
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Let  $\bar{x} \in \Omega_i^+$  s.t.  $\partial_{x_i} u(\bar{x}) \neq 0$ . Let  $D$  be the connected component of  $\{\partial_{x_i} u(\bar{x}) \neq 0\}$  containing  $\bar{x}$ .  $D \subseteq \Omega_i^+$ .

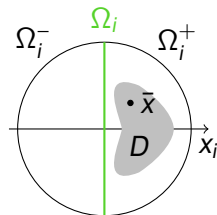


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$$L(\partial_{x_i} u) = 0, \quad \text{on } D; \quad \partial_{x_i} u = 0, \quad \text{on } \partial D.$$



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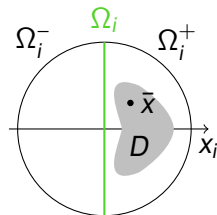
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$$\Rightarrow \lambda_1(L, D, \text{DBC}) = 0$$

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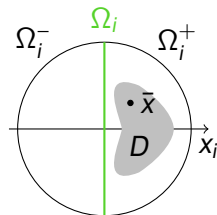
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$$\Rightarrow \mu_i := \lambda_1(L, \Omega_i^+, \text{DBC on } \Omega_i \text{ and NBC on } \partial\Omega_i^+ \setminus \Omega_i) < 0$$



$p$  large: symmetry breaking of the ground state

$$u \text{ radial} \Rightarrow \partial_{x_i} u = 0 \text{ on } \partial\Omega \text{ and on } \Omega_j.$$

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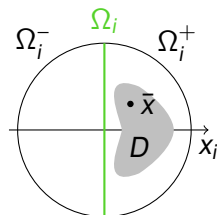
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If  $\psi_i > 0$  is the first eigenfunction of  $L$  on  $\Omega_i^+$  with DBC on  $\Omega_i$  and NBC on  $\partial\Omega_i^+ \setminus \Omega_i$ , its odd extension  $\psi_i^*$  to  $\Omega$  satisfies

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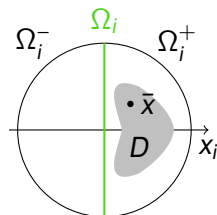
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All  $\psi_j^*, j \neq i$  vanish on the axis  $x_i \Rightarrow$  the family  $(\psi_j^*)_{j=1}^N$  is lin. indep.





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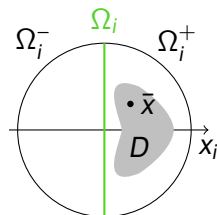
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None of the  $(\psi_j^*)_{j=1}^N$  is a first eigenfunction.



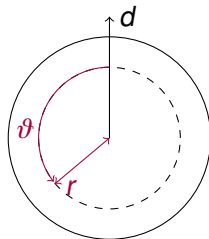
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### Theorem (Lopes, '96)

*On radial domains, ground state solutions are symmetric w.r.t. any hyperplane containing a line  $L$  passing through the origin.*

### Theorem (J. Van Schaftingen, '04)

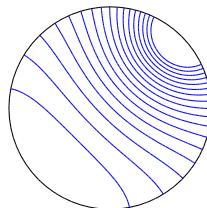
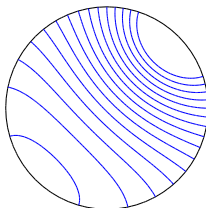
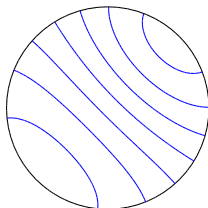
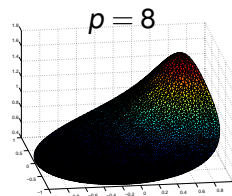
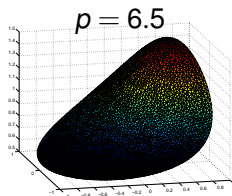
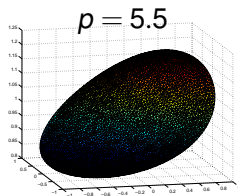
*On radial domains, ground state solutions are foliated Schwarz symmetric.*



There exists a unit vector  $d$  s.t.  $u$  depends only on  $r = |x|$  and  $\vartheta = \arccos(\frac{x}{|x|} \cdot d)$  and is non-increasing in  $\vartheta$ .

## $p$ large: non radially symmetric ground state

$$\Omega = B_1 \subseteq \mathbb{R}^2 \Rightarrow 1 + \lambda_2 \approx 5.39$$



## Symmetry breaking at exactly $p = 1 + \lambda_2$ ?

The linearisation of the equation around  $u = 1$ ,

$$Lv := -\Delta v + v - (p-1)v$$

is not invertible iff  $p = 1 + \lambda_i$ ,  $i \geq 2$ .

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is not invertible iff  $p = 1 + \lambda_i$ ,  $i \geq 2$ .

Eigenfunctions of  $-\Delta + 1$  with NBC have the form:

$$u(x) = r^{-\frac{N-2}{2}} J_\nu(\sqrt{\mu}r) P_k\left(\frac{x}{|x|}\right), \quad \text{where } \nu = k + \frac{N-2}{2},$$

$r = |x|$ , and  $P_k : \mathbb{R}^N \rightarrow \mathbb{R}$  is an harmonic homogenous polynomial of degree  $k$  for some  $k \in \mathbb{N}$ . To satisfy the boundary conditions:

$$\sqrt{\mu}R \text{ is a root of } z \mapsto (k - \nu)J_\nu(z) + z\partial J_\nu(z) = kJ_\nu(z) - zJ_{\nu+1}(z).$$

$$\Rightarrow \lambda_i = 1 + \mu$$

## Symmetry breaking at exactly $p = 1 + \lambda_2$ ?

In particular, a basis of  $E_2$  is

$$x \mapsto r^{-\frac{N-2}{2}} J_{N/2}(\sqrt{\mu}r) \frac{x_j}{|x|}, \quad j = 1, \dots, N.$$

There is single function (up to a multiple) that is invariant under rotation in  $(x_2, \dots, x_N)$ .

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## Theorem (Crandall-Rabinowitz)

Let  $X$  and  $Y$  two Banach spaces,  $u^* \in X$ , and a function  $F : \mathbb{R} \times X \rightarrow Y : (p, u) \mapsto F(p, u)$  such that  $\forall p \in \mathbb{R}, F(p, u^*) = 0$ . Let  $p^* \in \mathbb{R}$  be such that  $\ker(\partial_u F(p^*, u^*)) = \text{span}\{\varphi^*\}$  has a dimension 1 and  $\text{codim}(\text{Im}(\partial_u F(p^*, u^*))) = 1$ . Let  $\psi : Y \rightarrow \mathbb{R}$  be a continuous linear map such that  $\text{Im}(\partial_u F(p^*, u^*)) = \{y \in Y : \langle \psi, y \rangle = 0\}$ .

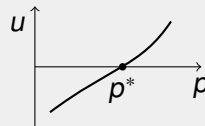
# Symmetry breaking at exactly $p = 1 + \lambda_2$

## Theorem (Crandall-Rabinowitz (cont'd))

If  $\mathbf{a} := \langle \psi, \partial_{p,u} F(p^*, u^*)[\varphi^*] \rangle \neq 0$ , then  $(p^*, u^*)$  is a bifurcation point for  $F$ . In addition, the set of non-trivial solutions of  $F = 0$  around  $(p^*, u^*)$  is given by a unique  $C^1$  curve  $p \mapsto u_p$ . The local behavior of the branch  $(p, u_p)$  for  $p$  close to  $p^*$  is as follows.

■ If  $\mathbf{b} := -\frac{1}{2a} \langle \psi, \partial_u^2 F(p^*, u^*)[\varphi^*, \varphi^*] \rangle \neq 0$  then the branch is transcritical and

$$u_p = u^* + \frac{p - p^*}{b} \varphi^* + o(p - p^*).$$





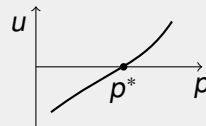
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$$u_p = u^* + \frac{p - p^*}{b} \varphi^* + o(p - p^*).$$



In our case,

$$a = - \int_{\Omega} \varphi_2^2 = -1 \quad \text{and} \quad b = -\frac{1}{2} \lambda_2 (\lambda_2 - 1) \int_{\Omega} \varphi_2^3 = 0.$$

# Symmetry breaking at exactly $p = 1 + \lambda_2$ ?

## Theorem (Crandall-Rabinowitz (cont'd))

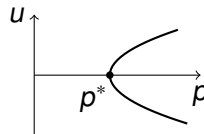
■ If  $b = 0$ , let us define

$$c := -\frac{1}{6a} \left( \langle \psi, \partial_u^3 F(p^*, u^*)[\varphi^*, \varphi^*, \varphi^*] \rangle + 3 \langle \psi, \partial_u^2 F(p^*, u^*)[\varphi^*, w] \rangle \right)$$

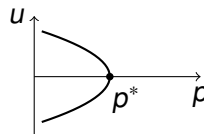
where  $w \in X$  is any solution of the equation  $\partial_u F(p^*, u^*)[w] = -\partial_u^2 F(p^*, u^*)[\varphi^*, \varphi^*]$ . If  $c \neq 0$  then

$$u_p = u^* \pm \left( \frac{p - p^*}{c} \right)^{1/2} \varphi^* + o(|p - p^*|^{1/2}).$$

In particular, the branch is supercritical if  $c > 0$  and subcritical if  $c < 0$ .



Supercritical



Subcritical

## Symmetry breaking at exactly $p = 1 + \lambda_2$ ?

In our case,

$$c = \frac{1}{6} \lambda_2 (\lambda_2 - 1) \left( -(\lambda_2 - 2) \int_{B_R} \varphi_2^4 - 3 \lambda_2 (\lambda_2 - 1) \int_{B_R} \varphi_2^2 w \right)$$

where  $(-\Delta + 1 - \lambda_2)w = \varphi_2^2$  with NBC on  $B_R$ .

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$$= \frac{1}{6} \bar{\mu}_2 R^{-(N+2)} \left( 1 + \frac{\bar{\mu}_2}{R^2} \right) \left( (\beta - \alpha) \frac{\bar{\mu}_2}{R^2} + \beta + \alpha \right)$$

where  $\alpha := \int_{B_1} \bar{\varphi}_2^4$ ,  $\beta := -3 \bar{\mu}_2 \int_{B_1} \bar{\varphi}_2^2 \bar{w}$ ,

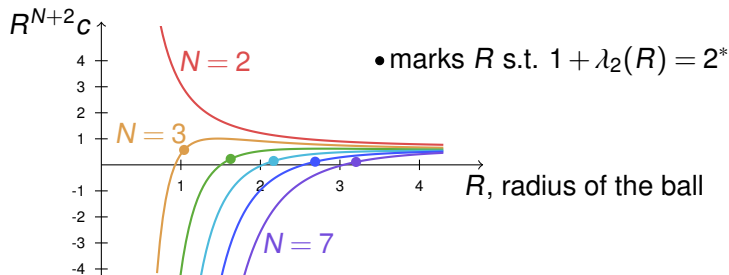
$(-\Delta - \bar{\mu}_2) \bar{w} = \bar{\varphi}_2^2$  with NBC on  $B_1$ ,

$\bar{\varphi}_2$  and  $\bar{\mu}_2 > 0$  are “the” second eigenfunction and eigenvalue of  $-\Delta$  with NBC on  $B_1$  s.t.  $|\bar{\varphi}_2|_{L^2} = 1$ .

# Symmetry breaking at exactly $p = 1 + \lambda_2$ ?

We numerically have

$N$	$\alpha$	$\beta$	$\beta - \alpha$	$\beta + \alpha$
2	0.5577	0.5884	0.0306	1.1461
3	0.4632	0.3096	-0.1536	0.7728
4	0.4222	0.1694	-0.2528	0.5916
5	0.4171	0.0858	-0.3313	0.5029
6	0.4421	0.0250	-0.4171	0.4671



# Symmetry breaking at exactly $p = 1 + \lambda_2$ ?

## Conjecture

Let  $p \in ]2, 2^*]$ . The constant function 1 is the ground state of

$$(\mathcal{P}_p) \begin{cases} -\Delta u + u = |u|^{p-2}u, & \text{in } B_R \\ \partial_\nu u = 0, & \text{on } \partial B_R. \end{cases}$$

iff  $p \leq 1 + \lambda_2(B_R)$ .

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## Conjecture

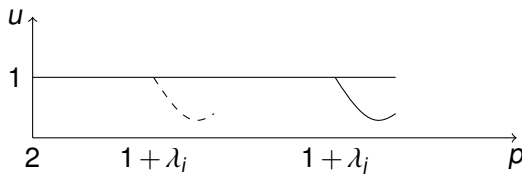
For  $p > 1 + \lambda_2$ , the ground state lives on the branch emanating from 1 at  $p = 1 + \lambda_2$ .

# $p$ large: bifurcations from 1

## Lemma

When  $p > 2$  is increasing,

- 1 a bifurcation **sequence** start from 1 **iff**  $p$  crosses  $1 + \lambda_i$ ;
- 2 this is actually a continuum if  $\lambda_i$  has **odd** multiplicity.



► Skip KMB theorem



# Krasnoselskii-Boehme-Marino theorem (1/2)

## Theorem (Krasnoselskii-Boehme-Marino)

Let  $F : I \times H \rightarrow K : (t, u) \mapsto F(t, u)$  be a continuous function, where  $I \subseteq \mathbb{R}$  is an interval, and  $H$  and  $K$  are Banach spaces, such that  $F(\lambda, 0) = 0$  for any  $\lambda \in I$ .

- If  $F$  is of class  $C^1$  in a neighborhood of  $(\lambda, 0)$  and  $(\lambda, 0)$  is a bifurcation point of  $F$  then  $\partial_u F(\lambda, 0)$  is not invertible.
- Let assume that for each  $(\lambda, u) \in I \times H$ ,

$$F(\lambda, u) = L(\lambda, u) - N(\lambda, u), \quad L(\lambda, \cdot) = \lambda \mathbb{1} - T \quad \text{and} \quad N(\lambda, u) = o(\|u\|),$$

with  $T$  linear,  $T$  and  $N$  compact, and the last equality being uniform on each compact set of  $\lambda$ .

If  $\lambda_*$  is an eigenvalue of  $T$  with **odd multiplicity**, then  $(\lambda_*, 0)$  is a global bifurcation point for  $F(t, u) = 0$ .

## Krasnoselskii-Boehme-Marino theorem (2/2)

### Theorem (Krasnoselskii-Boehme-Marino (cont'd))

- Let assume that  $H$  is a Hilbert space and that for each  $(\lambda, u) \in I \times \mathbb{R}$ ,  $F(\lambda, u) = \nabla_u h(\lambda, u)$  where

$$h(\lambda, u) = \frac{1}{2} \langle L(\lambda, u), u \rangle - g(\lambda, u),$$
$$L(\lambda, \cdot) = \lambda \mathbb{1} - T, \quad \text{and} \quad \nabla g(\lambda, u) = o(\|u\|),$$

with  $T$  linear and symmetric,  $g(\lambda, \cdot) \in C^2$  for all  $\lambda$ , and the last equality being uniform on each compact set of  $\lambda$ .

If  $\lambda_*$  is an eigenvalue of  $T$  with **finite multiplicity** and  $h(\lambda, \cdot)$  verifies the Palais-Smale condition for each  $\lambda$ , then  $(\lambda_*, 0)$  is a bifurcation point for  $F(t, u) = 0$ .

## $p$ large: transcritical radial bifurcations

$\lambda_{i,\text{rad}}$  eigenvalues that possess a radial eigenfunction (simple in  $H_{\text{rad}}^1$ ).

### Proposition

On balls, two branches radial solutions in  $C^{2,\alpha}(\Omega)$  of

$$(\mathcal{P}_p) \begin{cases} -\Delta u + u = |u|^{p-2}u, & \text{in } \Omega \\ \partial_\nu u = 0, & \text{on } \partial\Omega. \end{cases}$$

start from each  $(p, u) = (1 + \lambda_{i,\text{rad}}, 1)$ ,  $i > 1$ . Locally, these branches form a unique  $C^1$ -curve. Moreover, for  $i$  large enough independent of the measure of  $\Omega$ , the bifurcation is **transcritical**.



## $p$ large: transcritical radial bifurcations

Proof.  $\Omega = B_R$ . Using Crandall-Rabinowitz' theorem, one has to show

$$b = -\frac{1}{2}\lambda_i(\lambda_i - 1) \int_{B_R} \varphi_{i,\text{rad}}^3 \neq 0.$$

Given that radial eigenfunctions are given by constant spherical harmonics ( $k = 0$ ,  $\nu = (N-2)/2$ ), this amounts to

$$\int_0^R \left( r^{-\frac{N-2}{2}} J_\nu(r \sqrt{\bar{\mu}_{i,\text{rad}}}/R) \right)^3 r^{N-1} dr \neq 0 \quad \text{i.e.} \quad \int_0^{\sqrt{\bar{\mu}_{i,\text{rad}}}} t^{1-\nu} J_\nu^3(t) dt \neq 0$$

where  $\lambda_{i,\text{rad}} = 1 + \bar{\mu}_{i,\text{rad}}/R^2$ . This is true for large  $i$  because

$$\int_0^\infty t^{1-\nu} J_\nu^3(t) dt = \frac{2^{\nu-1} (3/16)^{\nu-1/2}}{\pi^{1/2} \Gamma(\nu + 1/2)} > 0.$$

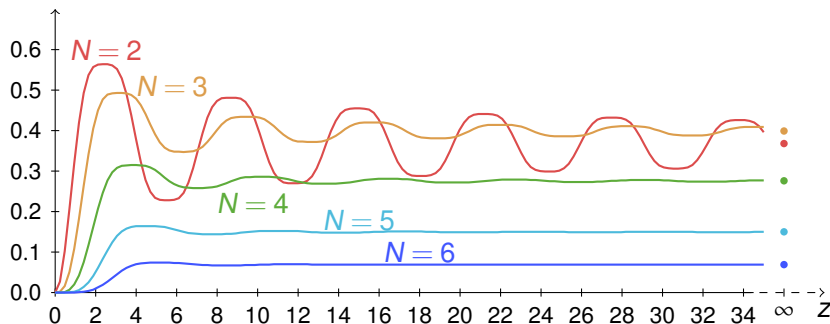
Thus  $b < 0$ .

## $p$ large: transcritical radial bifurcations

Numerical computations indicate that

$$\forall z \in ]0, +\infty[, \quad \int_0^z t^{1-\nu} J_\nu^3(t) dt > 0, \quad \nu = (N-2)/2,$$

and therefore that radial bifurcations are **transcritical for all  $i$** .

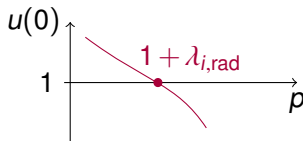


# Shape of transcritical radial bifurcations

$$u_p = 1 + \frac{p - (1 + \lambda_i)}{b} \varphi_i + o(p - (1 + \lambda_i))$$

where  $\varphi_i(x) = |x|^{-\nu} J_\nu(\sqrt{\lambda_{i,\text{rad}} - 1} |x|)$ . Thus

- $u_p(0) > 1$  if  $p < 1 + \lambda_i$
- $u_p(0) < 1$  if  $p > 1 + \lambda_i$



These facts remain true along the whole branches.

## $p$ large: positive transcritical radial bifurcations

### Corollary

*The branches consist of **positive** functions.*

SKETCH: If it was not the case, there would be a point solution along the branch with a double root, hence  $= 0$ . There is no bifurcation from 0. □

## $p$ large: positive transcritical radial bifurcations

### Corollary

The branches consist of *positive* functions.

SKETCH: If it was not the case, there would be a point solution along the branch with a double root, hence  $= 0$ . There is no bifurcation from 0.  $\square$

### Theorem

*Radial bifurcations obtained for the  $C^{2,\alpha}(\Omega)$ -norm are unbounded and do not intersect each other. Moreover, along bifurcations starting from  $(1 + \lambda_{i,rad}, 1)$ , the solutions always possess the same number of intersections with 1.*

SKETCH: The number of crossings with 1 stays constant because otherwise a non-constant radial solution  $u$  s.t.  $u - 1$  has a double root would exist. Since the branches do not intersect each other, Rabinowitz's principle says they must be unbounded.



# $p$ large: multiplicity results (radial domains)

## Theorem

Assume  $\Omega$  is a ball.

- In dimension 2, for any  $n \in \mathbb{N}_0$ , there exists  $p_n > 2$  such that, for any  $p > p_n$ , at least  $n$  **positive** solutions exist
- In dimension  $\geq 3$ , for any  $2 < p < 2^*$  and  $n \in \mathbb{N}_0$ , at least  $n$  different **positive** solutions exist if the measure of the ball  $\Omega$  is large enough.

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### Conjecture

$$p_n = 1 + \lambda_{n,rad}.$$

The conjecture is proved as soon as  $\forall z \in ]0, +\infty[, \int_0^z t^{1-\nu} J_\nu^3(t) dt > 0$ , with  $\nu \in \frac{1}{2}\mathbb{N}$ , is established.

## $p$ large: degeneracy results (radial domains)

### Theorem

*On balls, there exists a **degenerate positive** radial solution for some  $p$  provided that the measure of  $\Omega$  is large enough.*

$$p \geq 2^*$$

## Theorem (X-J. Wang, '91)

*When  $p = 2^*$  and  $R$  is large enough,  $(\mathcal{P}_p)$  possesses at least one non-constant positive solution.*

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*When  $p = 2^*$  and  $R$  is large enough,  $(\mathcal{P}_p)$  possesses at least one non-constant positive solution.*

### Theorem (E. Serra & P. Tilli, '11)

*Assume  $a \in L^1(]0, R[)$  is increasing, not constant and satisfies  $a > 0$  in  $]0, R[$ , then for any  $p \in ]2, +\infty[$ ,  $-\Delta u + u = a(|x|)|u|^{p-2}u$  with NBC possesses a positive radially increasing solution.*

Trick: work on the space of radially increasing functions.

$$p \geq 2^*$$

## Proposition

*Assume  $\Omega$  is a ball of radius  $R$ . If  $u$  is a radial solution of  $(\mathcal{P}_p)$  such that  $u(0) < 1$ , then  $\|u\|_{L^\infty} \leq \exp(1/2)$ .*

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PROOF. In radial coordinates, the equation writes

$$-u'' - \frac{N-1}{r}u' + u = u^{p-1}.$$

Multiplying by  $u'$ , we get

$$\frac{d}{dr}h(r) = -\frac{N-1}{r}u'^2(r) \leq 0,$$

where

$$h(r) := \frac{u'^2(r)}{2} + \frac{u^p(r)}{p} - \frac{u^2(r)}{2}.$$

In particular, this means that  $h(r) \leq h(0)$  for any  $r$ .

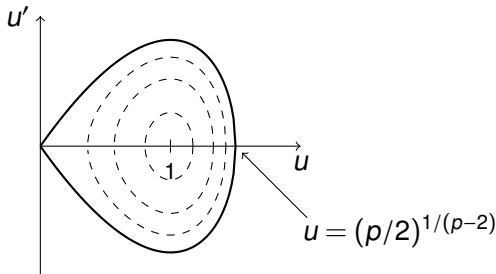
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PROOF (CONT'D). The assumption  $u(0) < 1$  implies

$$h(0) = \frac{u^p(0)}{p} - \frac{u^2(0)}{2} = u^2(0) \left( \frac{u^{p-2}(0)}{p} - \frac{1}{2} \right) \leq 0.$$

Thus

$$\|u\|_{L^\infty} \leq \left( \frac{p}{2} \right)^{1/(p-2)} \leq \exp(1/2).$$





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## Theorem

Assume  $\Omega$  is a ball. Then, for any  $n \in \mathbb{N}_0$ , there exists  $p_n$  s.t., for any  $p \in [p_n, +\infty[$ ,  $(\mathcal{P}_p)$  has at least  $n$  **positive** radially symmetric solutions.

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SKETCH: As we saw, radial bifurcations are transcritical and along the right branch (starting with  $p > 1 + \lambda_{i,\text{rad}}$ )  $u_p(0) < 1$ . Thus all  $u$  belonging to that branch must satisfy  $\|u\|_{L^\infty} \leq \exp(1/2)$ . Since 1 is the only solution for  $p \approx 2$ , the branch must exist for all  $p$  large. □

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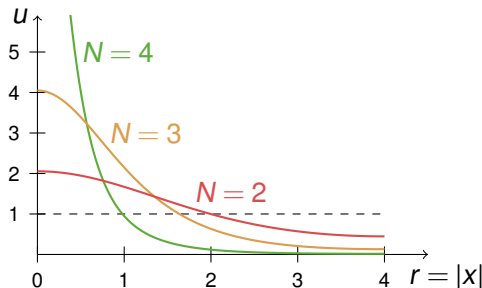
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## Conjecture

$$p_n = 1 + \lambda_{n,\text{rad}}.$$

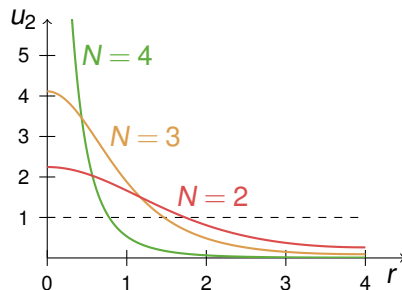
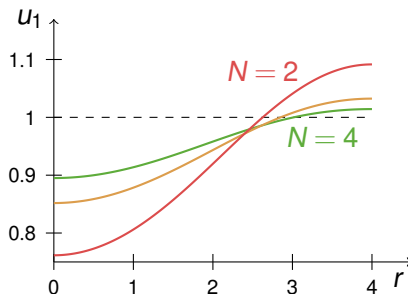
# Radial ground state for $p = 0.95 + \lambda_{2,\text{rad}}$ on $B_4$

Using the Mountain Pass Algorithm:



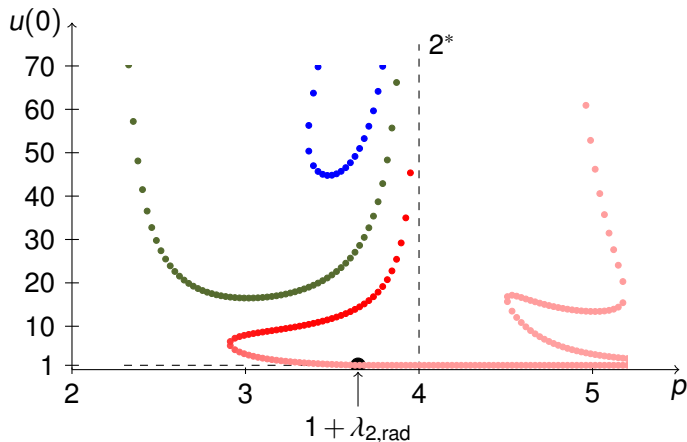
$N$	$R$	$1 + \lambda_{2,\text{rad}}$	$\mathcal{E}(1)$	$\min u$	$\max u$	$\mathcal{E}(u)$
2	4	2.92	7.60	0.447	2.05	7.45
3	4	3.26	50.58	0.130	4.05	34.85
4	4	3.65	280.58	0.016	13.31	66.39

# Radial ground state for $p = 1.1 + \lambda_{2,\text{rad}}$ on $B_4$

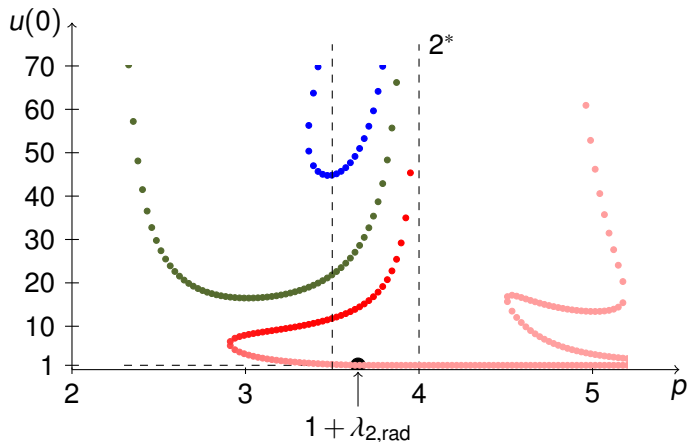


$N$	$1 + \lambda_{2,\text{rad}}$	$\mathcal{E}(1)$	$\min u_1$	$\max u_1$	$\mathcal{E}(u_1)$	$\min u_2$	$\max u_2$	$\mathcal{E}(u_2)$
2	2.92	8.48	0.76	1.09	8.47	0.261	2.25	7.39
3	3.26	54.30	0.85	1.03	54.29	0.092	4.12	30.74
4	3.65	294.63	0.90	1.01	294.62	0.008	17.25	49.61

# Bifurcation diagram $N = 4, R = 4$

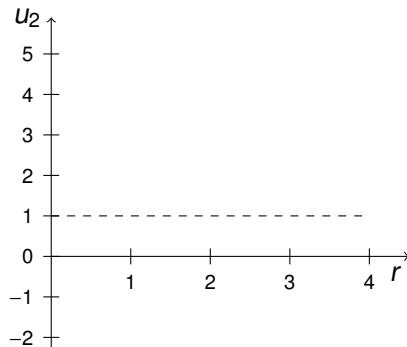


# Bifurcation diagram $N = 4, R = 4$



## Bifurcation diagram $N = 4, R = 4$ (cont'd)

Shape of the solutions for  $p = 3.5$ .

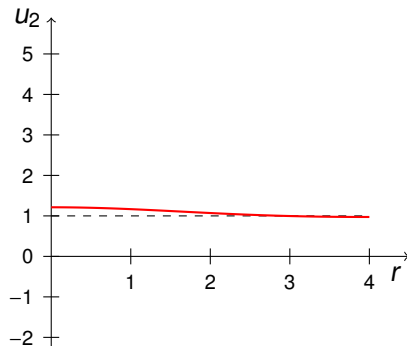


$$\mathcal{E}(1) = 270.709$$



## Bifurcation diagram $N = 4, R = 4$ (cont'd)

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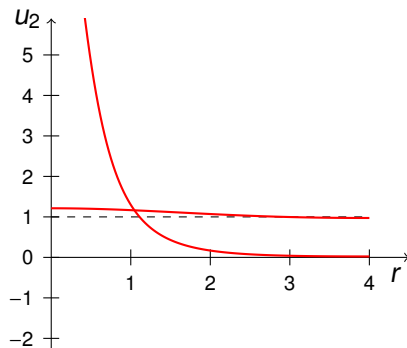


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$$u(0) \approx 1.213 \Rightarrow \mathcal{E} = 270.753$$

## Bifurcation diagram $N = 4, R = 4$ (cont'd)

Shape of the solutions for  $p = 3.5$ .



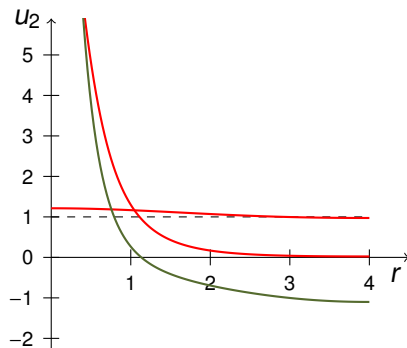
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$$u(0) \approx 1.213 \Rightarrow \mathcal{E} = 270.753$$

$$u(0) \approx 11.803 \Rightarrow \mathcal{E} = 79.730$$

## Bifurcation diagram $N = 4, R = 4$ (cont'd)

Shape of the solutions for  $p = 3.5$ .



$$\mathcal{E}(1) = 270.709$$

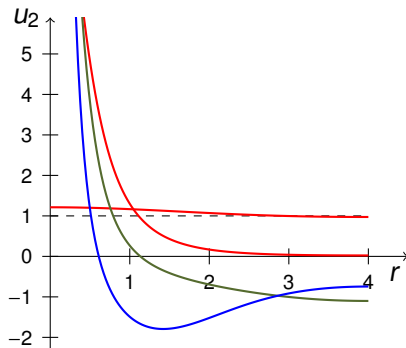
$$u(0) \approx 1.213 \Rightarrow \mathcal{E} = 270.753$$

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$$u(0) \approx 21.887 \Rightarrow \mathcal{E} = 390.387$$

# Bifurcation diagram $N = 4, R = 4$ (cont'd)

Shape of the solutions for  $p = 3.5$ .



$$\mathcal{E}(1) = 270.709$$

$$u(0) \approx 1.213 \Rightarrow \mathcal{E} = 270.753$$

$$u(0) \approx 11.803 \Rightarrow \mathcal{E} = 79.730$$

$$u(0) \approx 21.887 \Rightarrow \mathcal{E} = 390.387$$

$$u(0) \approx 44.830 \Rightarrow \mathcal{E} = 436.267$$

Thank you for your attention.