

# *DUX4*, a Candidate Gene for Facioscapulohumeral Muscular Dystrophy, Causes p53-Dependent Myopathy In Vivo

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**Objective:** Facioscapulohumeral muscular dystrophy (FSHD) is associated with D4Z4 repeat contraction on human chromosome 4q35. This genetic lesion does not result in complete loss or mutation of any gene. Consequently, the pathogenic mechanisms underlying FSHD have been difficult to discern. In leading FSHD pathogenesis models, D4Z4 contractions are proposed to cause epigenetic changes, which ultimately increase expression of genes with myopathic potential. Although no gene has been conclusively linked to FSHD development, recent evidence supports a role for the D4Z4-encoded *DUX4* gene in FSHD. In this study, our objective was to test the in vivo myopathic potential of *DUX4*.

**Methods:** We delivered *DUX4* to zebrafish and mouse muscle by transposon-mediated transgenesis and adeno-associated viral vectors, respectively.

**Results:** Overexpression of *DUX4*, which encodes a transcription factor, caused abnormalities associated with muscular dystrophy in zebrafish and mice. This toxicity required DNA binding, because a *DUX4* DNA binding domain mutant produced no abnormalities. Importantly, we found the myopathic effects of *DUX4* were p53 dependent, as p53 inhibition mitigated *DUX4* toxicity in vitro, and muscles from p53 null mice were resistant to *DUX4*-induced damage.

**Interpretation:** Our work demonstrates the myopathic potential of *DUX4* in animal muscle. Considering previous studies showed *DUX4* was elevated in FSHD patient muscles, our data support the hypothesis that *DUX4* overexpression contributes to FSHD development. Moreover, we provide a p53-dependent mechanism for *DUX4* toxicity that is consistent with previous studies showing p53 pathway activation in FSHD muscles. Our work justifies further investigation of *DUX4* and the p53 pathway in FSHD pathogenesis.

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Facioscapulohumeral muscular dystrophy (FSHD) is a complex autosomal dominant disorder characterized by progressive and asymmetric weakness of facial, shoulder, and limb muscles.<sup>1</sup> Symptoms typically arise in adulthood with most patients showing clinical features before age 30 years. About 5% develop symptoms as infants or juveniles and are generally more severely affected.<sup>2,3</sup> Clinical presentation can vary from mild (some limited muscle weakness) to severe (wheelchair de-

pendence). Historically, FSHD was classified as the third most common muscular dystrophy, affecting 1 in 20,000 individuals worldwide.<sup>1</sup> However, recent data indicate that FSHD is the most prevalent muscular dystrophy in Europe, suggesting that its worldwide incidence may be underestimated.<sup>2</sup>

Typical FSHD cases (FSHD1A; heretofore referred to as FSHD) are linked to heterozygous chromosomal deletions that decrease the copy number of 3.3 kilobase

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(kb) D4Z4 repeats on human chromosome 4q35.<sup>4,5</sup> Simplistically, normal individuals have 11 to 100 tandem repeated D4Z4 copies on both 4q35 alleles, whereas patients with FSHD have 1 normal and 1 contracted allele containing 1 to 10 repeats.<sup>4</sup> In addition, FSHD-associated D4Z4 contractions must occur on specific disease-permissive chromosome 4q35 backgrounds.<sup>6–9</sup> Importantly, no genes are completely lost or structurally mutated as a result of FSHD-associated deletions. Thus, although the disease was formally classified in 1954,<sup>1</sup> and the primary genetic defect identified in 1992,<sup>5</sup> the pathogenic mechanisms underlying FSHD remain unresolved.

In leading FSHD pathogenesis models, D4Z4 contractions are proposed to cause epigenetic changes that permit expression of genes with myopathic potential.<sup>10</sup> As a result, aberrant overexpression of otherwise silent or near-silent genes may ultimately cause muscular dystrophy. This model is consistent with data showing that normal 4q35 D4Z4 repeats have heterochromatin characteristics, whereas FSHD-linked D4Z4 repeats contain marks more indicative of actively transcribed euchromatin.<sup>5,11–16</sup> These transcription-permissive epigenetic changes, coupled with the observation that complete monosomic D4Z4 deletions (ie, zero repeats) do not cause FSHD,<sup>17</sup> support the hypothesis that D4Z4 repeats harbor potentially myopathic open reading frames (ORFs), which are abnormally expressed in FSHD muscles. This notion was initially considered in 1994, when a D4Z4-localized ORF, called *DUX4*, was first identified.<sup>12,14</sup> However, the locus had some characteristics of an unexpressed pseudogene, and *DUX4* was therefore summarily dismissed as an FSHD candidate. For many years thereafter, the search for FSHD-related genes was mainly focused outside the D4Z4 repeats, and although some intriguing candidates emerged from these studies, no single gene has been conclusively linked to FSHD development.<sup>18–30</sup> This slow progress led to the re-emergence of *DUX4* as an FSHD candidate in 2007, and several recent findings support its potential role in FSHD pathogenesis.<sup>20,24,25,29,31,32</sup>

First, D4Z4 repeats are not pseudogenes. The *DUX4* locus produces 1.7kb and 2.0kb full-length mRNAs with identical coding regions, and D4Z4 repeats also harbor smaller sense and antisense transcripts, including some resembling microRNAs.<sup>24,25,29</sup> Importantly, overexpressed *DUX4* transcripts and a ~50kDa full-length *DUX4* protein were found in biopsies and cell lines from FSHD patients.<sup>19,20,24,25,29,33</sup> These data are consistent with the transcriptional de-repression model of FSHD pathogenesis. In addition, unlike pseu-

dogenes, D4Z4 repeats and *DUX4* likely have functional importance, because tandem arrayed D4Z4 repeats are conserved in at least 11 different placental mammalian species (nonplacental animals lack D4Z4 repeats), with the greatest sequence conservation occurring within the *DUX4* ORF.<sup>19</sup> Second, overexpressed *DUX4* is toxic to tissue culture cells and embryonic progenitors of developing lower organisms in vivo.<sup>25,29,31,32</sup> This toxicity occurs at least partly through a proapoptotic mechanism, indicated by caspase-3 activation in *DUX4* transfected cells, and presence of terminal deoxynucleotide transferase-mediated deoxyuridine triphosphate nick-end labeling (TUNEL)-positive nuclei in developmentally arrested *Xenopus* embryos injected with *DUX4* mRNA at the 2-cell stage.<sup>25,31,32</sup> These findings are consistent with studies showing that some proapoptotic proteins, including caspase-3, are present in FSHD patient muscles.<sup>26,34</sup> In addition to stimulating apoptosis, *DUX4* may negatively regulate myogenesis. Human *DUX4* inhibited differentiation of mouse C2C12 myoblasts in vitro, potentially by interfering with PAX3 and/or PAX7, and caused developmental arrest and reduced staining of some muscle markers when delivered to progenitor cells of zebrafish or *Xenopus* embryos.<sup>25,29,31,32</sup> Finally, aberrant *DUX4* function was directly associated with potentially important molecular changes seen in FSHD patient muscles. Specifically, full-length human *DUX4* encodes a ~50kDa double homeodomain transcription factor, and its only known target, *PITX1*, was elevated in *DUX4*-overexpressing FSHD patient muscles.<sup>20,24,35</sup> These data support the hypothesis that *DUX4* catalyzes numerous downstream molecular changes, which are incompatible with maintaining normal muscle integrity.

In summary, recent studies implicated *DUX4* as a leading FSHD candidate gene that is overexpressed in FSHD tissue, and generally toxic to tissue culture cells and embryonic progenitors of nonmammalian organisms, possibly through activation of downstream genes involved in apoptosis. However, the in vivo myopathic potential of *DUX4* in adult placental mammalian muscle, which most closely resembles the human FSHD condition, has not been tested. Here, we demonstrate the in vivo myopathic potential of *DUX4*, using zebrafish and mice. We present evidence that *DUX4* overexpression causes histological and functional features consistent with muscular dystrophy. Importantly, we show that *DUX4*-mediated toxicity requires *DUX4* DNA binding and activation of p53-dependent apoptosis. Our comprehensive in vivo data are consistent with the hypothesis that *DUX4* overexpression contributes to FSHD.

## Subjects and Methods

### *DUX4* Epitope Tagging and Adeno-Associated Virus Production

Details are described in Supplementary Methods.

### Western Blot

Western blots were performed using standard procedures, detailed in Supplementary Methods.

### Cell Death Assay

Caspase 3/7 activity was measured using the Apo-ONE Homogeneous caspase-3/7 Assay (Promega, Madison, WI). HEK293 cells (60,000 cells/well) were transfected as described in the text and plated simultaneously on 96-well plates. Where indicated, Bax channel blocker, caspase-1 inhibitor VI, or the p53-inhibitor pifithrin- $\alpha$ , (all from Calbiochem, San Diego, CA) were immediately added to media at 5  $\mu$ M, 160  $\mu$ M, and 100  $\mu$ M concentrations, respectively, and media were changed 4 hours later. Caspase 3/7 activity was measured 48 hours post-transfection using a fluorescent plate reader (Spectra max M2, Molecular Devices, Sunnyvale, CA). Individual assays were performed in triplicate ( $n = 6$  and  $n = 3$  for noninhibitor and inhibitor studies, respectively), and data were reported as mean caspase activity relative to the pCINeo control.

### Zebrafish Transgenesis and Histology

Tol2 fish expression plasmids and transposase RNA were injected into 1-cell stage zebrafish embryos as previously described.<sup>36</sup> Clonal lines were not generated, and all phenotypes were quantified from individually injected animals. Body morphology phenotypes were assessed by microscopy, and embryos were fixed in 4% paraformaldehyde/phosphate-buffered saline overnight at 4°C and paraffin-embedded. Five-micrometer sections were deparaffinized and rehydrated before hematoxylin and eosin (H&E) staining. For body morphology counts,  $n = 53$  *hrGFP*- and 49 *DUX4*-injected embryos. For tissue sectioning,  $n = 5$  representative animals per group.

### Adeno-Associated Virus Injections

Six- to 8-week-old C57BL/6 females and 8- to 12-month-old *Trp53*<sup>-/-</sup> males and females received  $8 \times 10^8$  or  $3 \times 10^{10}$  DRP units of adeno-associated virus (AAV) 6 bilaterally via direct 30 microliter intramuscular (IM) injection into the tibialis anterior (TA). In vivo transduction was determined in AAV.CMV.hrGFP injected using a fluorescent dissecting microscope (MZ16FA, Leica, Wetzlar, Germany) at  $\times 4.63$  magnification.

### Grip Strength Testing

Muscle grip strength was assessed weekly as indicated (Columbus Instruments, Columbus, OH). Three separate trials were recorded per limb group, and force measurements were averaged ( $n = 5$  animals per group). Data are reported as mean hindlimb:forelimb ratios  $\pm$  standard error of the mean (SEM).

### Histological Analysis

TA muscles were dissected from IM injected mice at indicated times postinjection for histological analysis ( $n = 6$  muscles per group each time point at  $8 \times 10^8$  DNase resistant particles (DRP) units). Ten-micrometer cryosections were generated and H&E stained as previously described.<sup>37</sup> Fiber diameter and central nuclei quantifications ( $\pm$ SEM) were determined from muscles injected 2 and 4 weeks prior ( $n = 5$  muscles per group; 5 representative  $\times 20$  photomicrographs per section), using AxioVision 4.7 software (Zeiss, Thornwood, NY). Fiber size distribution histograms represent percentage of total fibers analyzed.

### Immunohistochemistry

Immunofluorescence staining of cryosections was performed using standard protocols, detailed in Supplementary Methods. *DUX4* or caspase-3 were detected by indirect immunofluorescence using rabbit polyclonal anti-V5 (1:2,500; Chemicon, Temecula, CA) or caspase-3 (1:1,000; Abnova, Taipei, Taiwan) primary antibodies and Alexa-594 or -488 coupled goat anti-rabbit immunoglobulin G (IgG) secondary antibodies (1:1,000; Molecular Probes, Eugene, OR). Alternatively, V5 antibody (Chemicon) or caspase-3 antibodies (Cell Signaling Technology, Beverly, MA) were directly labeled using the Zenon Rabbit IgG Labeling Kit (Molecular Probes) following manufacturer's instructions. Apoptotic nuclei were detected by TUNEL assay (In Situ Cell Death Detection Kit, Fluorescein; Roche, Indianapolis, IN) following manufacturer's instructions. All slides were mounted in Vectashield (Vector Laboratories, Burlingame, CA) plus DAPI.

### Real-Time Polymerase Chain Reaction Array

RT<sup>2</sup>Profiler Mouse Apoptosis PCR Arrays (SABiosciences, Frederick, MD) were performed and analyzed following manufacturer's instructions. Details are provided in Supplementary Methods.

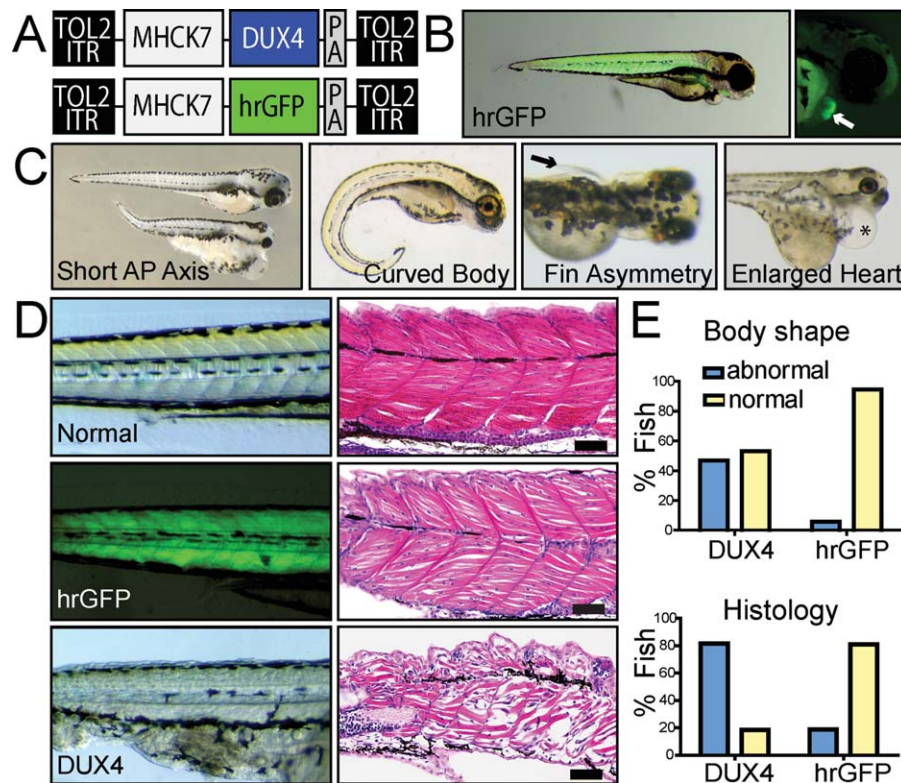
### Statistical Analysis

All statistical analyses were performed in GraphPad Prism 5 (GraphPad Software, La Jolla, CA) using indicated statistical tests.

## Results

### Epitope-Tagged *DUX4* Causes Apoptosis In Vitro

We hypothesized that *DUX4* overexpression is an underlying pathogenic insult in FSHD. In this study, our ultimate goal was to examine the in vivo effects of *DUX4* overexpression in adult mouse muscle. As an initial step, we developed *DUX4* expression vectors, and validated their protein expression and cytotoxic potential in vitro. To simplify *DUX4* protein detection, we first added a C-terminal V5-epitope tag to normal human *DUX4* cDNA, and then confirmed that the V5 tag did not impact *DUX4* expression and proapoptotic function in



**FIGURE 1:** *DUX4* overexpression is detrimental to developing zebrafish muscle. (A) Tol2 zebrafish expression constructs contained striated muscle-specific MHCK7 promoter-driven *DUX4* or *hrGFP*. ITR = inverted terminal repeat from Tol2 transposon; PA = SV40 polyA signal. (B) *hrGFP* epifluorescence showed MHCK7 activity in zebrafish muscle, which turned on 3 days postinjection. This lag in MHCK7 promoter expression allowed culling of abnormal embryos arising from nonspecific plasmid toxicity within the first 2 days postinjection. (C) MHCK7.*DUX4* caused body malformation defects including short anterior-posterior (AP) axes, curved bodies, asymmetrically undeveloped pectoral fins (arrow indicates fin), or combinations of these morphologies. Some fish also showed cardiac hypertrophy (asterisk indicates heart) due to MHCK7-mediated *DUX4* expression in the myocardium. (D) Hematoxylin and eosin staining of zebrafish body muscle shows MHCK7.*DUX4* zebrafish had undefined somite boundaries, absent sarcomeric banding, and myofiber disorganization/degeneration. In contrast, MHCK7.*hrGFP* had no significant impact on gross body formation or somite/myofiber organization compared to normal zebrafish embryos. The only abnormal phenotypes seen in *hrGFP* fish were short AP axes, whereas undeveloped pectoral fins and abnormal body shapes were never present. Scale bars = 50mm. (E) Quantification of abnormal muscle phenotypes in zebrafish pictured in C–D. All *DUX4*-injected fish with abnormal body morphology also showed histological defects.

tissue culture (Supplementary Fig 1). All references to *DUX4* in experiments hereafter refer to *DUX4* with a C-terminal V5 tag.

### ***DUX4* Is Detrimental to Developing Zebrafish Muscle**

We next evaluated the *in vivo* effects of *DUX4* overexpression in animal muscle. We initially screened for *DUX4* myotoxicity in zebrafish, because they are rapidly produced and were previously used to investigate the pathogenesis of other human muscular dystrophies.<sup>38</sup>

We used the Tol2 transposon system and the MHCK7 promoter to generate transgenic zebrafish with striated muscle-specific *hrGFP* or *DUX4* expression (Fig 1).<sup>36</sup> The MHCK7 promoter turned on 3 days postinjection, and consistent with previous reports in mice, was active in zebrafish skeletal muscle and heart.<sup>39</sup> By

4 days, 47% of MHCK7.*DUX4*-injected embryos had gross body malformations, and 81% had strikingly abnormal muscle histology, whereas most MHCK7.*hrGFP*-injected fish were normal (81% histology; 93% body shape). The abnormalities in MHCK7.*DUX4*-injected fish were consistent with those reported in other zebrafish models of muscular dystrophy.<sup>38</sup> In addition, misexpression of *DUX4* in the heart caused cardiac hypertrophy in some fish, whereas *hrGFP* did not. Although the expression patterns of *DUX4* are unknown, cardiac defects are not normally seen in patients with FSHD, and the heart abnormalities in fish were likely artifacts of MHCK7-directed cardiac *DUX4* expression. Nevertheless, these data demonstrated that striated muscles in general are susceptible to *DUX4*-induced myotoxicity. Importantly, in contrast to previous studies showing that ubiquitous *DUX4* expression in



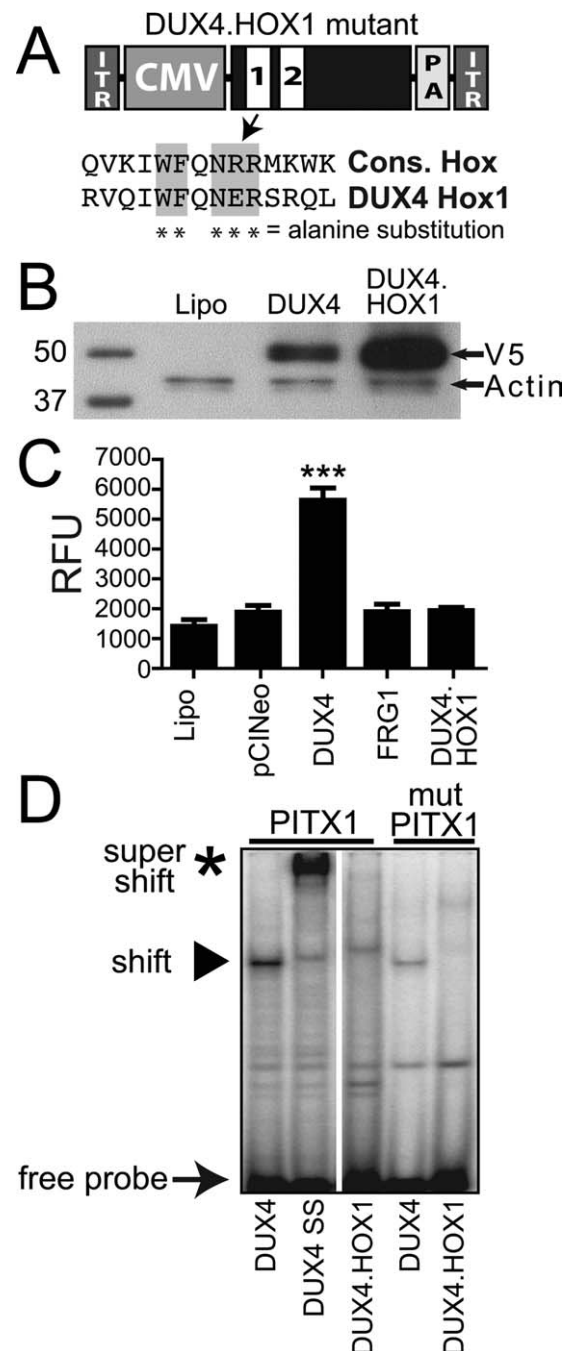
developing lower eukaryotes caused embryonic arrest, our data demonstrate that embryos expressing *DUX4* specifically in muscle are viable.

### ***DUX4* Toxicity Requires DNA Binding In Vitro**

We hypothesized that *DUX4*-mediated apoptosis in vitro (see Supplementary Fig 1) and myotoxicity in developing vertebrate muscle (see Fig 1) were directly related to its ability, as a transcription factor, to bind promoter DNA and stimulate transcription of downstream genes. However, because in vivo overexpression of otherwise inert proteins can sometimes be toxic to striated muscle,<sup>40</sup> we also considered the possibility that *DUX4*-induced abnormalities were artifacts of nonspecific overexpression unrelated to its transcriptional activity. To rule out the latter, we generated a mutant *DUX4* construct containing alanine substitution mutations in the first *DUX4* DNA binding domain, to produce a structurally intact but functionally deficient protein (*DUX4*.-HOX1; Fig 2A). Transfection of a CMV.*DUX4*.HOX1 expression plasmid into HEK293 cells produced a *DUX4*.HOX1 protein migrating at the same apparent molecular weight (~50kDa) as normal *DUX4*, but with consistently higher expression levels (see Fig 2B). Importantly,

unlike *DUX4*, the *DUX4*.HOX1 mutant did not cause apoptosis in vitro and showed reduced binding to a *DUX4*-binding site in the *PITX1* gene (see Fig 2C, D). These results suggested that *DUX4* DNA binding was required to elicit proapoptotic effects in vitro, and that *DUX4*-mediated cytotoxicity required a specific transcriptional function of *DUX4*. We used the *DUX4*.HOX1 mutant as a control in subsequent in vivo experiments.

**FIGURE 2: *DUX4* toxicity requires DNA binding.** (A) Structure of *DUX4* adeno-associated virus (AAV) expression construct. White boxes indicate homeodomains (labeled 1 and 2). ITR = AAV inverted terminal repeats; CMV = cytomegalovirus promoter; PA = SV40 polyA signal. Alignment with a consensus homeodomain (Cons. Hox) identified 5 important residues required for DNA binding. \*Indicates residues mutated to alanines in *DUX4*.HOX1 DNA binding mutant. (B) Western blot using extracts from transfected HEK293 cells showed *DUX4*.HOX1 protein was expressed at expected molecular weight (~50kDa) and consistently produced at higher levels than normal *DUX4* in vitro. Lipo = HEK293 cells transfected with Lipofectamine-2000™ (Invitrogen, Carlsbad, CA) but no DNA. (C) Unlike *DUX4*, the *DUX4*.HOX1 mutant did not cause apoptosis in vitro, as indicated by lack of caspase-3/7 activation following transfection into HEK293 cells. \*\*\*Indicates significant differences from Lipofectamine controls,  $p < 0.0001$  (analysis of variance;  $n = 3$  independent experiments performed in triplicate). RFU = relative fluorescent units from caspase-3/7 assay. (D) Electrophoretic mobility shift assay. Lanes 1 and 2 (*DUX4* and *DUX4* SS, respectively) show a shifted and super-shifted oligonucleotide (oligo) corresponding to the *DUX4* binding site in the *PITX1* promoter.<sup>20</sup> Lane 3, *DUX4*.HOX1 has lower affinity for *PITX1* promoter oligo. Mutation of the *PITX1* binding site (mut*PITX1*) further reduces binding by *DUX4*, as previously reported, whereas no binding occurs between *DUX4*.HOX1 and the mut*PITX1* site. Arrow indicates free *PITX1* promoter probe; arrowhead indicates *DUX4*-bound *PITX1* promoter sequence; asterisk indicates *DUX4*-*PITX1* promoter complex supershifted with V5 antibody. SS = supershift.



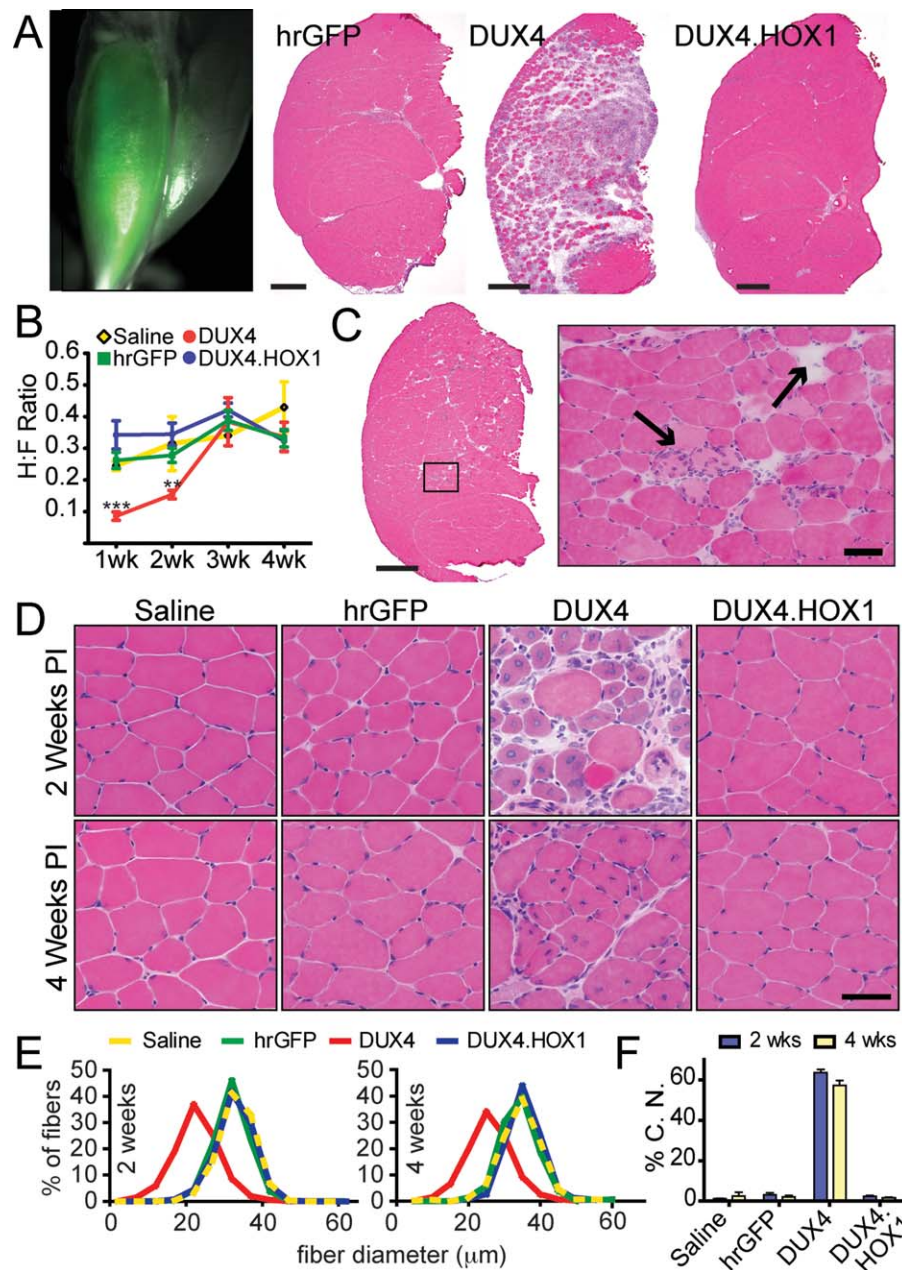
### ***DUX4 Causes Muscle Degeneration in Adult Mouse Muscle***

Because FSHD is typically an adult-onset disorder of skeletal muscle, we next investigated the myotoxic potential of *DUX4* overexpression in adult, postmitotic mouse muscle. To do this, we bilaterally injected  $3 \times 10^{10}$  DRP AAV6.*DUX4*, AAV6.*DUX4.HOX1*, or AAV6.*hrGFP* vectors into the TA muscles of 6- to 8-week old C57BL/6 mice. One week postinjection, we confirmed widespread TA muscle transduction by *hrGFP* epifluorescence and assessed histopathological changes in muscles injected with each group of vectors (Fig 3). We found that *DUX4*-injected muscles developed strikingly massive lesions containing degenerating myofibers and infiltrating mononuclear cells, indicating severe damage. In contrast, muscles injected with *hrGFP* or *DUX4.HOX1* controls showed no evidence of damage at identical vector doses. Moreover, *DUX4*-injected animals were weaker than controls at 1 and 2 weeks postinjection, but recovered strength by 3 weeks, which was likely due to loss of non-integrating AAV vectors in degenerating myofibers and subsequent normal muscle regeneration. Although these initial experiments demonstrated the myopathic potential of *DUX4*, the massive lesions we observed at the high  $3 \times 10^{10}$  DRP dose were inconsistent with more subtle and focal damage typically associated with FSHD. We hypothesized that our high-dose vector was accelerating the development of pathology, which was reported in other vector-based models of disease.<sup>41,42</sup> We therefore delivered lower *DUX4* vector doses ( $8 \times 10^8$  DRP), which caused milder and less widespread muscle degeneration consistent with what is observed in FSHD patients. In contrast, *DUX4.HOX1*- or *hrGFP*-transduced muscles showed no histological abnormalities even by 4 weeks postinjection, our latest time point. We found that *DUX4*-induced degeneration was accompanied by significant muscle regeneration, which is a feature typical of muscular dystrophy. Specifically, 2 and 4 weeks postinjection, myofibers injected with low-dose vectors had smaller mean diameters, broad size variability, and dramatically increased numbers of centrally located nuclei compared to saline-, *DUX4.HOX1*-, or *hrGFP*-injected controls, which remained normal. We confirmed *DUX4* and *DUX4.HOX1* transgene expression by real-time PCR and immunofluorescence staining of serial muscle cryosections using rabbit polyclonal primary V5 antibodies (Supplementary Figs 2 and 3; Fig 4). *DUX4* and *DUX4.HOX1* mRNAs and protein were expressed at similar levels (see Supplementary Fig 2), and as expected for a transcription factor,<sup>35</sup> *DUX4* was primarily localized to myonuclei (see Supplementary Fig 3), but we also found cytoplasmic *DUX4* staining in degenerating myofibers (see Supplementary Fig 3). This cytoplasmic stain-

ing was specific, because we did not see complete overlap of stained myofibers using a second rabbit polyclonal antibody. In contrast, the *DUX4.HOX1* protein was restricted to myonuclei, and we never found myofibers expressing cytoplasm-localized *DUX4.HOX1*.

### ***DUX4 Causes Apoptosis through a p53-Dependent Mechanism***

The presence of Bax and caspase-3 proteins in affected myofibers from FSHD patients supports that apoptosis may at least partly contribute to FSHD-associated muscle wasting.<sup>26,34</sup> Because *DUX4* induces apoptosis in vitro (see Supplementary Fig 1; Fig 2),<sup>25,31</sup> we next investigated the possibility that *DUX4* caused cell death through an apoptotic mechanism in adult placental mammal muscle in vivo, thereby potentially linking *DUX4*-mediated cell death mechanisms to known FSHD-associated pathology. As a general screen for apoptosis, we detected DNA fragmentation by TUNEL-staining muscle cryosections from AAV6.*DUX4*- or AAV6.*DUX4.HOX1*-injected mice. One week postinjection, only *DUX4*-expressing muscles contained TUNEL-positive nuclei (see Supplementary Fig 3), which is consistent with TUNEL-positive nuclei found in *DUX4*-injected early *Xenopus* embryos.<sup>32</sup> We found several TUNEL-positive nuclei that were also *DUX4*-positive. Because intramuscularly delivered AAV6 preferentially transduces muscle cells but not inflammatory mononuclear cells,<sup>43,44</sup> and we delivered our vectors to wild-type muscles lacking inflammatory infiltrates at the time of injection, we concluded that any *DUX4*<sup>+</sup>/TUNEL<sup>+</sup> nuclei were present within myofibers. Nevertheless, it is possible that some TUNEL-positive nuclei were present within infiltrating immune cells, which normally undergo apoptotic cell death. Moreover, TUNEL stains may also indicate necrotic cell death. For these reasons, we more closely examined the status of apoptotic pathways in *DUX4*- and *DUX4.HOX1*-transduced muscle, first using real-time PCR arrays of 85 different genes involved in apoptosis (Supplementary Table). We found that 36 genes (42%) were significantly increased (>1.5-fold,  $p < 0.05$ ) in *DUX4*-injected muscles, compared to *DUX4.HOX1* controls, and 12 genes (33%) were members of the p53 pathway (Table). Because *DUX4*-induced degeneration was associated with mononuclear cell infiltration, and inflammatory cells eventually undergo apoptosis, it is possible that these proapoptotic genes were changed primarily in the immune infiltrates. To determine if apoptosis was occurring in *DUX4*-expressing myofibers, we stained serial cryosections from *DUX4*- or *DUX4.HOX1*-transduced muscles with antibodies to caspase-3, because it was the most highly upregulated p53 pathway

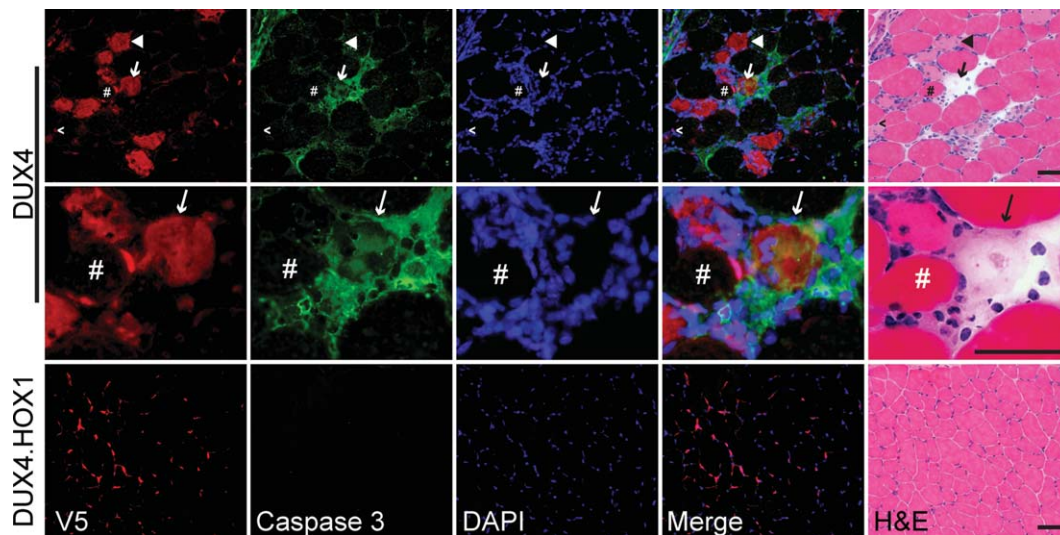


**FIGURE 3: *DUX4* is toxic to adult mouse muscle in vivo.** (A) hrGFP epifluorescence shows AAV6 transduction of adult mouse tibialis anterior (TA) 1 week postinjection. Hematoxylin and eosin (H&E) staining shows *DUX4* caused massive myofiber degeneration and mononuclear cell infiltration that was not present in *DUX4.HOX1* or hrGFP controls at high vector dose ( $3 \times 10^{10}$  DRP). Scale bars = 500mm. (B) *DUX4*, but not *DUX4.HOX1* or controls, significantly reduced TA muscle grip strength 1 and 2 weeks postinjection.  $n = 5$  mice per group. \*\*\* $p < 0.001$ ; \*\* $p < 0.01$ ; 2-way analysis of variance with Bonferroni post hoc test. H:F ratio indicates hindlimb (transduced) to forelimb (untransduced) grip strength ratios. (C) At lower doses ( $8 \times 10^8$  DRP), *DUX4* caused myofiber degeneration (by 1 week, shown here) that recapitulated the focal dystrophic lesions seen in facioscapulohumeral muscular dystrophy patients. Arrows point to degenerating myofibers, indicated by loss of acidophilic staining in H&E stains. Scale bars: left panel = 500mm; right panel = 50mm. (D) H&E staining revealed abundant centrally located nuclei and myofiber size variability only in *DUX4*-injected muscles. PI = indicates postinjection. Scale bar = 50mm. (E) Distribution of fiber diameter as a percentage of total fibers counted during sampling. *DUX4* transduced muscles had more small-bore fibers compared to all controls, which is characteristic of regenerating dystrophic muscle. (F) *DUX4*-injected muscles had significantly higher percentages of centrally located nuclei (%C.N.) at both time points, which is another feature of dystrophic muscle,  $p < 0.001$  (chi-square). All injections for panels C, D, and E delivered  $8 \times 10^8$  DRP of AAV6 vectors.

gene in *DUX4*-expressing muscle, and was previously associated with human FSHD (see Table).<sup>26,34</sup> Muscles expressing *DUX4.HOX1* were histologically normal and

lacked caspase-3 staining, whereas *DUX4*-transduced muscles contained numerous caspase-3 positive, degenerating myofibers (see Fig 4). Moreover, *DUX4* was





**FIGURE 4:** *DUX4*-transduced myofibers are caspase-3 positive. Top panels show *DUX4*<sup>+</sup>/caspase-3<sup>+</sup> degenerating myofibers indicated by arrow, and shown in higher power in middle panels. Rabbit V5 antibody stain shows *DUX4* was present in the nucleus but also had cytoplasmic localization in degenerating myofibers. In contrast, *DUX4.HOX1* protein was exclusively nuclear. Some degenerating myofibers were caspase-3 negative but expressed *DUX4* in the nucleus (caret) or cytoplasm (arrowhead). In contrast, several normal myofibers were *DUX4*<sup>+</sup>/caspase-3 negative (pound sign). Bottom panels, caspase-3 staining was absent in histologically normal muscle expressing *DUX4.HOX1*. The rabbit polyclonal caspase-3 primary antibody used here (Abnova; PAB0242) detects total caspase-3. Antibodies specifically recognizing cleaved caspase-3 showed similar staining patterns, as demonstrated in Supplementary Figure 3. DAPI (4',6-diamidino-2-phenylindole) stains nuclear DNA. Scale bars = 50  $\mu$ m. H&E = hematoxylin and eosin.

expressed in essentially every degenerating myofiber, and similar to our observations using TUNEL staining (see Supplementary Fig 3), we identified differentially stained cells that were likely in different apoptotic stages. Specifically, several myofibers expressing abundant cytoplasmic *DUX4* and caspase-3 protein were nearly devoid of acidophilic eosin staining. Cells with this pattern were likely near the terminal stages of apoptosis, because caspase-3 activation is a late event during the apoptotic cascade and cytoplasmic localization of the normally nuclear-sequestered *DUX4* protein suggests apoptotic nuclear breakdown. We also found degenerating myofibers that were caspase-3 negative but otherwise expressed *DUX4* in the nucleus and/or cytoplasm. These cells may be in early apoptotic stages upstream of caspase-3 activation. Finally, we identified several histologically normal myofibers containing *DUX4*-positive myonuclei and no caspase-3 expression. The lack of complete overlap of *DUX4* and caspase-3, using 2 different rabbit polyclonal antibodies, supports the specificity of our antibody stains. Together, our real-time PCR and immunostaining data suggested that *DUX4*-induced cell death occurs through a p53 pathway-dependent mechanism.

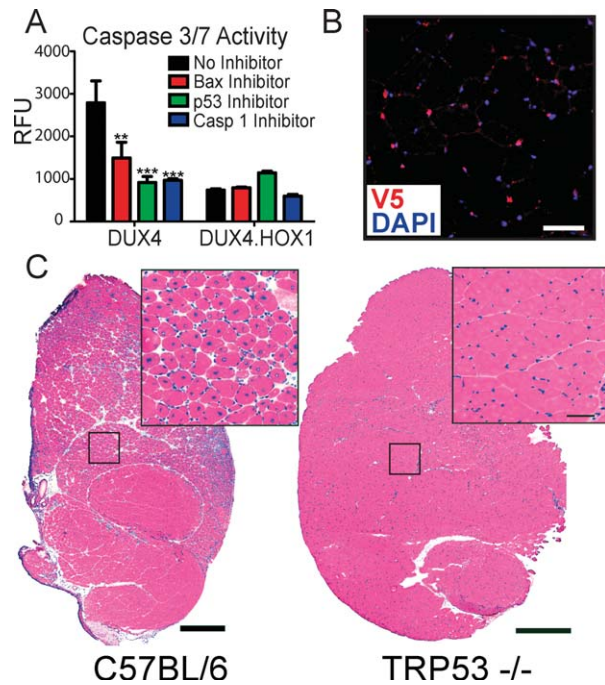
To test this hypothesis, we first determined whether p53 pathway inhibition could prevent or blunt *DUX4*-induced caspase-3/7 activation in vitro. We chose to chemically inhibit p53, caspase-1, and Bax because these

genes are key components of signaling cascades that ultimately lead to caspase-3-associated apoptosis,<sup>45–49</sup> and all were activated by *DUX4* overexpression in mouse muscle (see Table). For this experiment, we separately pretreated HEK293 cells with chemical inhibitors to the aforementioned genes, transfected cells with *DUX4* or

**TABLE: Significantly Changed p53 Pathway Genes in *DUX4*-Injected Muscles**

Gene Symbol	Fold Change	<i>p</i>
<i>Casp3</i>	7.87	0.013
<i>Birc5</i>	6.98	0.0068
<i>Bax</i>	4.86	0.0082
<i>Casp1</i>	4.85	0.034
<i>Apaf1</i>	4.44	0.016
<i>Trp63</i>	3.93	0.0035
<i>Bid</i>	3.78	0.0022
<i>Casp9</i>	3.76	0.0097
<i>Bak1</i>	3.40	0.030
<i>Trp53</i>	3.06	0.029
<i>Bad</i>	2.68	0.036
<i>Casp7</i>	2.57	0.0065





**FIGURE 5: *DUX4* causes apoptosis through a p53-dependent mechanism. (A)** *DUX4*-induced apoptosis is significantly reduced by Bax, p53, or caspase-1 inhibition, in vitro. \*\* $p < 0.01$ ; \*\*\* $p < 0.001$  (analysis of variance). RFU = relative fluorescent units from caspase-3/7 assay. **(B)** V5 immunofluorescence and DAPI staining showed *DUX4* expression in Trp53  $-/-$  mouse myonuclei 2 weeks after injection. Scale bar = 50mm. **(C)** Trp53  $-/-$  muscles are resistant to *DUX4*-induced degeneration, indicated by normal muscle histology in *DUX4*-transduced muscles, 2 weeks postinjection. In contrast, low-dose AAV6.*DUX4* ( $8 \times 10^8$  DRP) caused massive myofiber degeneration and subsequent regeneration 2 weeks postinjection. Scale bar = 500mm.

*DUX4.HOX1* expression plasmids, and measured caspase-3 activity 48 hours later. Consistent with our previous findings, *DUX4* alone caused significant caspase-3/7 activation, whereas *DUX4.HOX1* did not. Importantly, we found that p53, caspase-1, or Bax inhibition prevented or significantly reduced caspase-3/7 activation by *DUX4* in vitro (Fig 5). To confirm these results in vivo, we injected low-dose ( $8 \times 10^8$  DRP) AAV6.*DUX4* or AAV6.*DUX4.HOX1* into TA muscles of C57BL/6 or p53 knockout mice (Trp 53  $-/-$ ; B6.129S2-*Trp53tm1Tyjl*/J, Jackson Laboratories, Bar Harbor, ME),<sup>50</sup> confirmed expression by real-time PCR and immunostaining (see Supplementary Fig 2), and examined muscle histopathology 2 weeks postinjection. As expected, *DUX4* was toxic to wild-type muscle, indicated by widespread presence of regenerating myofibers containing centrally located nuclei (see Fig 3), whereas muscles from p53 knockout mice appeared normal and showed no

indications of the massive muscle degeneration and regeneration typified by *DUX4*-transduced wild-type muscles. This is most obviously observed quantitatively by the comparatively small percentage of myofibers containing centrally located nuclei in *DUX4*-injected Trp53  $-/-$  mice (4%) relative to wild-type muscles (see Fig 4; 63%) 2 weeks postinjection. Moreover, the Trp53  $-/-$  central nuclei values do not differ significantly from control-injected wild-type muscles, and may arise from physical damage caused by the injection needle. These findings strongly support that *DUX4* toxicity is p53 pathway dependent.

## Discussion

According to the most widely accepted pathogenesis model, FSHD is caused by genetic and epigenetic abnormalities that create a favorable environment for overexpression of genes with myopathic potential. Since 1992, several important publications described FSHD-associated genetic and epigenetic changes that are consistent with this model,<sup>4,5,11,12,15,23,51,52</sup> but the downstream transcriptional abnormalities contributing to FSHD pathogenesis are unclear. This uncertainty has not arisen from a lack of investigation; indeed, numerous groups identified potential FSHD gene candidates based on 4q35 localization or expression changes in gene profiling experiments.<sup>12,18,20,21,23,24,27–30,53–57</sup> However, a legitimate FSHD candidate gene should minimally satisfy 3 main criteria, and to date no single gene has met each requirement. Specifically, at a minimum, an FSHD candidate gene should: (1) show consistent overexpression in muscles from FSHD patients; (2) have the capability to damage muscles when overexpressed in vivo, and (3) be activated specifically in preferentially affected FSHD muscles (eg, facial, shoulder-girdle, limb muscles) and/or nonmuscle areas of pathology (retina, inner ear).

*DUX4* emerged as an intriguing FSHD candidate because of its position within the D4Z4 repeats, and, importantly, several recent studies showed it was overexpressed in affected muscles and cell lines from FSHD patients.<sup>20,25,29,33</sup> Thus, these initial reports demonstrated that *DUX4* satisfies the first criteria for an FSHD candidate gene, although additional *DUX4* expression studies in FSHD patient biopsies are required to make this assertion more definitive. The main focus of our study was to determine whether *DUX4* satisfied the second requirement of an FSHD candidate, by possessing sufficient in vivo myopathic potential to be worthy of further study in FSHD pathogenesis. We reasoned that the best approach to assess this was by overexpressing *DUX4* in adult mouse muscles, for 3 reasons. First, based

on the transcriptional de-repression model of FSHD pathogenesis, it is necessary to study FSHD candidate genes by overexpressing them. Second, FSHD is typically an adult-onset muscular dystrophy with comparatively little or no nonmuscle pathology, which supports the hypothesis that FSHD genes are expressed solely in areas that show pathology (ie, primarily muscles but perhaps also retinal vasculature and inner ear). Third, D4Z4 repeats, which are inextricably linked to FSHD development, are only present in placental mammals, including mice.<sup>19</sup> Thus, directing *DUX4* expression in adult mouse muscle is the most feasibly relevant model system for testing its myopathic potential in humans. Importantly, using AAV6 vectors to deliver *DUX4* to muscle, we demonstrated that *DUX4* has the potential to damage adult mouse muscle in vivo. Our findings strongly support the hypothesis that *DUX4* plays a role in FSHD pathogenesis. We also uncovered a novel mechanism of *DUX4* toxicity involving the p53 pathway (discussed in greater detail below).

Although our study represents the first direct demonstration of the myopathic potential of *DUX4* in an adult mammalian muscle model, we are not the first to show the harmful effects of *DUX4* overexpression in general. Several recent studies demonstrated that unregulated or ubiquitously overexpressed *DUX4* was toxic to pluripotent progenitor cells of early *Xenopus* or zebrafish embryos (which lack D4Z4 repeats), or in cultured mammalian cells.<sup>20,25,29,31,32</sup> The general toxicity of *DUX4* raises an important issue about its specificity, because one could argue that if *DUX4* is indeed involved in FSHD pathogenesis, its toxic effects should be restricted solely to mammalian muscle. Thus, general toxicity could suggest that *DUX4* is not involved in FSHD but is instead an artifact caused possibly by nonspecific protein overload and/or interference with the normal function of other similar homeodomain transcription factors. Indeed, precedence exists for both possibilities; overexpression of otherwise inert GFP protein was nonspecifically toxic to striated muscle,<sup>40</sup> and at sublethal doses, *DUX4* inhibited C2C12 cell differentiation by competing with PAX3/PAX7.<sup>31</sup> Our *DUX4*:HOX1 mutant ruled out the former, as high levels of this protein caused no abnormalities in vitro or in vivo, but not the latter, because its reduced DNA binding ability would likely preclude its interference with other similar transcription factors. Regardless, the general toxicity of *DUX4* does not rule out its involvement in FSHD pathogenesis. Considering our novel finding that *DUX4* activates p53-dependent cell death (see Table and Fig 5), its toxicity to nonmuscle cells and embryos of lower organisms is not

surprising, because the p53 pathway is conserved in zebrafish, *Xenopus*, and most nontumor mammalian cell lines. Interestingly, the only cell line with known resistance to high levels of *DUX4* protein expression is derived from a human rhabdomyosarcoma tumor typically associated with loss of p53 tumor suppressor function, which is consistent with our finding that *DUX4* does not damage muscles from p53 null mice.<sup>25,58</sup> Thus, the ability of *DUX4* to activate conserved cell death pathways argues for its contribution to FSHD if it is preferentially expressed in areas of FSHD pathology (the third criteria for an FSHD candidate gene; as yet undetermined for *DUX4*). Our zebrafish data demonstrate this point. Ubiquitous *DUX4* overexpression was incompatible with normal zebrafish and *Xenopus* embryonic development in previous studies,<sup>29,32</sup> but we showed that muscle-directed *DUX4* expression produced viable zebrafish with varying degrees of dystrophic abnormalities, including asymmetrical defects, which are a hallmark of FSHD (see Fig 1).<sup>1</sup> Finally, it is not unprecedented for overexpressed disease genes, particularly those definitively linked to a specific genetic disorder, to cause more aggressive and widespread phenotypes in animal models than what are typically seen in humans. For example, the most widely used mouse model for Huntington disease (HD; R6/2 model), which overexpresses a mutant human huntingtin gene, recapitulates the striatal neuron dysfunction and motor abnormalities that are hallmarks of the human disease.<sup>59</sup> Nevertheless, striatal pathology in R6/2 mice is significantly more aggressive than in humans, and they also display widespread phenotypes that are not present in typical HD patients, including reduced fertility, very early death, epilepsy, diabetes, cardiac dysfunction, and neuromuscular junction defects.<sup>59</sup> More recently developed models that more faithfully genocopy human HD do not display such widespread abnormalities.<sup>59</sup> This case study analogy illustrates that even a definitive human disease gene like HD can cause unexpected widespread toxicity in animal models. Our data strongly demonstrate the in vivo myopathic potential of *DUX4*; considering our discussion above, our study further supports the hypothesis that *DUX4* overexpression is an underlying pathogenic event in FSHD. In future studies, it will be important to address the third criteria of an FSHD candidate gene by testing the myopathic potential of *DUX4* using natural human D4Z4-derived promoter and polyA elements.

We also reported the novel finding that the proapoptotic effects of *DUX4* were p53 dependent (see Table, Fig 5). Our data therefore support a mechanism for *DUX4* promyopathic activity, which could also explain its general toxicity to most cells in which it is

overexpressed. Although previous discoveries that p53 pathway components (Bax and caspase-3) are activated in FSHD muscles are consistent with our *DUX4*/p53 findings, it will be important in future studies to better define p53 pathway involvement in FSHD. In addition to searching for p53 pathway activation in FSHD muscles and cell lines, additional mechanistic data are needed to determine whether *DUX4* directly activates the p53 promoter or does so indirectly through activation of intermediary gene products. One potential mechanism for the latter may involve *DUX4* activation of the *PITX1* gene, which activates p53 and is increased with *DUX4* in FSHD patient biopsies.<sup>20,60</sup>

Although *DUX4* is clearly a transcription factor, its normal biological roles are unknown. Here, we reported that *DUX4* activates the p53 pathway and caspase-3, which play important roles in skeletal muscle differentiation and regeneration.<sup>61,62</sup> It is therefore possible that *DUX4* normally functions to regulate skeletal muscle development, but in FSHD, its over- or misexpression negatively impacts muscle development and regeneration through chronic p53 pathway activation and/or interference with other homeodomain transcription factors (like PAX3/PAX7). If *DUX4* is expressed in muscle progenitors, as suggested,<sup>31</sup> chronic *DUX4*-induced damage could reduce the pool of proliferating satellite cells, causing regeneration defects that could, over time, manifest as the progressive weakness that typifies FSHD. Thus, understanding where and when *DUX4* is normally expressed in vivo will help further define its potential mechanistic role in FSHD pathogenesis.

Finally, our data do not rule out the involvement of other FSHD-associated potentially myopathic genes,<sup>22,28</sup> independent of *DUX4*. It is possible that *DUX4* overexpression is one of multiple pathogenic insults that conspire to produce FSHD pathologies.<sup>22</sup> Nevertheless, our data show that *DUX4* is highly toxic to mammalian muscle, at least partly through activation of p53-dependent cell death. Because it is a transcription factor, even small perturbations in its expression could dramatically alter p53 signaling or expression of other genes required to maintain normal muscle integrity. Thus, additional characterization of *DUX4*-controlled pathways, including p53, may help define mechanisms contributing to muscular dystrophy and ultimately provide targets for therapeutic intervention of FSHD.

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## Potential Conflicts of Interest

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