

# Fano Resonances in Hyperbolic metamaterial-based cavities

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UMONS, Belgium  
Micro- and Nanophotonic Materials Group

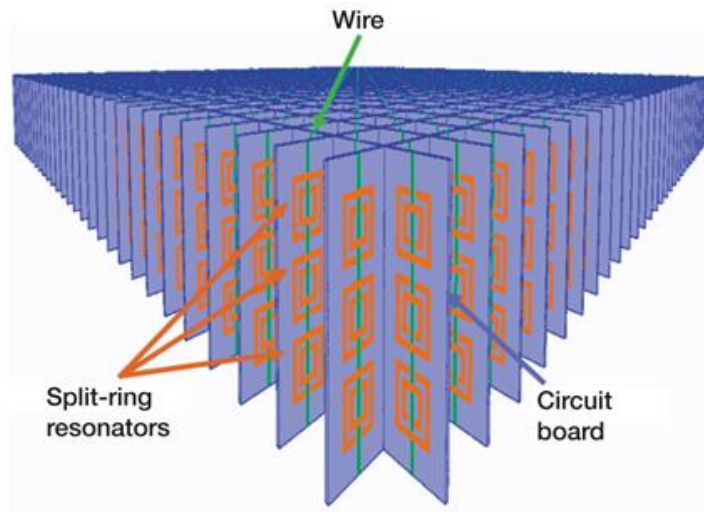
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- Introduction to hyperbolic metamaterials (HMMs)
- Some properties
- Hyperbolic cavities with Fano resonances

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# Metamaterials

Metamaterials: « material engineered to have a property that is not found in nature »

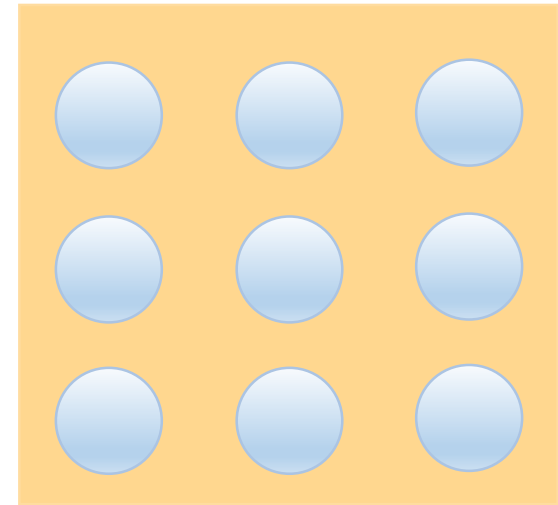
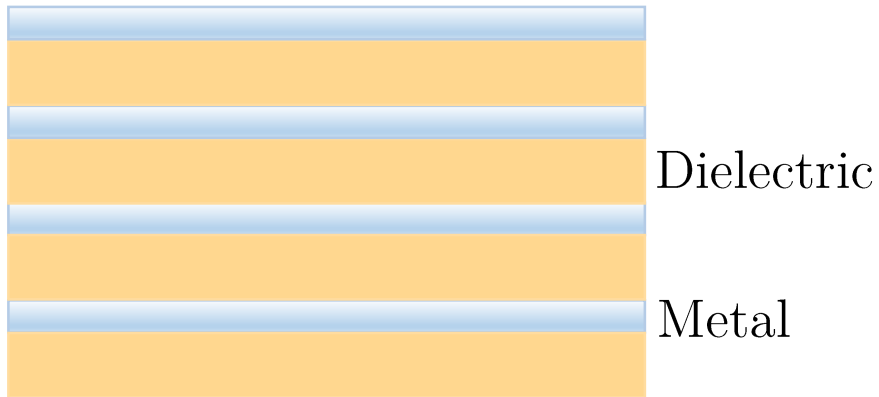


Building blocks : subwavelength « meta-atoms »

Optical properties from design rather than base materials

Applications: negative refractive index, invisibility cloak, epsilon-near-zero metamaterials, epsilon-near-pole metamaterials, hyperlens, ...

# Hyperbolic metamaterial : anisotropic media



Standard effective medium theory (Bruggeman):

$$\epsilon_{\parallel} = \begin{bmatrix} \epsilon_{\parallel} & 0 & 0 \\ 0 & \epsilon_{\parallel} & 0 \\ 0 & 0 & \epsilon_{\perp} \end{bmatrix}$$

$$\epsilon_{\parallel} = f \epsilon_m + (1 - f) \epsilon_d$$

$$\epsilon_{\perp} = \frac{\epsilon_m \epsilon_d}{\epsilon_m (1 - f) + \epsilon_d f}$$

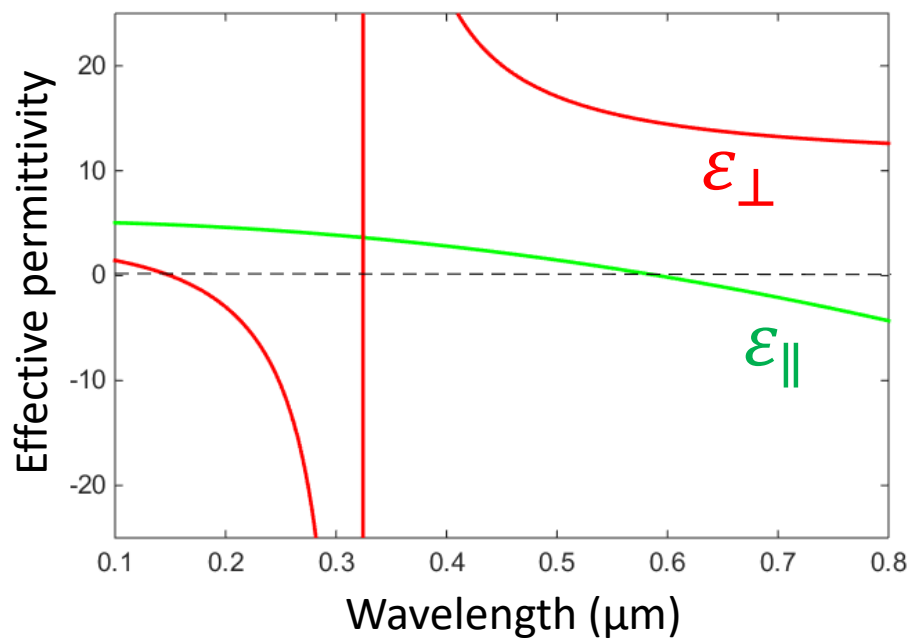
Metal fill factor ←

$$\frac{k_{\parallel}^2}{\epsilon_{\perp}} + \frac{k_{\perp}^2}{\epsilon_{\parallel}} = k_0^2$$

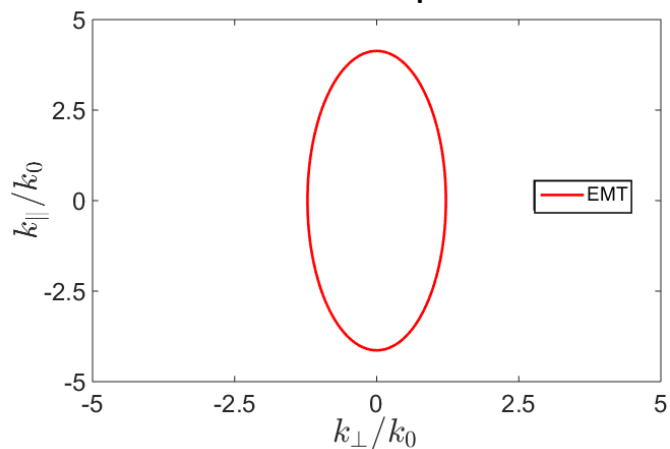
TM or p-polarization

# Example with Ag and TiO<sub>2</sub>

$f = 1/3$   
 $d_{\text{Ag}} = 10 \text{ nm}$   
 $d_{\text{TiO}_2} = 20 \text{ nm}$

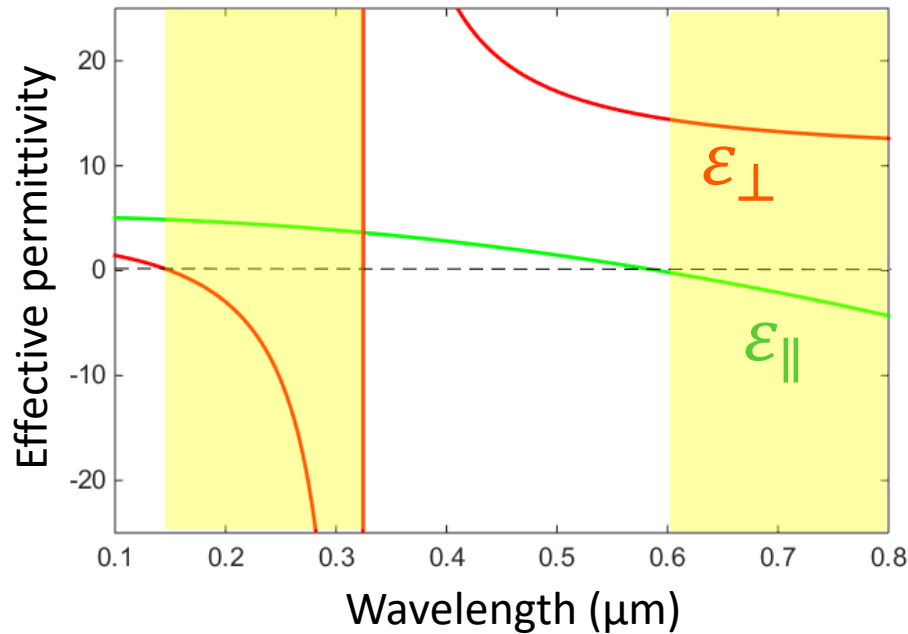


$\lambda = 500 \text{ nm}$  - elliptic



# Example with Ag and TiO<sub>2</sub>

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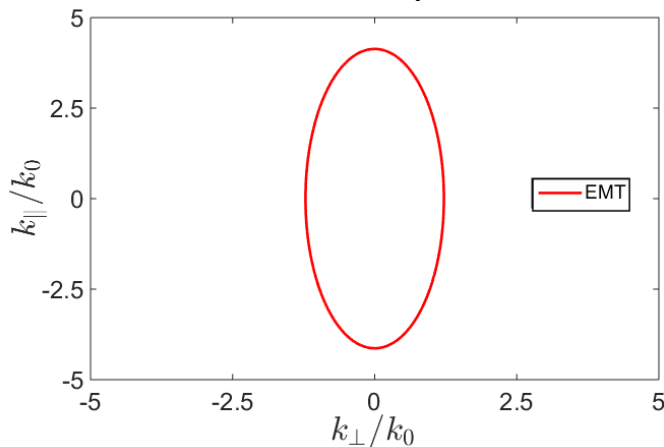


$\epsilon_{\parallel} \cdot \epsilon_{\perp} < 0$  possible

$$\frac{k_{\parallel}^2}{\epsilon_{\perp}} + \frac{k_{\perp}^2}{\epsilon_{\parallel}} = \frac{\omega^2}{c^2}$$

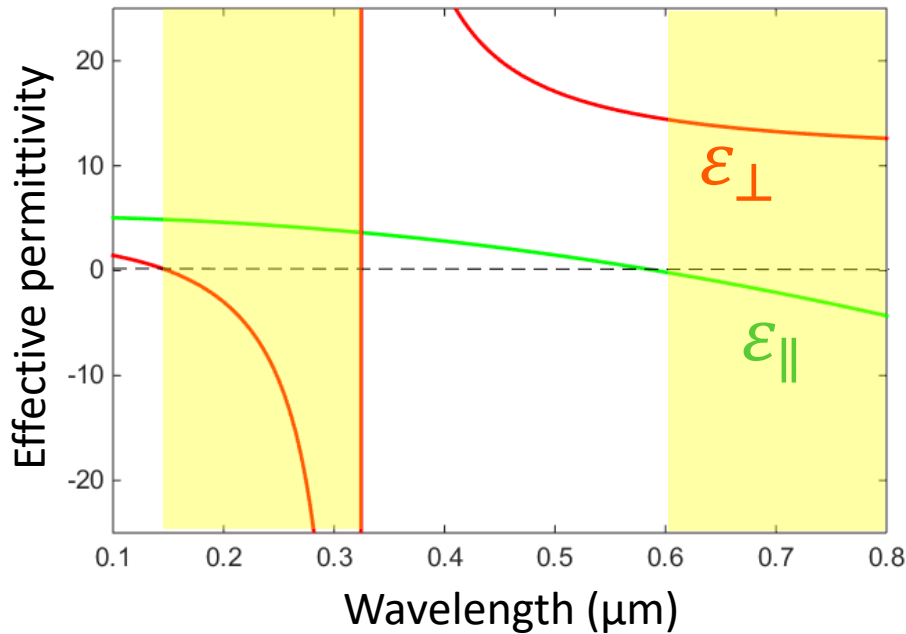
Hyperbolic isofrequency curve!

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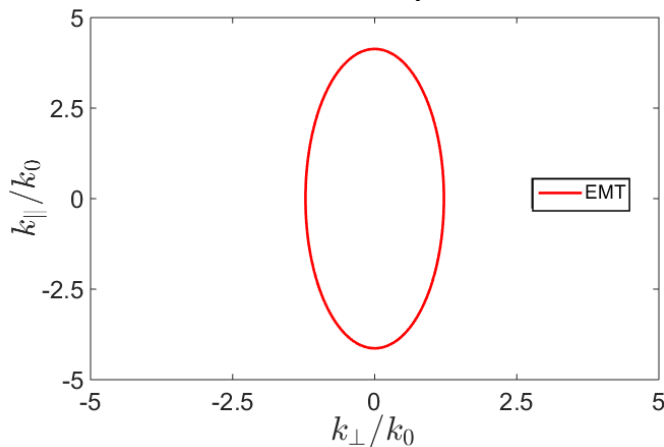


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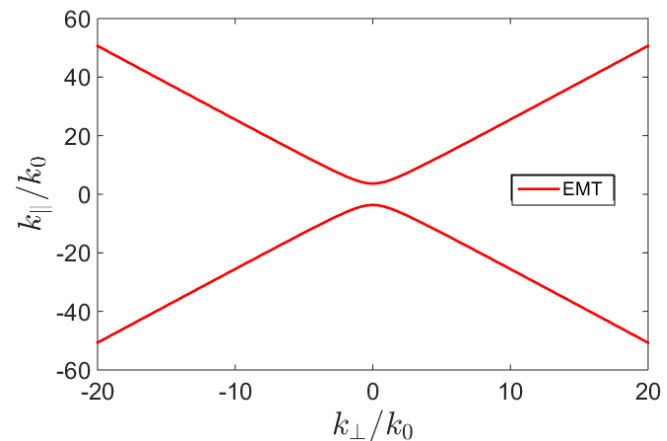
$$\frac{k_{\parallel}^2}{\epsilon_{\perp}} + \frac{k_{\perp}^2}{\epsilon_{\parallel}} = \frac{\omega^2}{c^2}$$

Hyperbolic isofrequency curve!

$\lambda = 500 \text{ nm}$  - elliptic

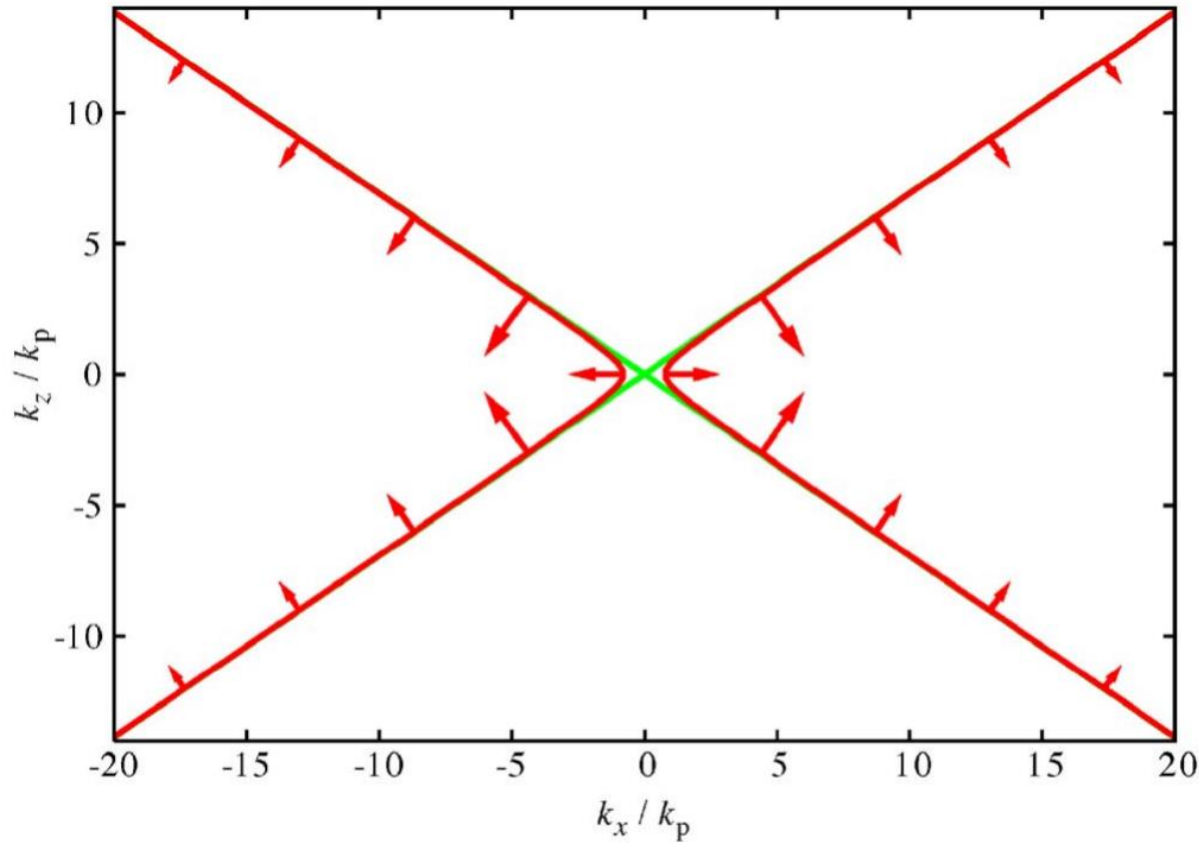


$\lambda = 700 \text{ nm}$  - hyperbolic





# Group velocity



B. Wood, J. B. Pendry, and D. P. Tsai  
Phys. Rev. B, vol. 74, 115116. (2006)

Preferred direction of propagation along a cone!

# Limits of EMT

$$\frac{k_{\parallel}^2}{\varepsilon_{\perp}} + \frac{k_{\perp}^2}{\varepsilon_{\parallel}} = \frac{\omega^2}{c^2}$$

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$$\frac{k_{\parallel}^2}{\varepsilon_{\perp}} + \frac{k_{\perp}^2}{\varepsilon_{\parallel}} = \frac{\omega^2}{c^2}$$

Origin of hyperbolic properties: plasmonic  
→ Nonlocality

# Limits of effective medium theory

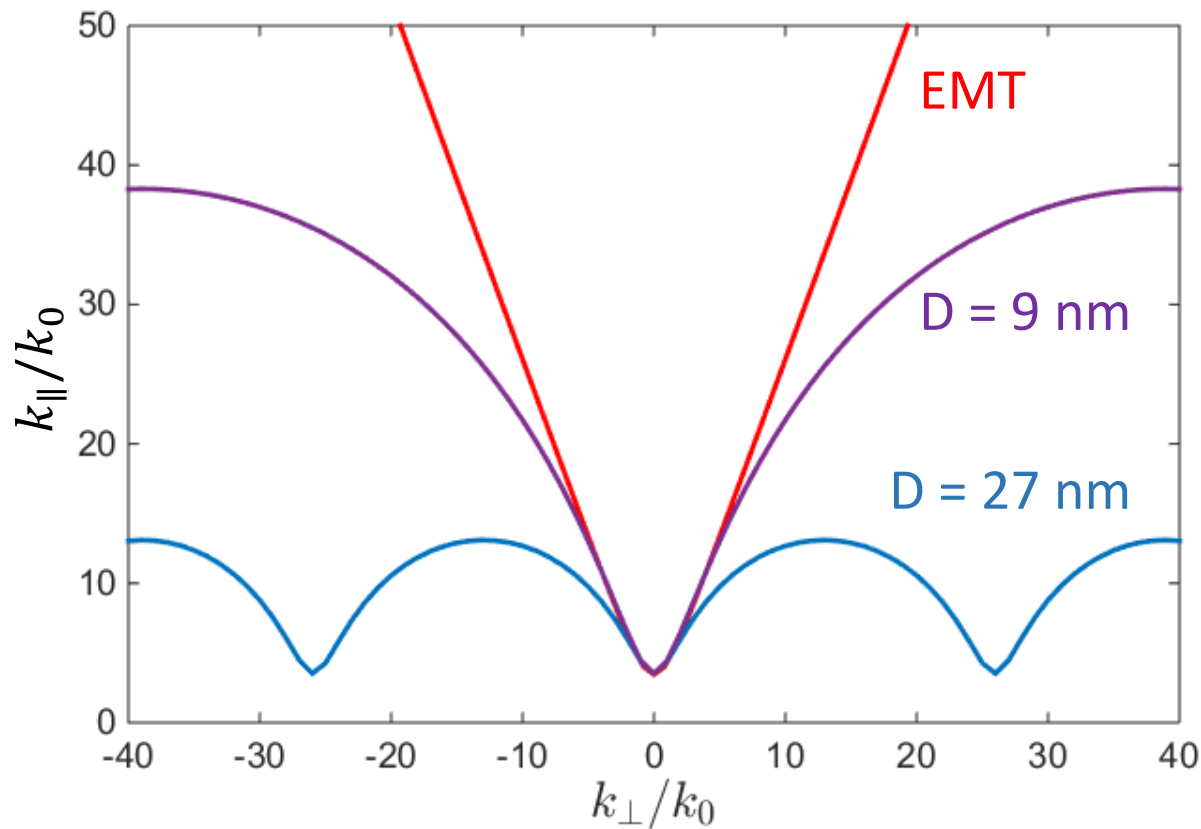
$$\cos(k_y D) = \frac{(\kappa_d \varepsilon_m + \kappa_m \varepsilon_d)^2}{4\kappa_d \kappa_m \varepsilon_d \varepsilon_m} \cosh(\kappa_d d_d + \kappa_m d_m) - \frac{(\kappa_d \varepsilon_m - \kappa_m \varepsilon_d)^2}{4\kappa_d \kappa_m \varepsilon_d \varepsilon_m} \cosh(\kappa_d d_d - \kappa_m d_m)$$

$$\kappa_{m,d} = \sqrt{k_x^2 - \varepsilon_{m,d} k_0^2}$$

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$$\cos(k_y D) = \frac{(\kappa_d \varepsilon_m + \kappa_m \varepsilon_d)^2}{4\kappa_d \kappa_m \varepsilon_d \varepsilon_m} \cosh(\kappa_d d_d + \kappa_m d_m) - \frac{(\kappa_d \varepsilon_m - \kappa_m \varepsilon_d)^2}{4\kappa_d \kappa_m \varepsilon_d \varepsilon_m} \cosh(\kappa_d d_d - \kappa_m d_m)$$

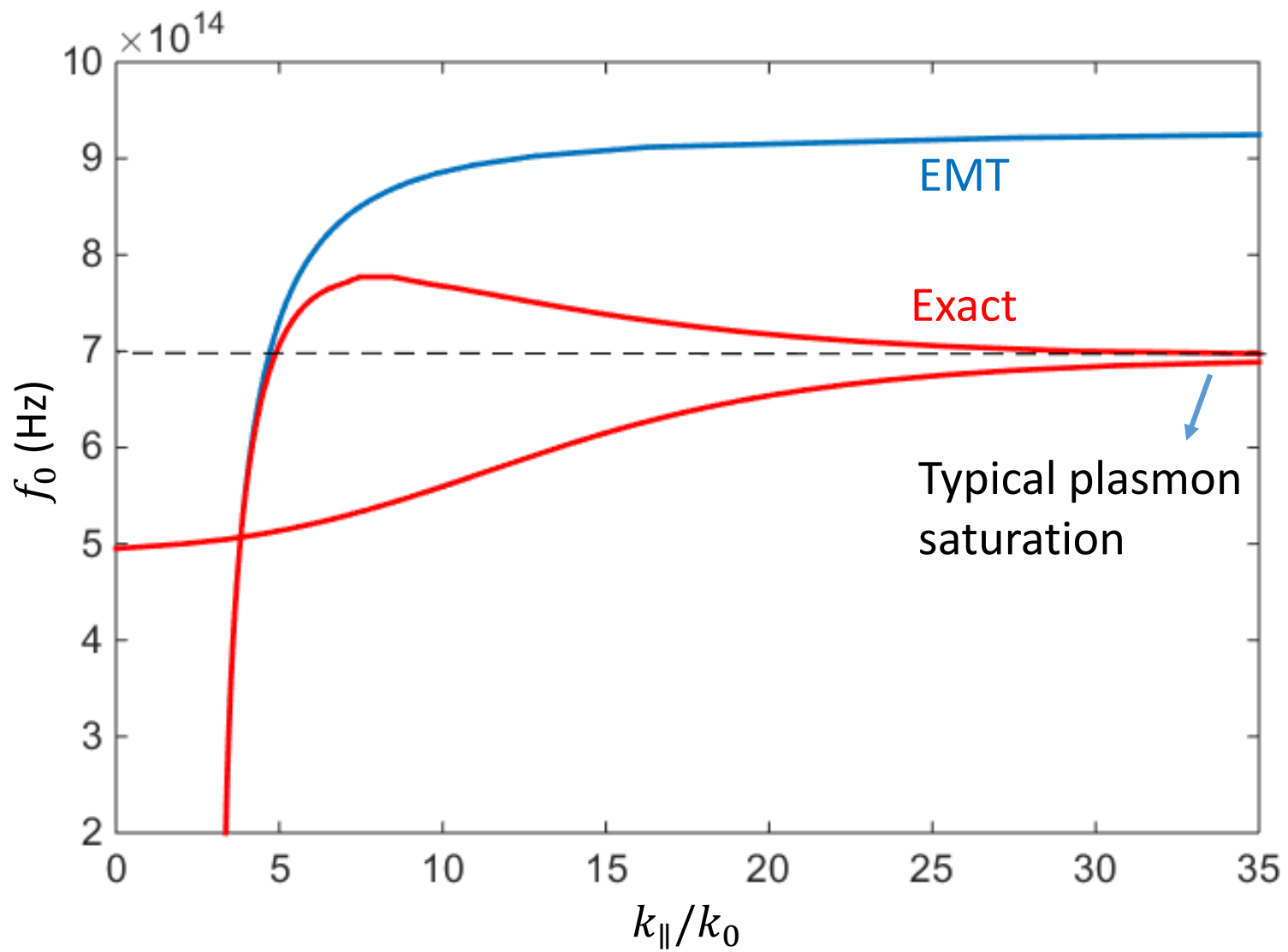
$$\kappa_{m,d} = \sqrt{k_x^2 - \varepsilon_{m,d} k_0^2}$$



Limited inside  
Brillouin zone:

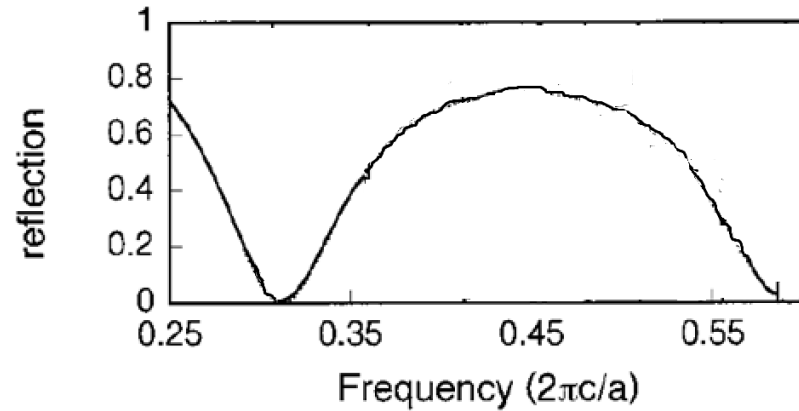
$$\frac{\pi}{D}$$

Standard effective medium approach (EMT) not valid in many case



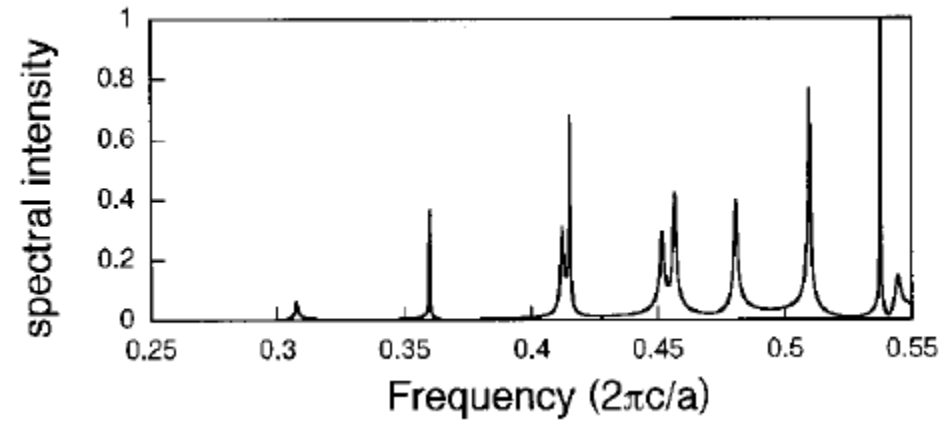
# Fano resonances

Slowly varying background



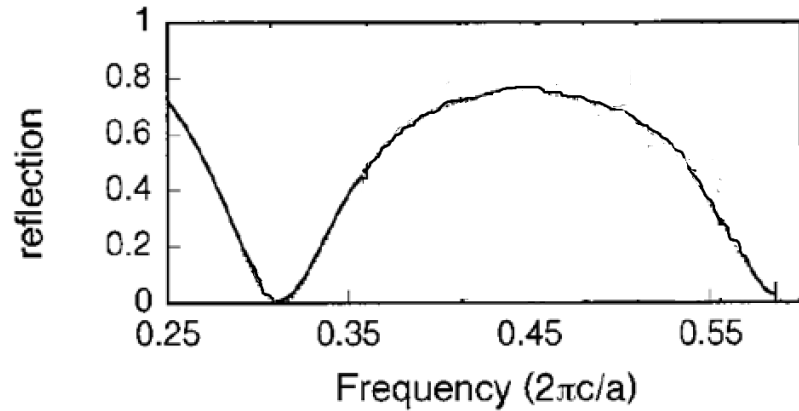
+

Narrow resonances



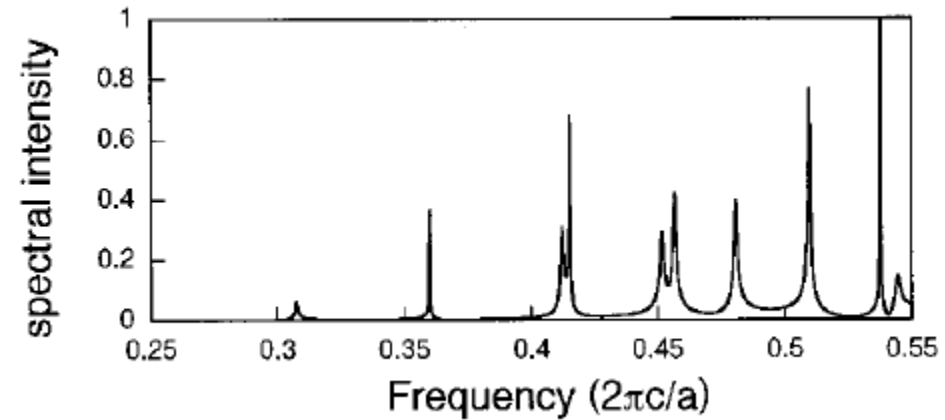
# Fano resonances

Slowly varying background



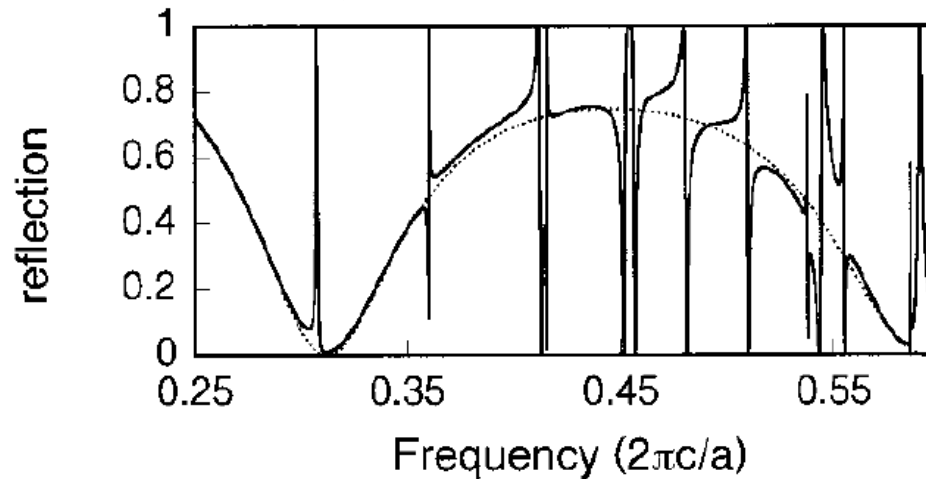
+

Narrow resonances



=

Asymmetric Fano resonances



S. Fan and J.D.  
Joannopoulos, Phys. Rev.  
B, vol. 65, 235112. (2002)

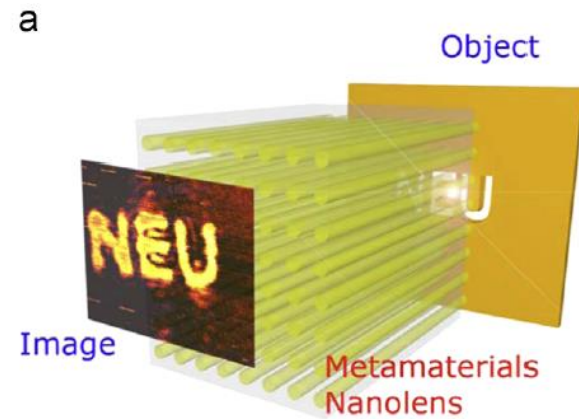
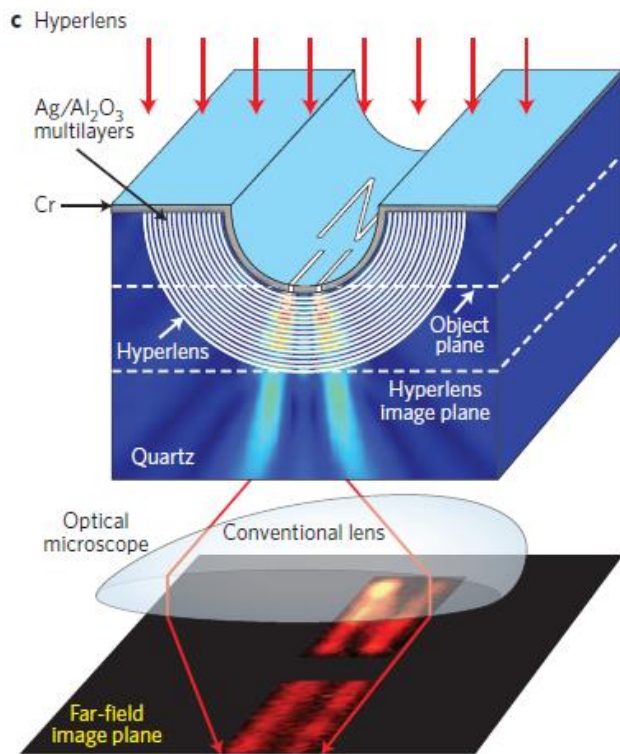


- Introduction to hyperbolic metamaterials (HMMs)
- **Some properties**
- Hyperbolic cavities with Fano resonances

# High-k propagating waves

High-k waves can propagate inside HMM  $\rightarrow$  Possibility to overcome diffraction limit

Application: hyperlens

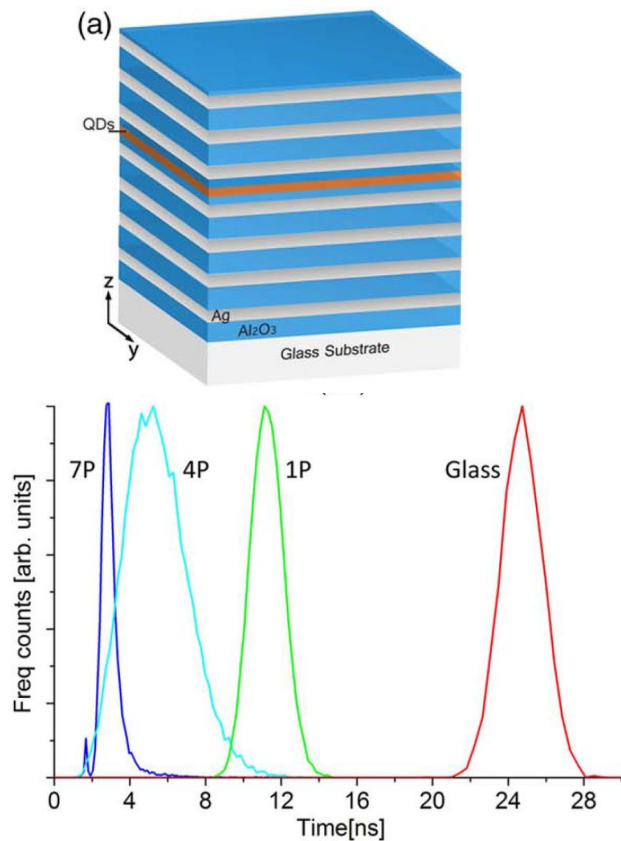


Liu, Z. et al., Science, vol. 315, 1686. (2007)

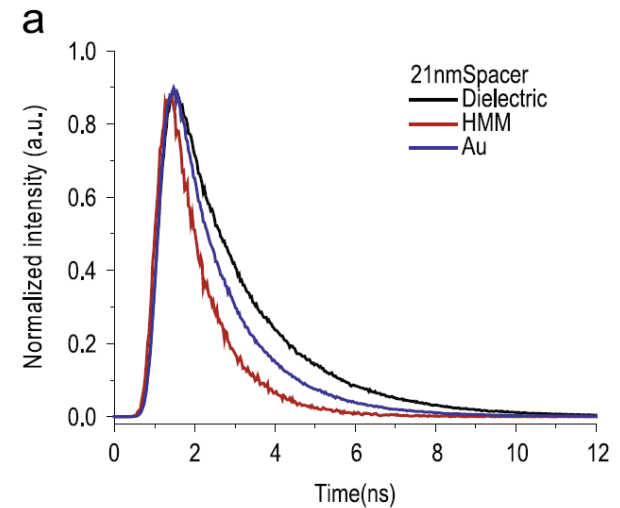
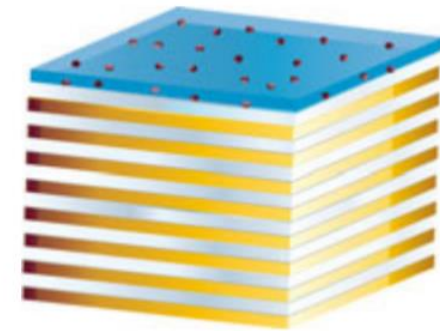
B. D. F. Casse et al., Appl. Phys. Lett., vol. 96, 023114 (2010)

# Extremely high PDOS

Nonresonant phenomena → Broadband extremely high PDOS  
Spontaneous emission engineering possible

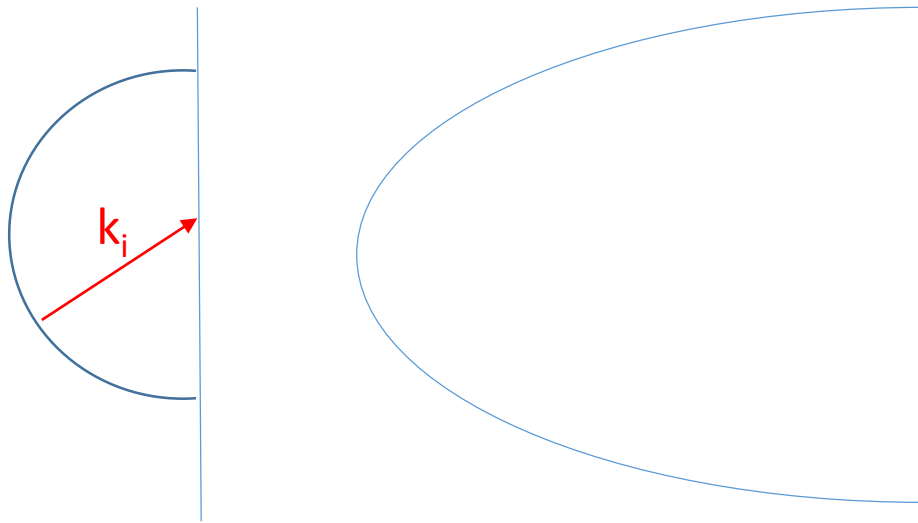
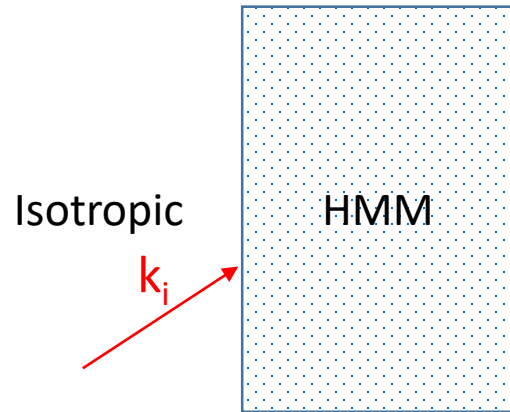


Galfsky, T. et al., *Optica*, vol. 2, 62-65. (2015)

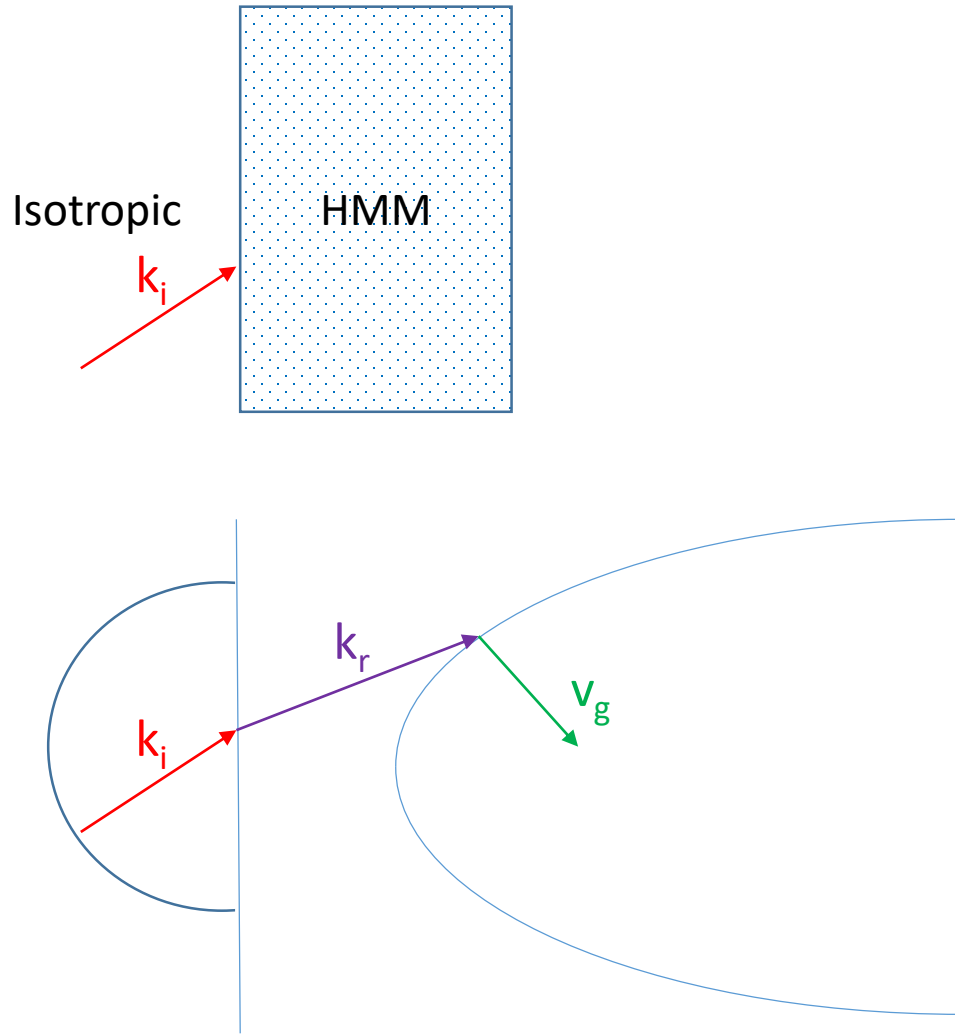


Z. Jacob et al, *Applied Physics B*, vol. 100, 215. (2010)

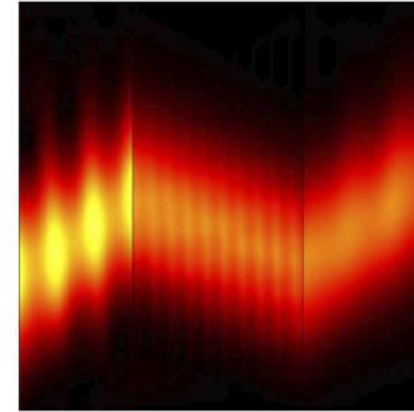
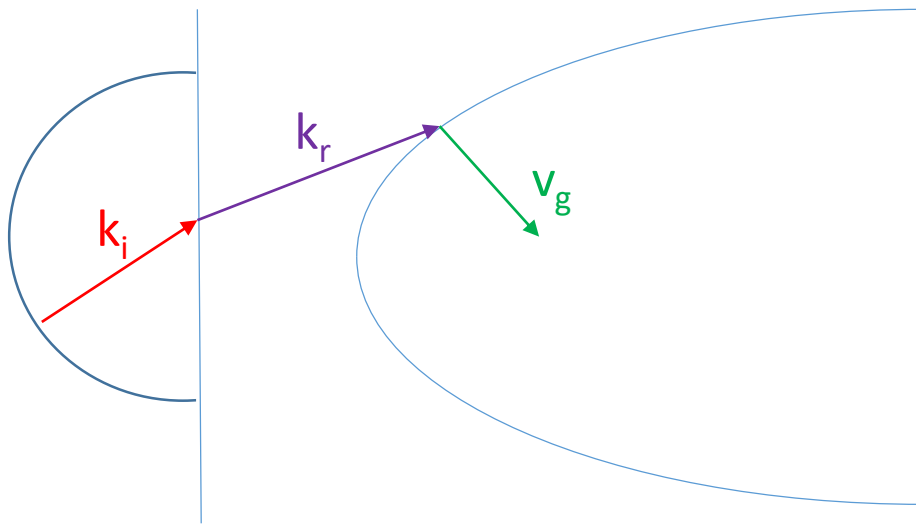
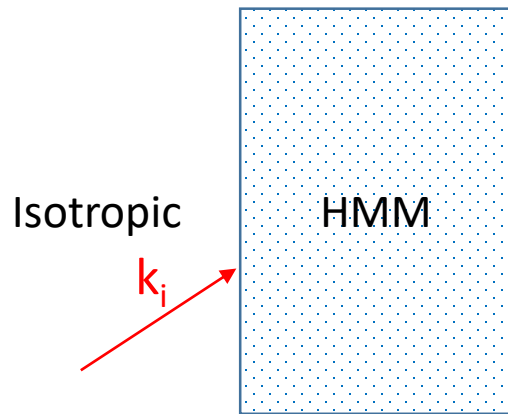
# Negative refraction



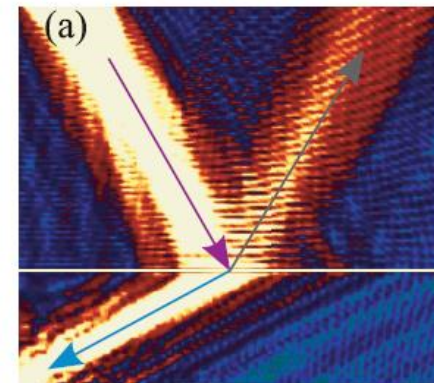
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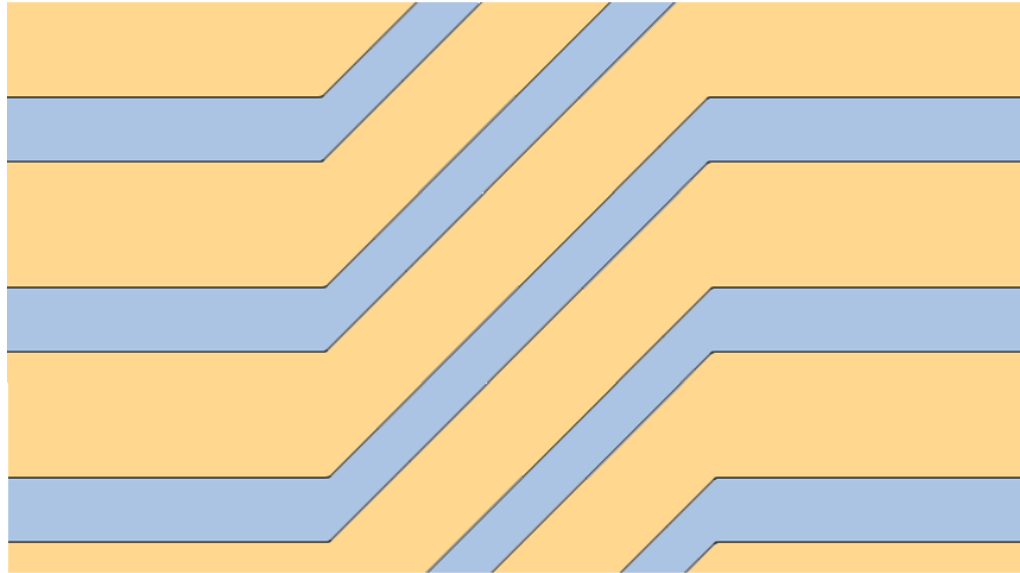
Y. Liu et al, Optics Express, vol. 16, 15439. (2008)



A. Orlov et al, Physical Review B, vol. 84, 045424 (2011)

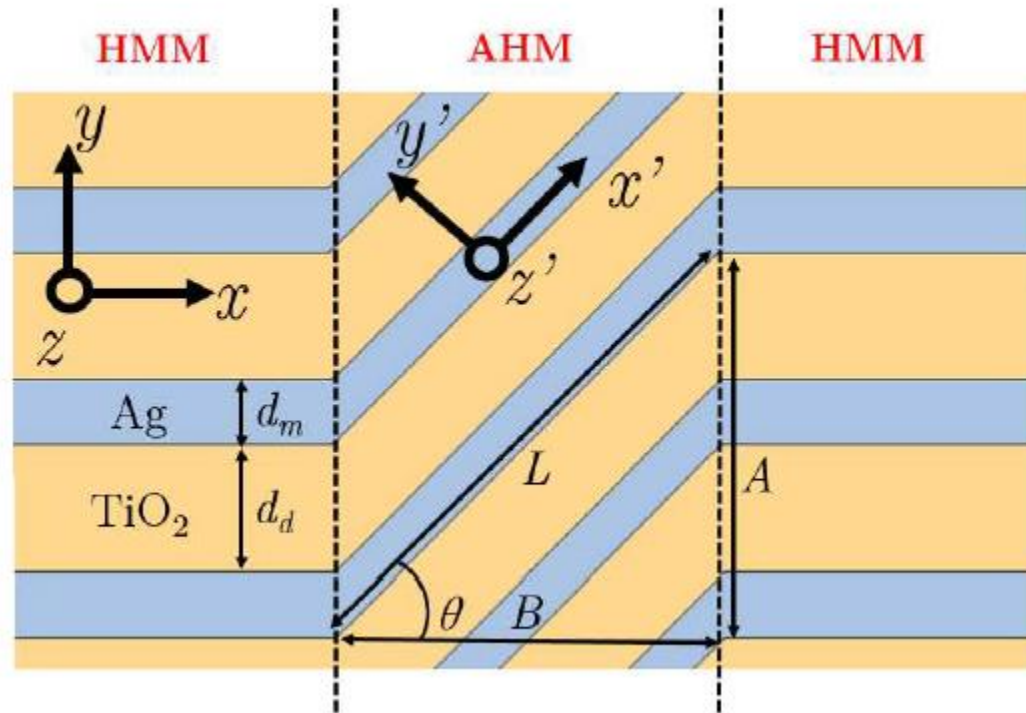
- Introduction to hyperbolic metamaterials (HMMs)
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- **Hyperbolic cavities with Fano resonances**

# Reflection and transmission in slanted cavities





# Reflection and transmission in slanted cavities

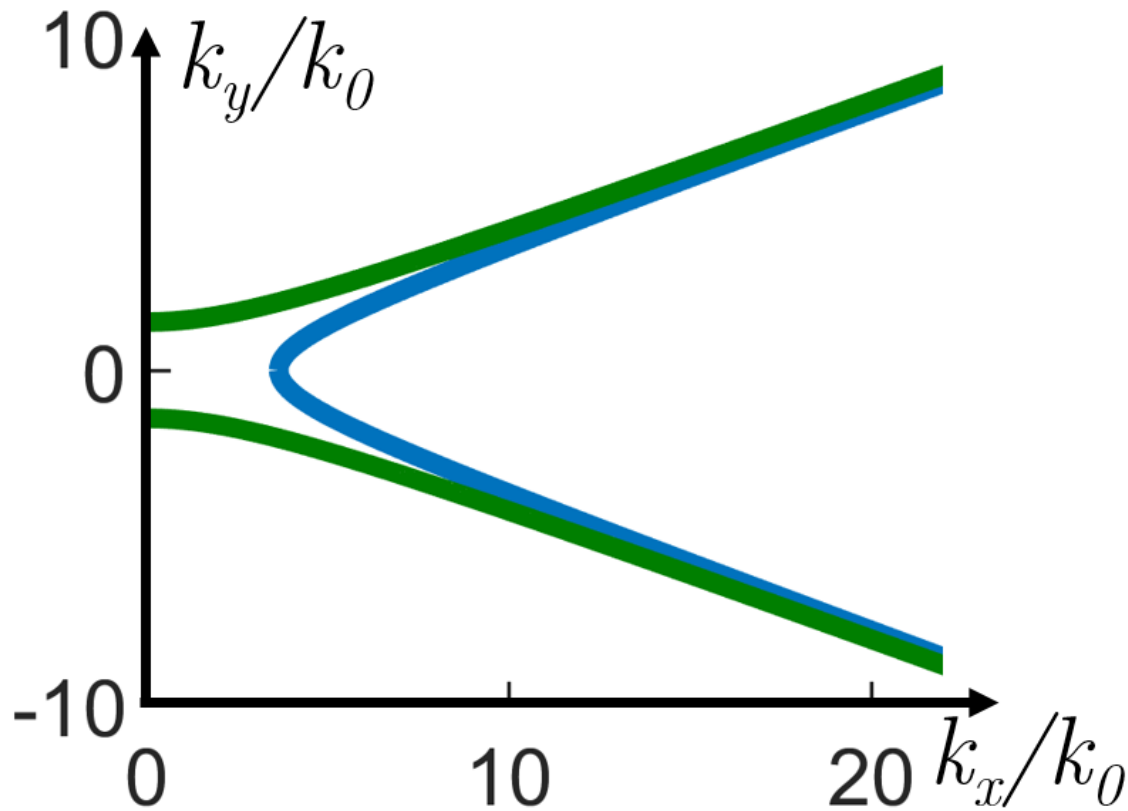


Right and left: simple multilayer HMM

Centre: « asymmetric hyperbolic metamaterial » (tilted optical axis)

# 1<sup>st</sup> model: EMT

$$\frac{k_{\parallel}^2}{\varepsilon_{\perp}} + \frac{k_{\perp}^2}{\varepsilon_{\parallel}} = k_0^2$$



- Propagative mode
- Evanescent mode

## EMT of the asymmetric HMM

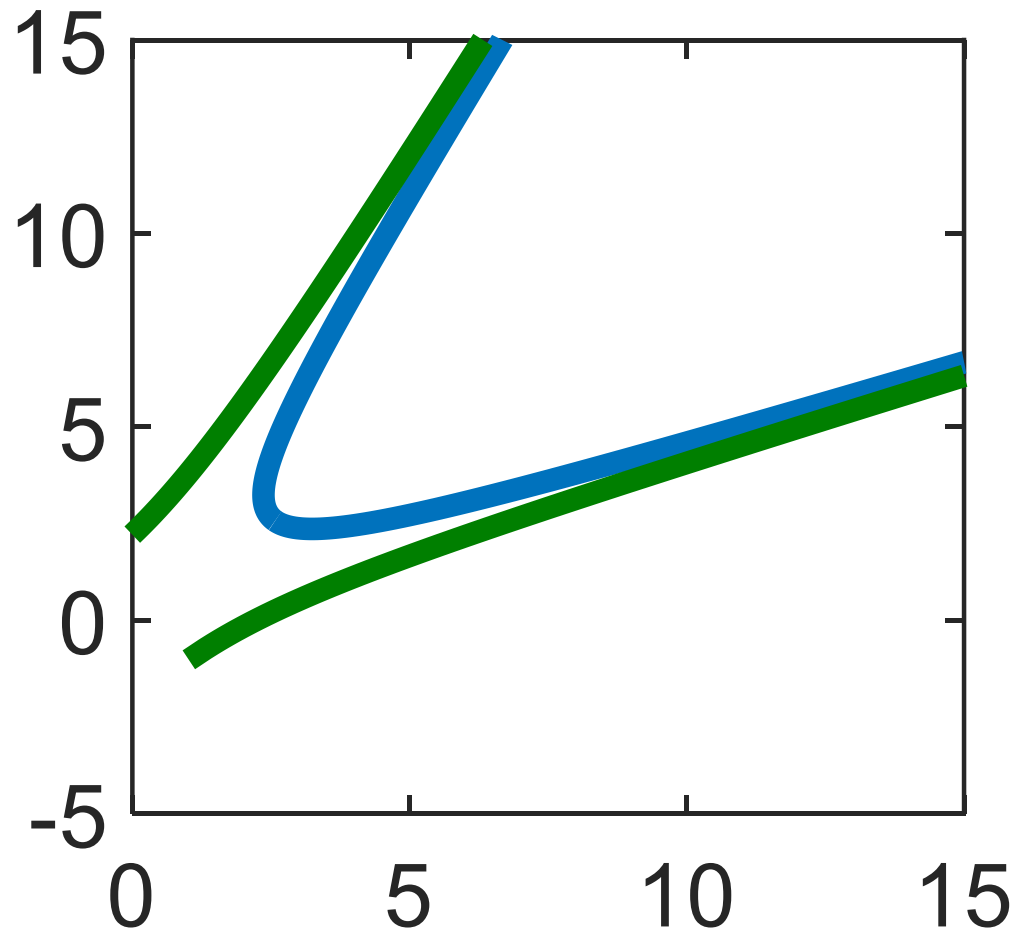
$$\bar{\bar{\epsilon}} = \mathcal{R}(\theta) \bar{\bar{\epsilon}}' \mathcal{R}(\theta)^T = \begin{pmatrix} \epsilon_{xx} & \epsilon_{xy} \\ \epsilon_{xy} & \epsilon_{yy} \end{pmatrix}$$

$$k_x^{(1,2)} = \frac{k_y \epsilon_{xy} \pm \sqrt{(\epsilon_{xy}^2 - \epsilon_{xx} \epsilon_{yy})(k_y^2 - k_0^2 \epsilon_{xx})}}{\epsilon_{xx}}$$

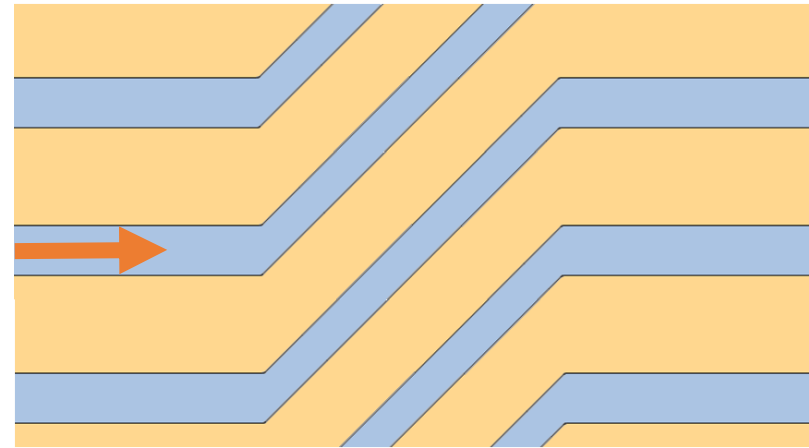
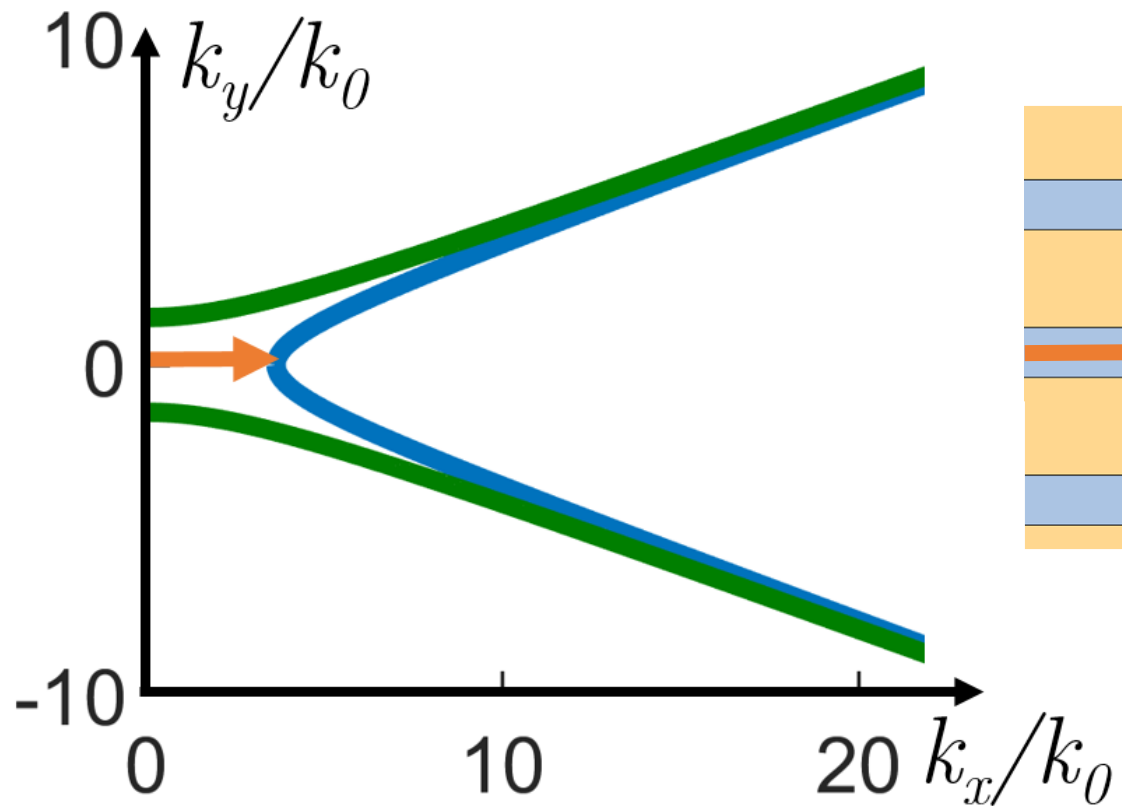
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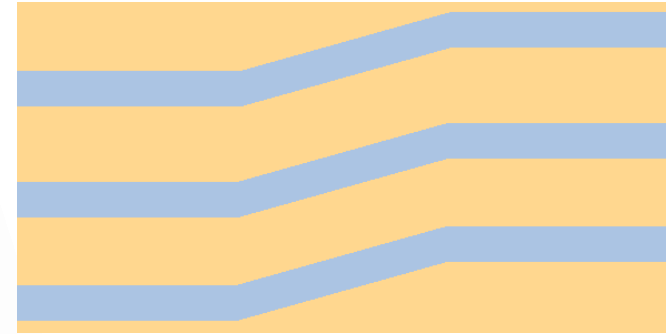
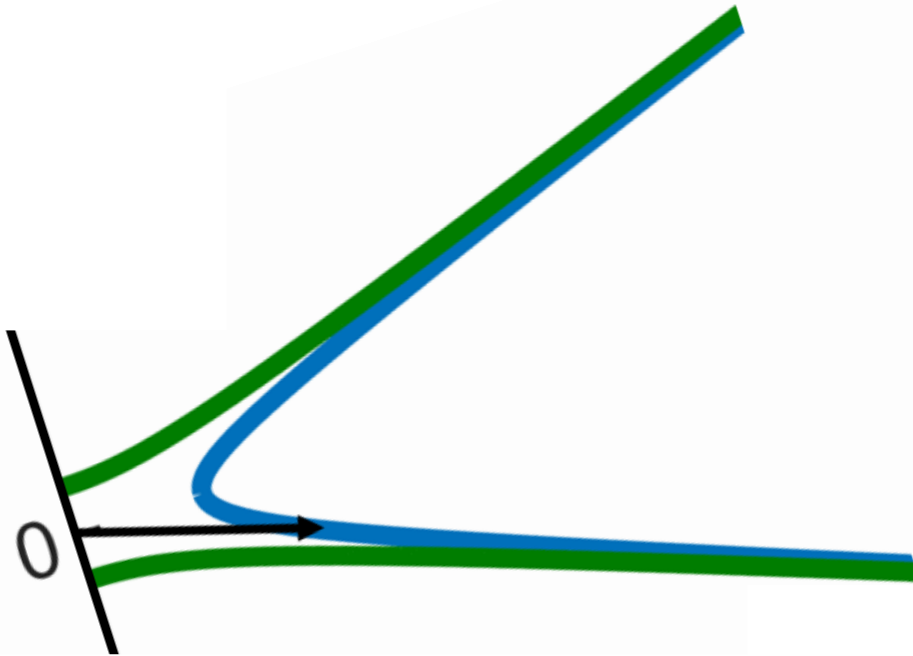
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# Transverse momentum conservation

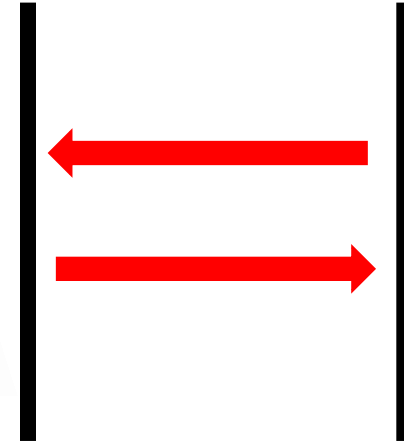
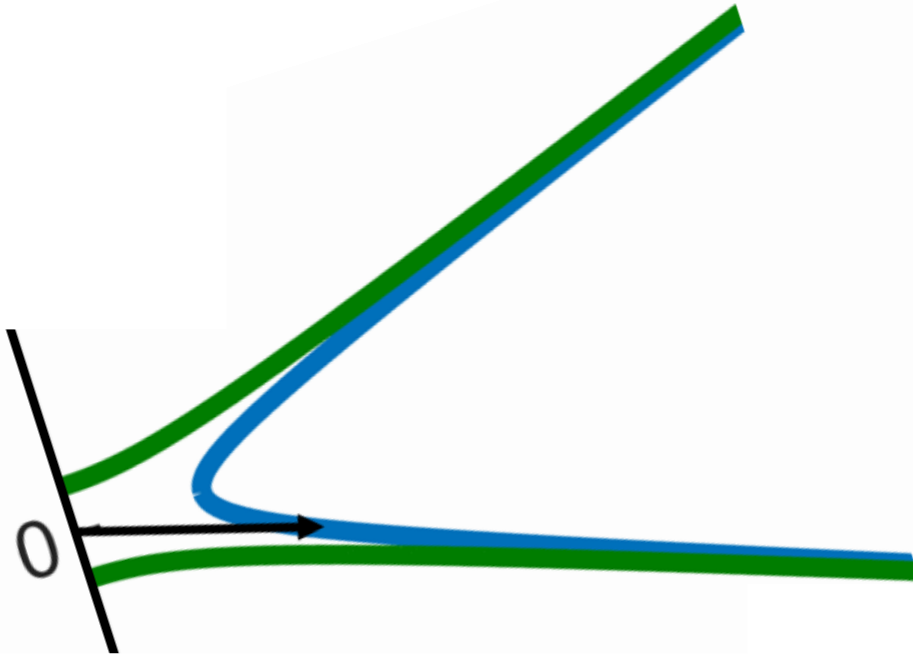


# Transverse momentum conservation



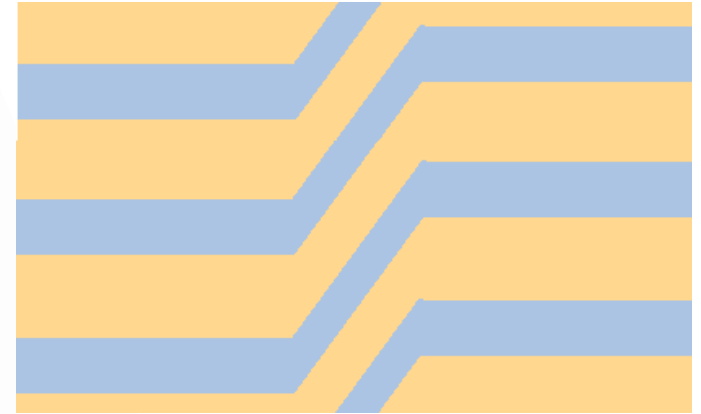
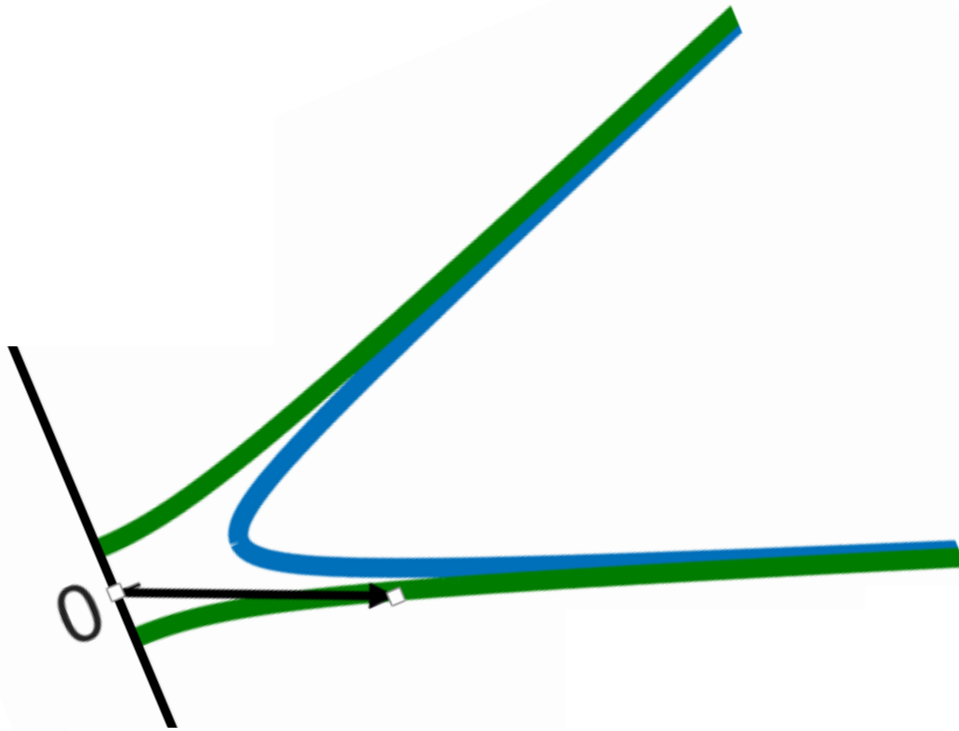
Below  $\theta_t$ , propagative mode excited

# Transverse momentum conservation



Below  $\theta_t$ , propagative mode excited

# Transverse momentum conservation



Below  $\theta_t$ , propagative mode excited

Above  $\theta_t$ , evanescent mode excited



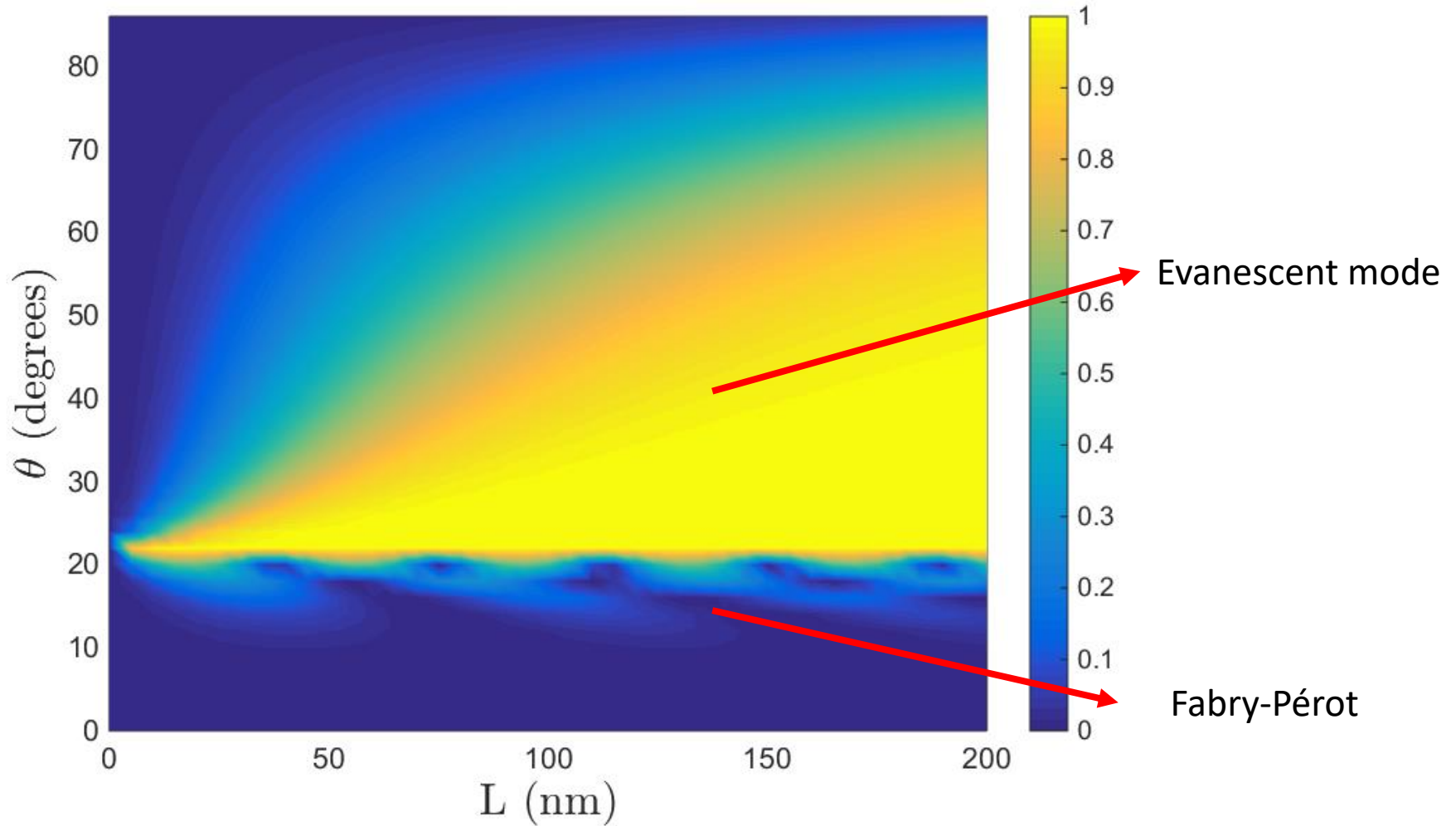
# Transverse momentum conservation



Below  $\theta_t$ , propagative mode excited

Above  $\theta_t$ , evanescent mode excited

# Reflection map

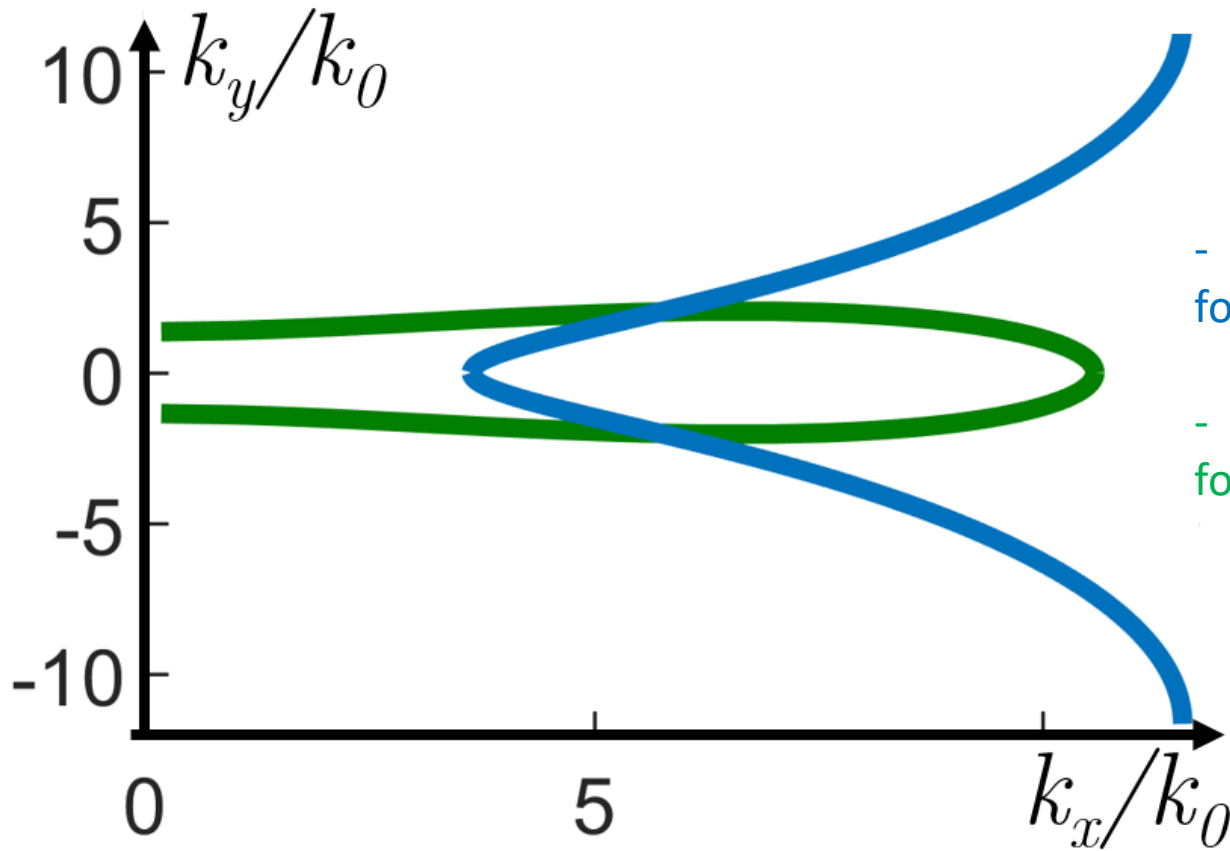


## Exact solution (without losses in metal)

$$\cos(k_y D) = \frac{(\kappa_d \varepsilon_m + \kappa_m \varepsilon_d)^2}{4\kappa_d \kappa_m \varepsilon_d \varepsilon_m} \cosh(\kappa_d d_d + \kappa_m d_m) - \frac{(\kappa_d \varepsilon_m - \kappa_m \varepsilon_d)^2}{4\kappa_d \kappa_m \varepsilon_d \varepsilon_m} \cosh(\kappa_d d_d - \kappa_m d_m)$$

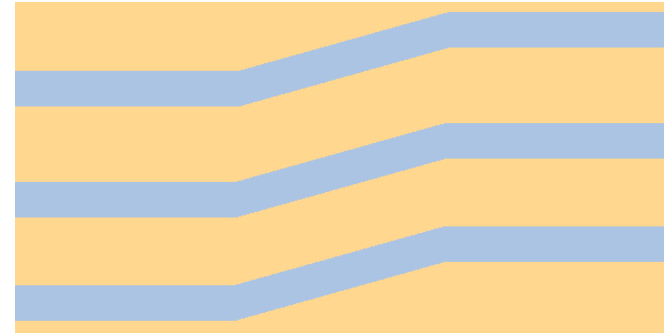
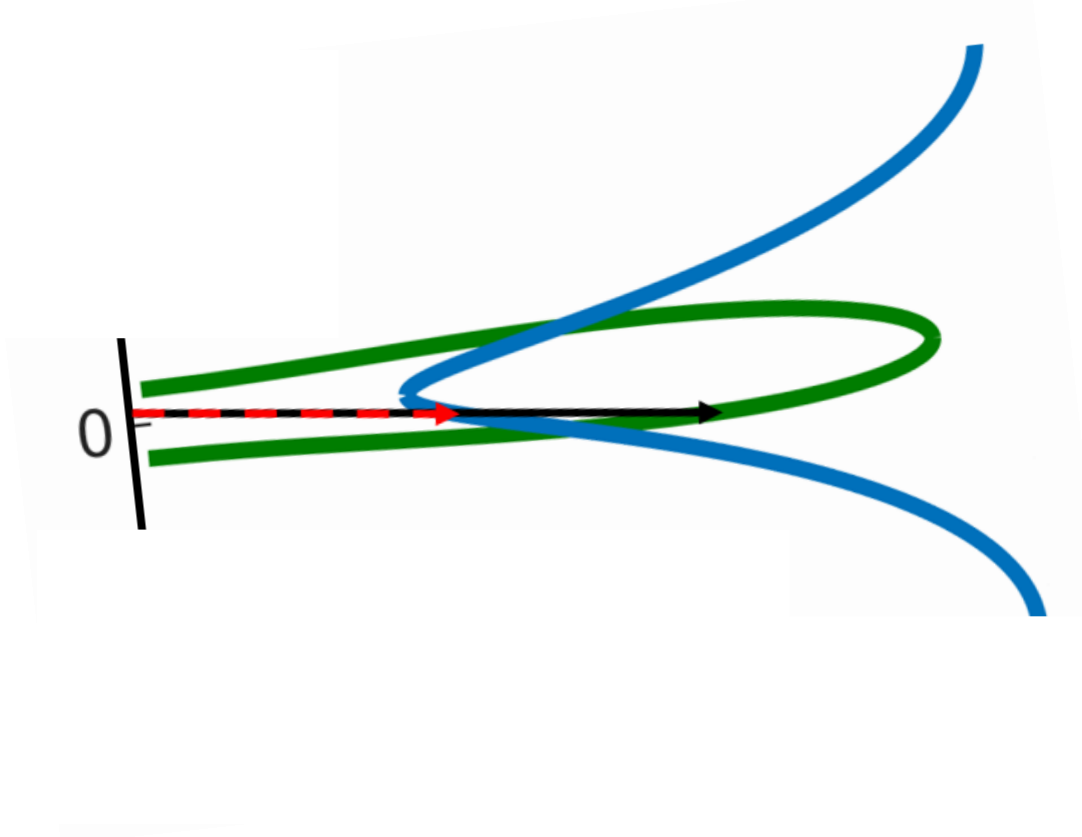
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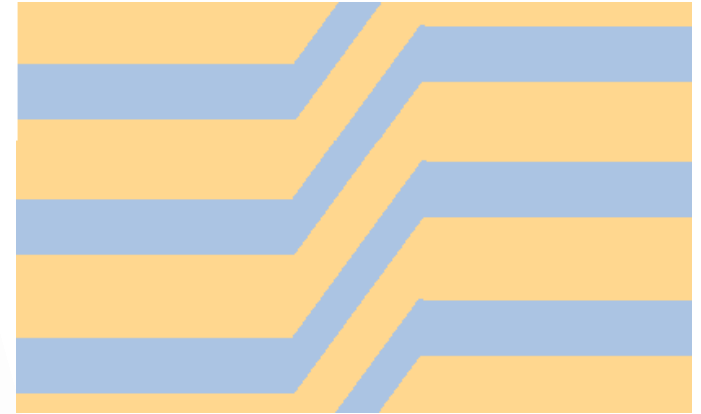
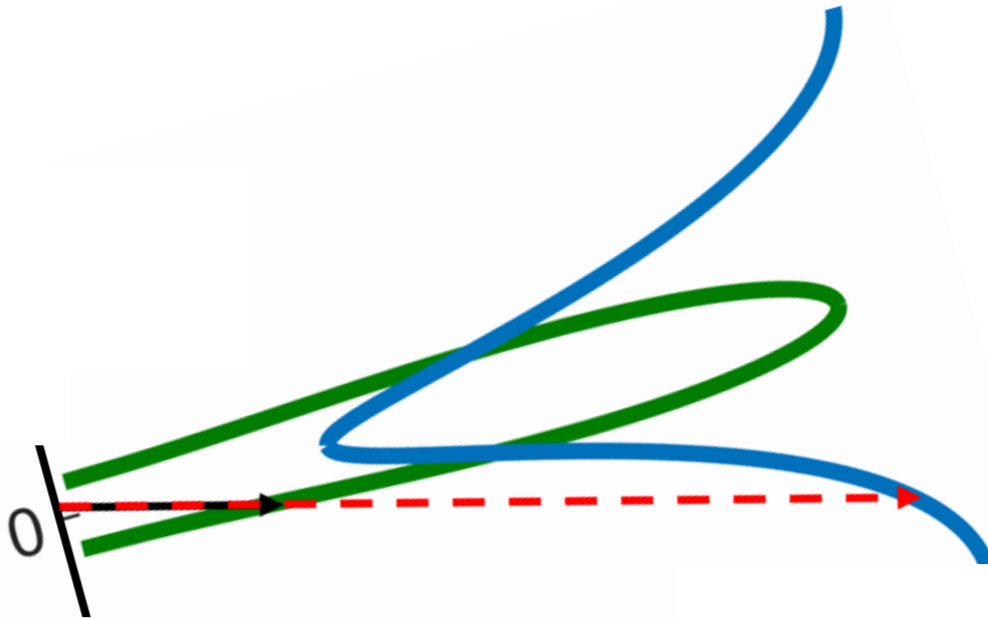
- Periodic isofrequency curve for the propagative mode
- Close isofrequency curve for the evanescent mode

# Transverse momentum conservation ( $k_y = 0$ )



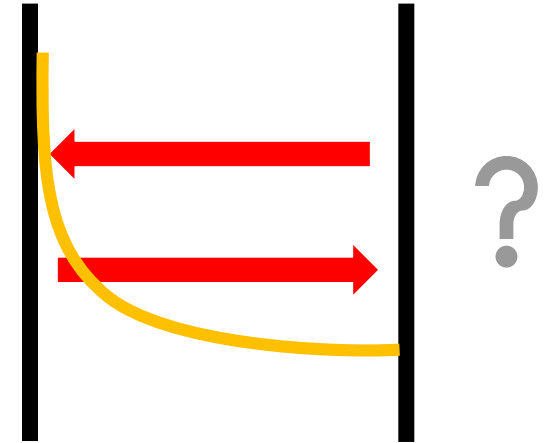
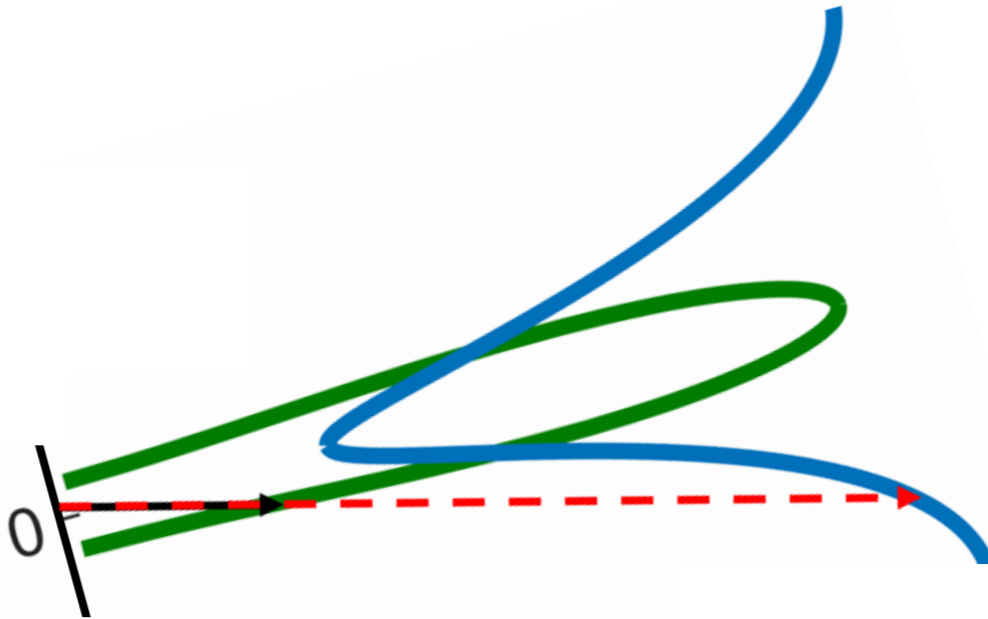
Always a propagative and evanescent mode excited !

# Transverse momentum conservation



Always a propagative and evanescent mode excited !

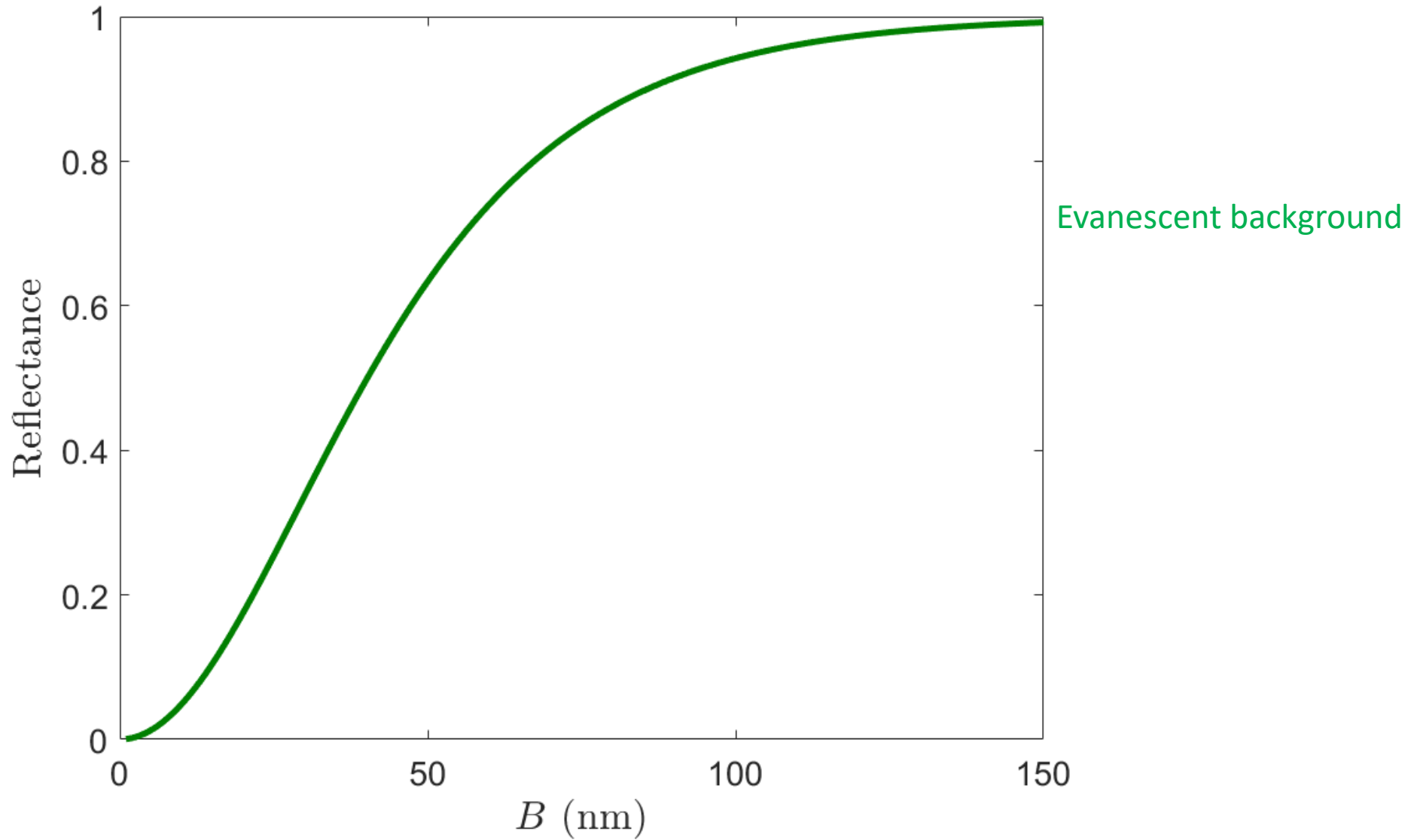
# Transverse momentum conservation



Always a propagative and evanescent mode excited !

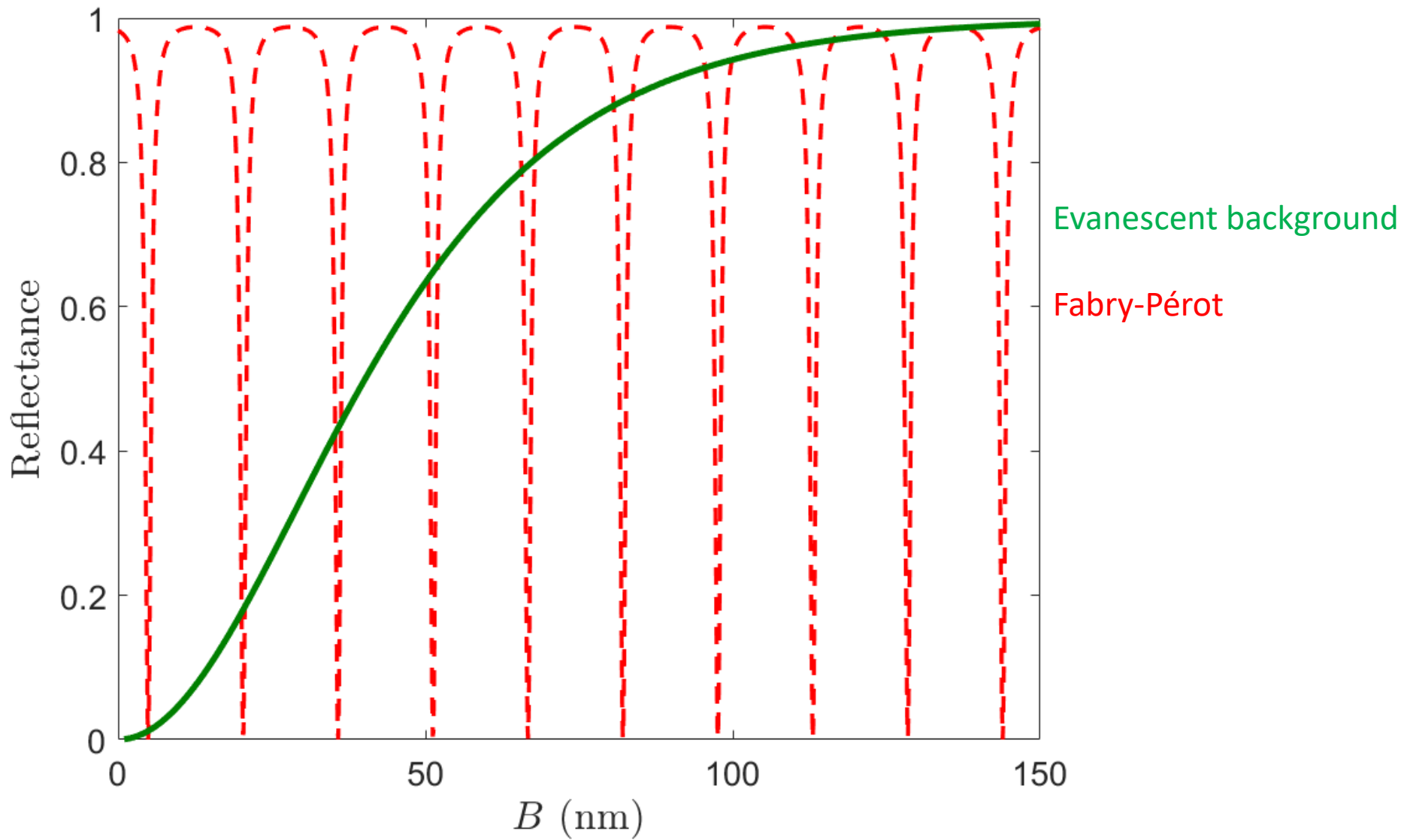
→ Interference at the output

# Fano resonances ( $\Theta = 45^\circ$ )

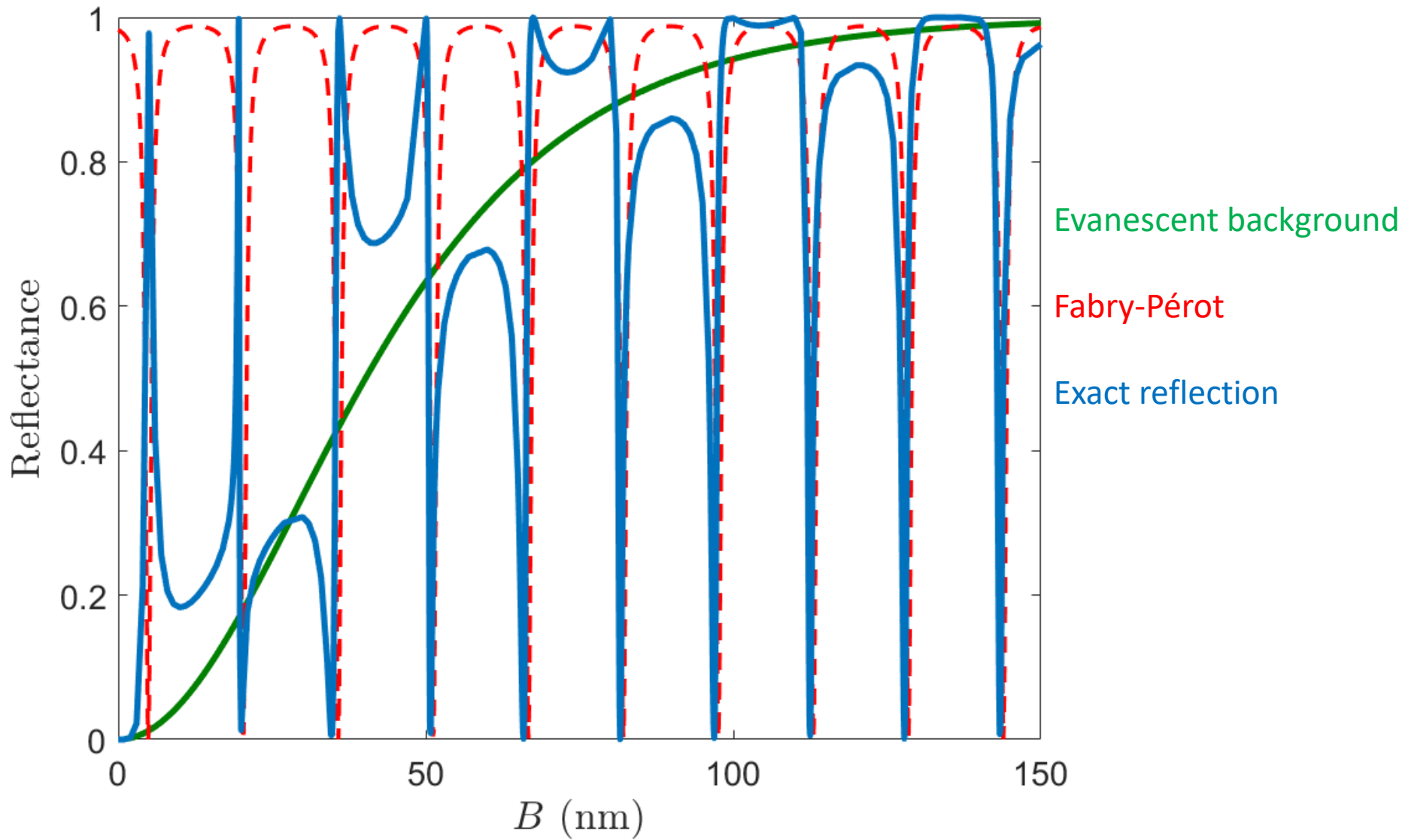




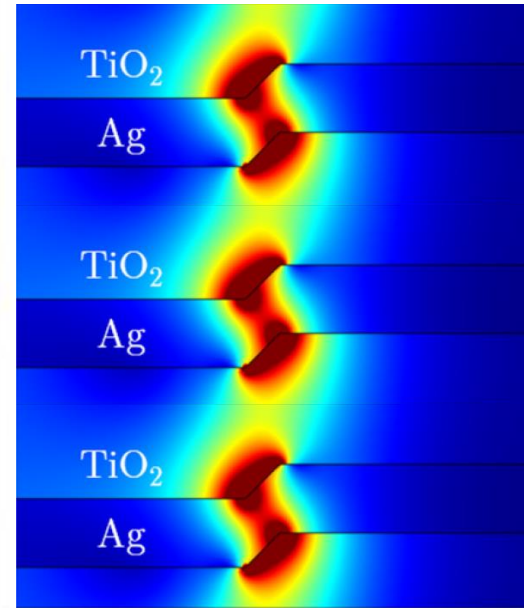
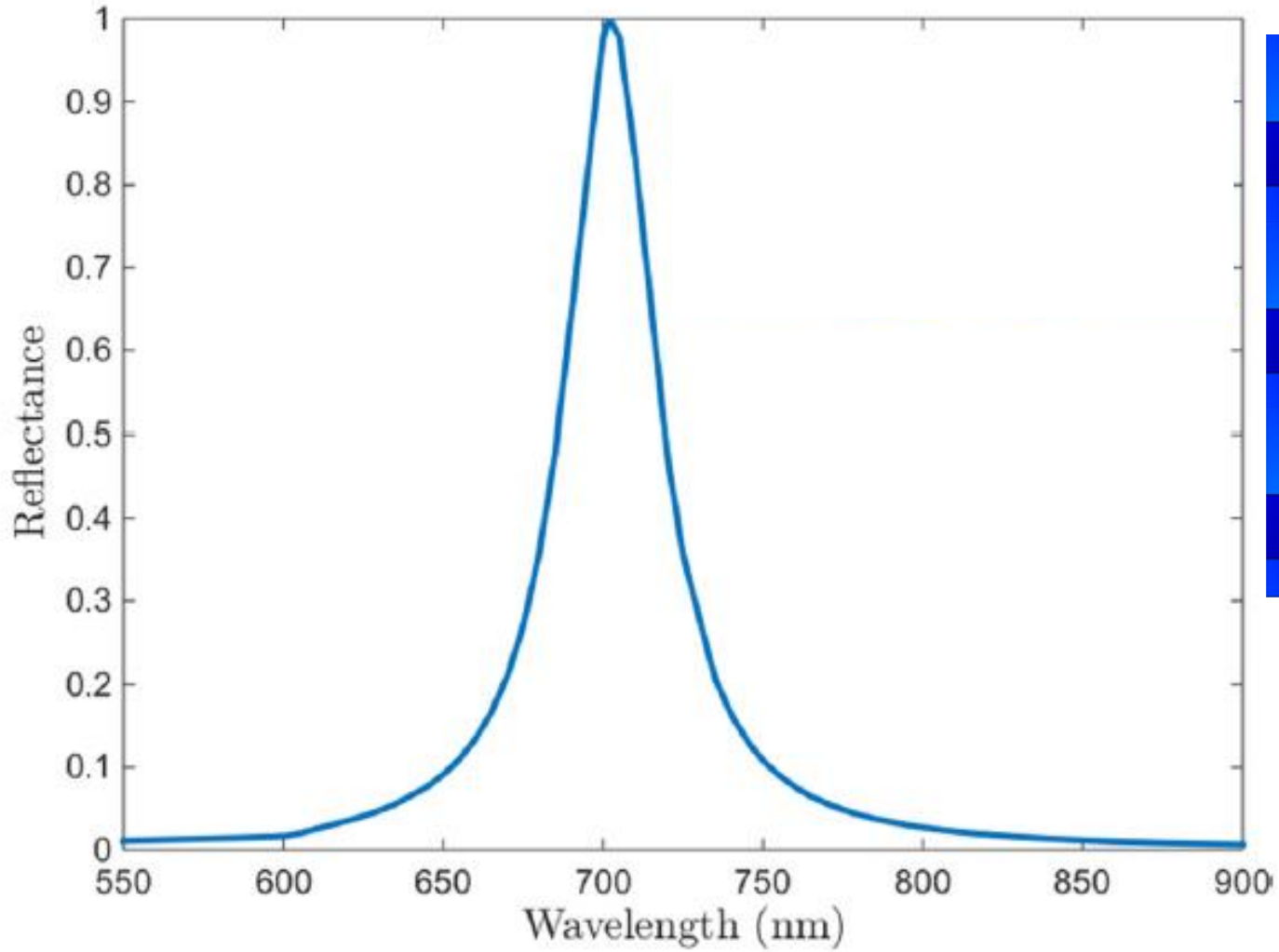
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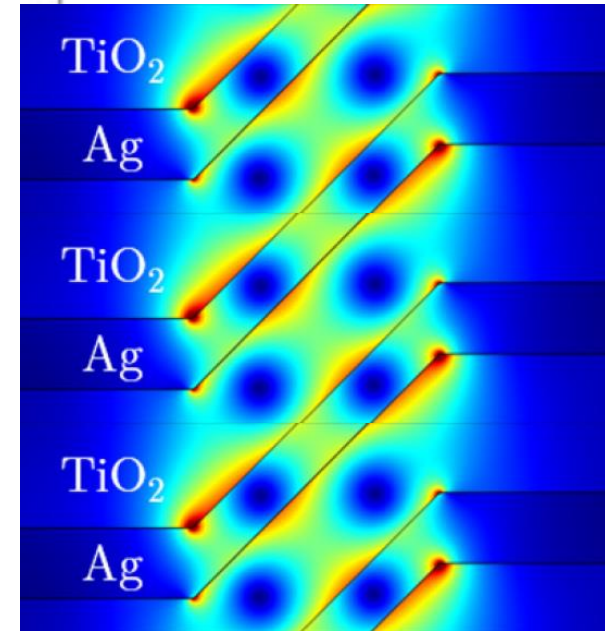
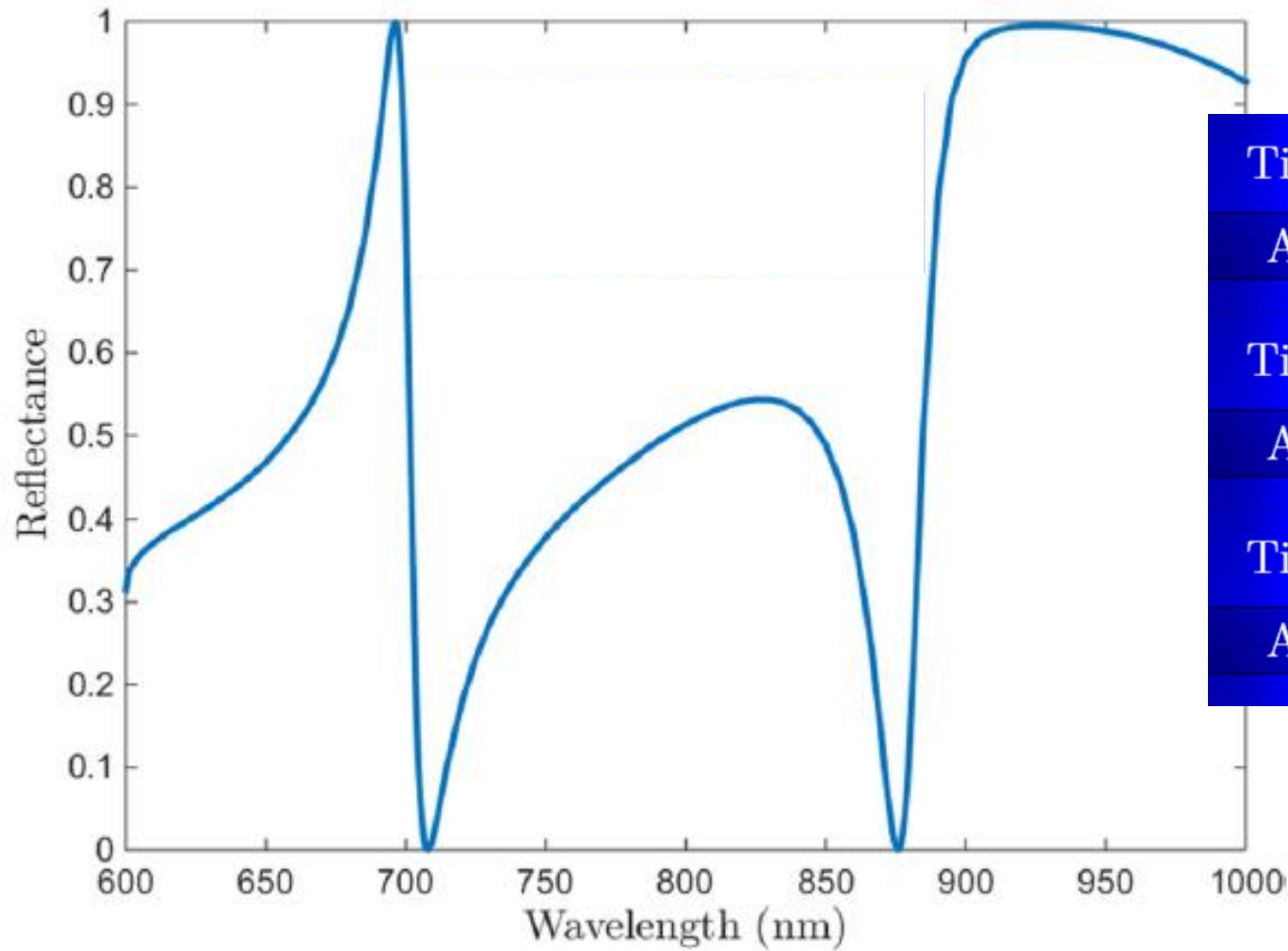
# Fano resonances ( $\Theta = 45^\circ$ )



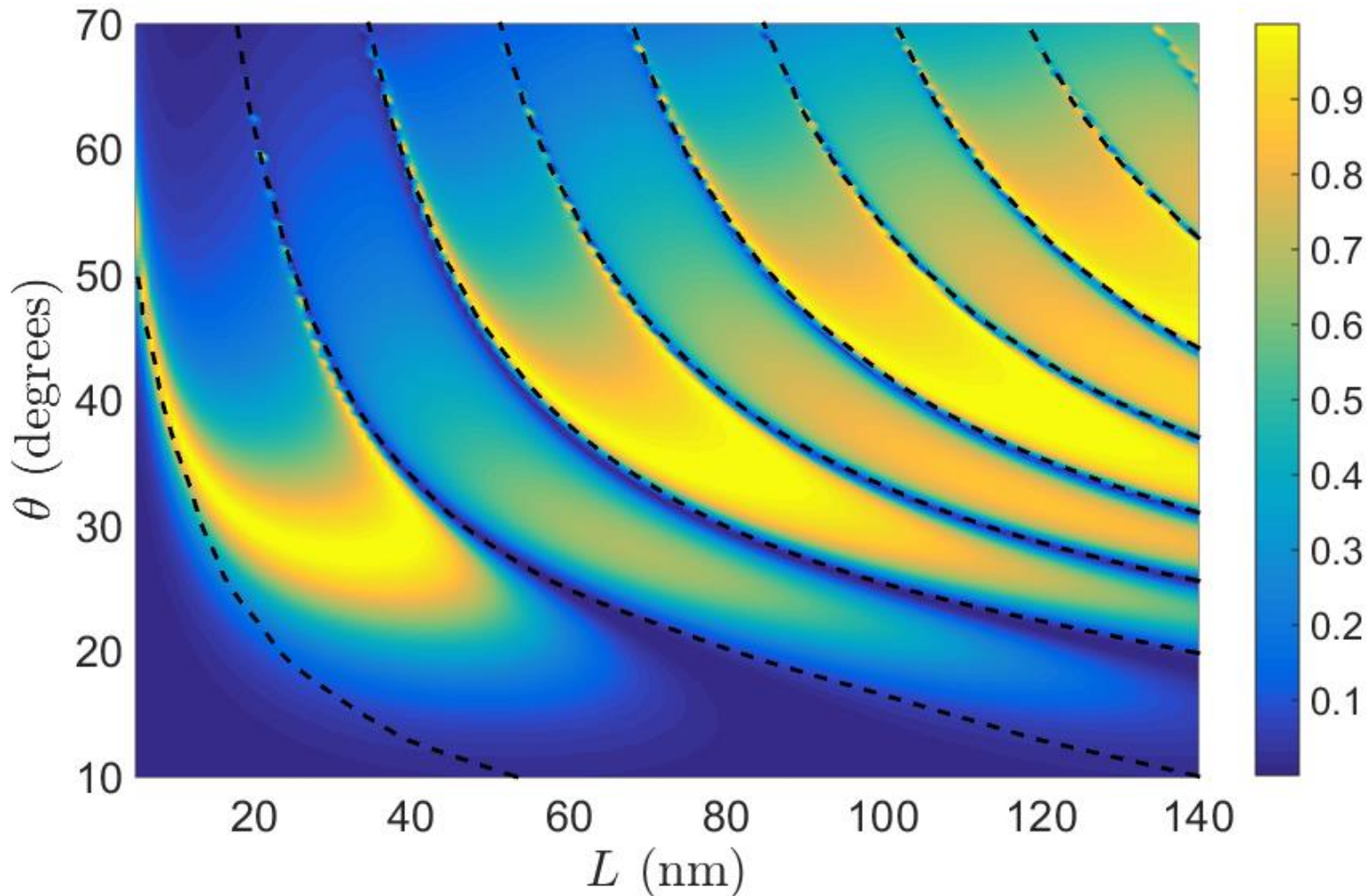
# Spectrum for $B = 5$ nm



# Spectrum for $B = 35$ nm



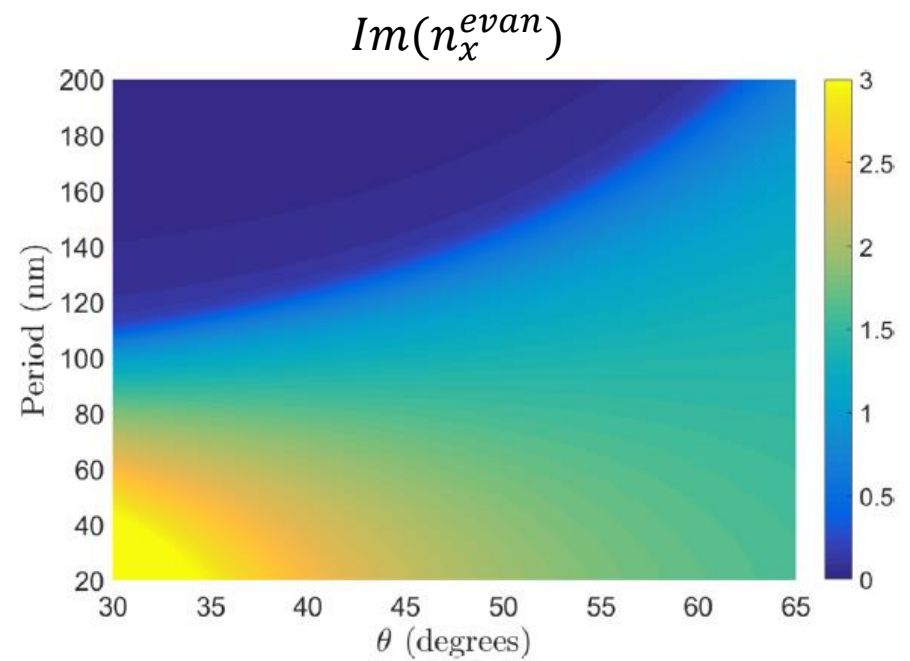
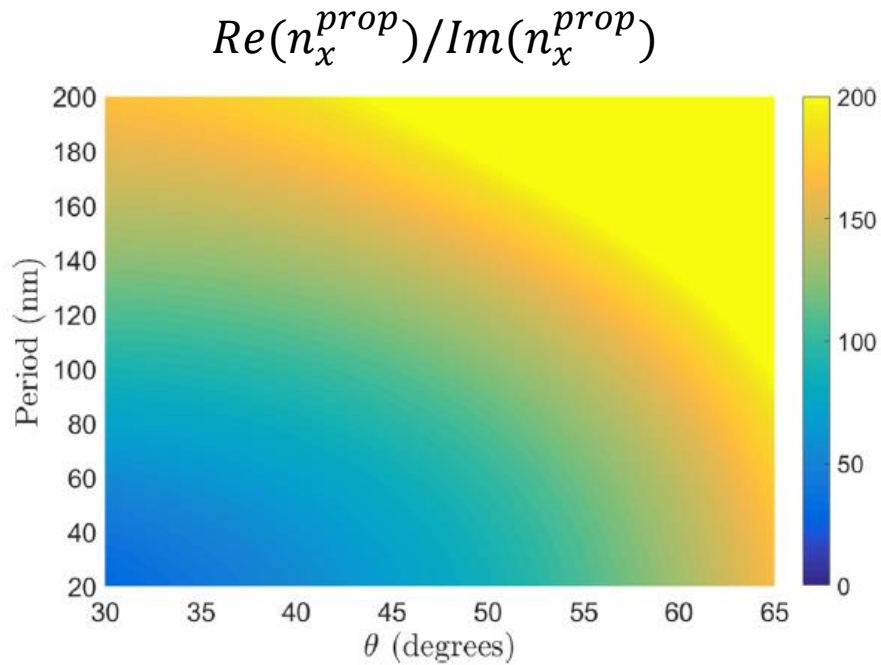
## Reflection map (without loss)



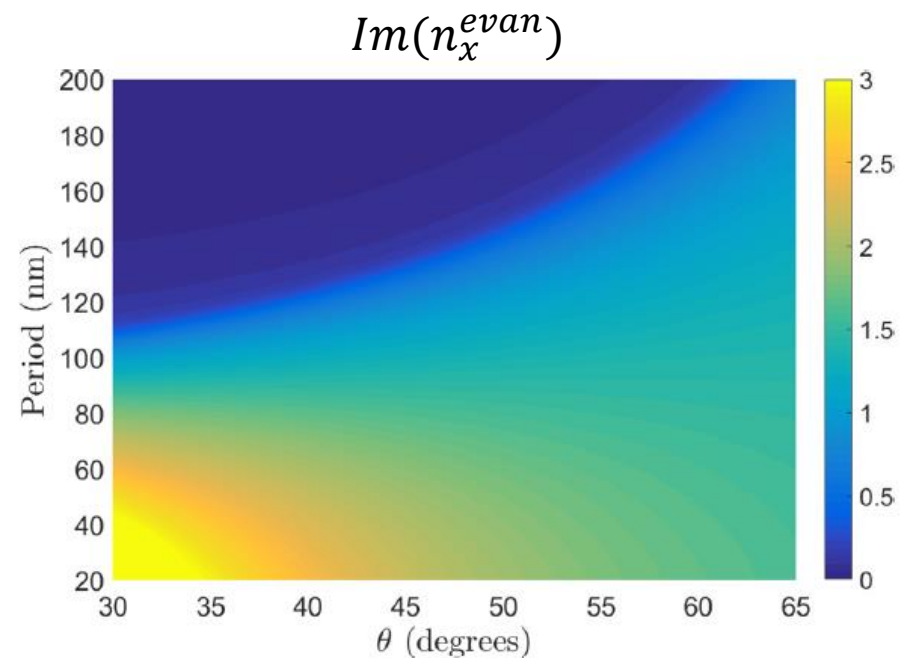
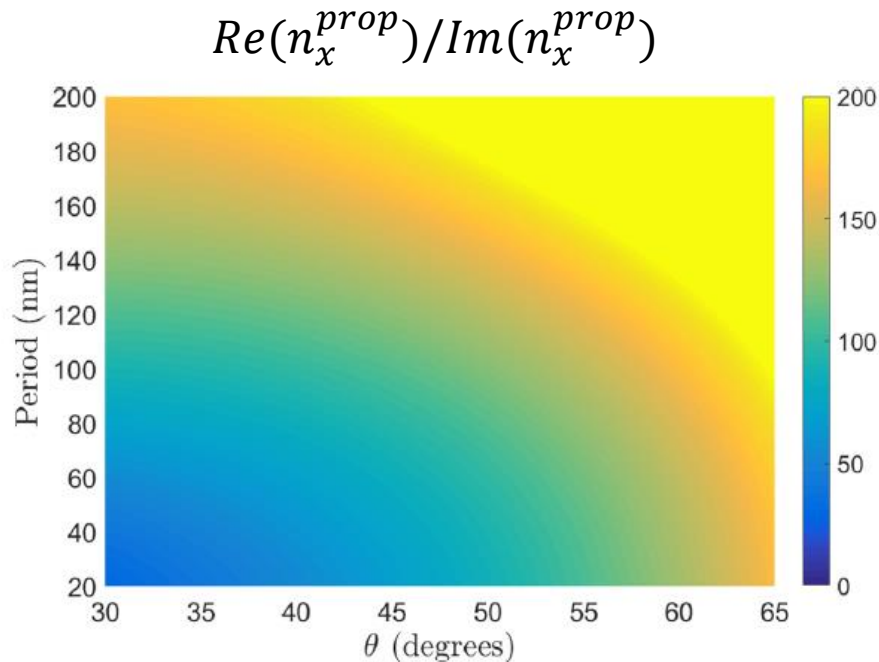
---  $2k_x(\theta)B + 2\varphi(\theta) = 2\pi m$  Phase matching

F. Vaianella and B. Maes, Physical Review B, vol. 94, pp 125442. (2016)

# Lossy metal : condition for Fano resonances



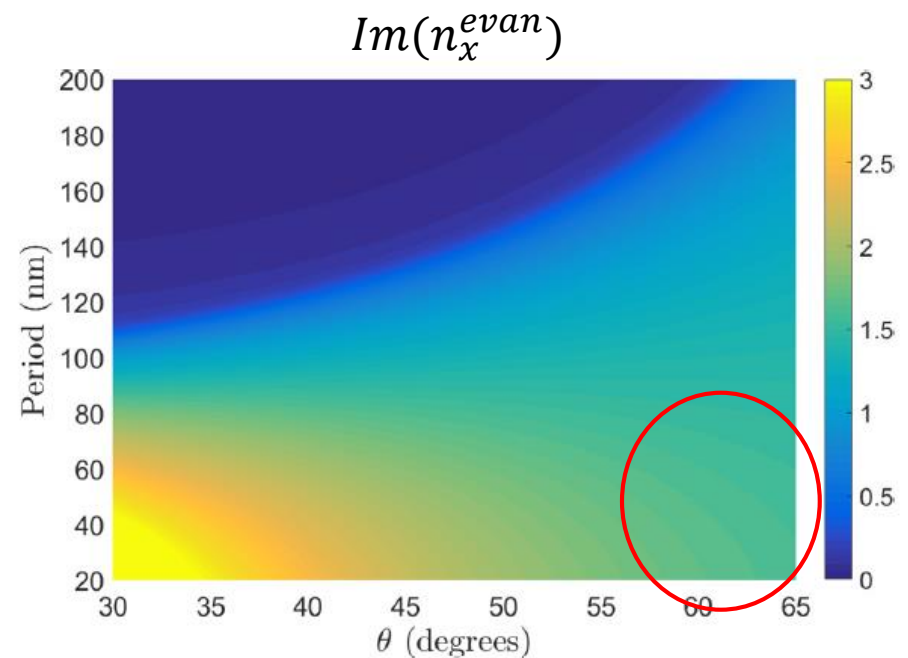
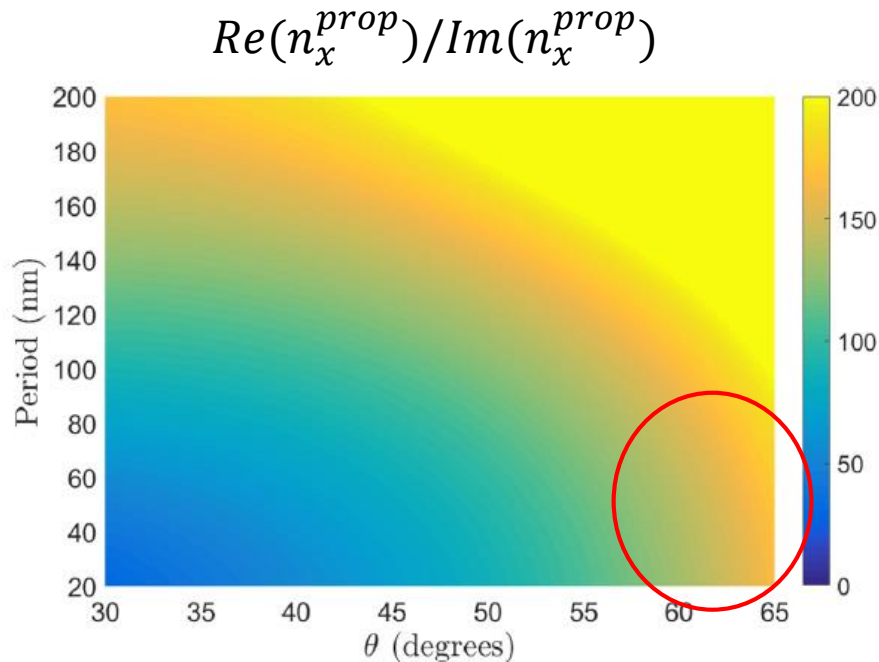
# Lossy metal : condition for Fano resonances



- Propagating mode should have large real part and small imaginary part of refractive effective index
- Evanescent mode should have imaginary part not too high (background would disappear) and not too low (background not efficient)



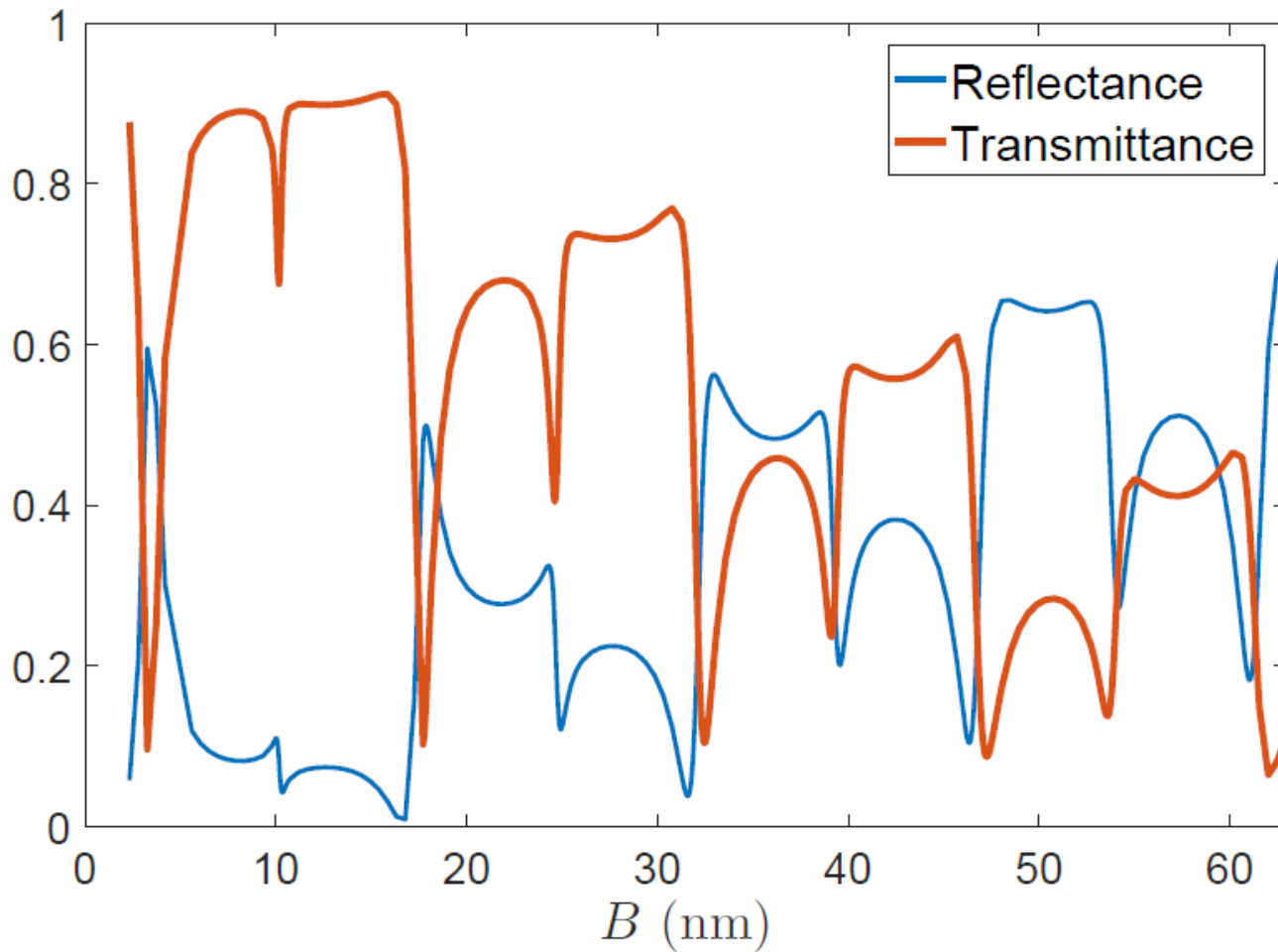
# Lossy metal : conditions for Fano resonances



- Propagating mode should have large real part and small imaginary part of refractive effective index
- Evanescent mode should have imaginary part not too high (background would disappear) and not too low (background not efficient)

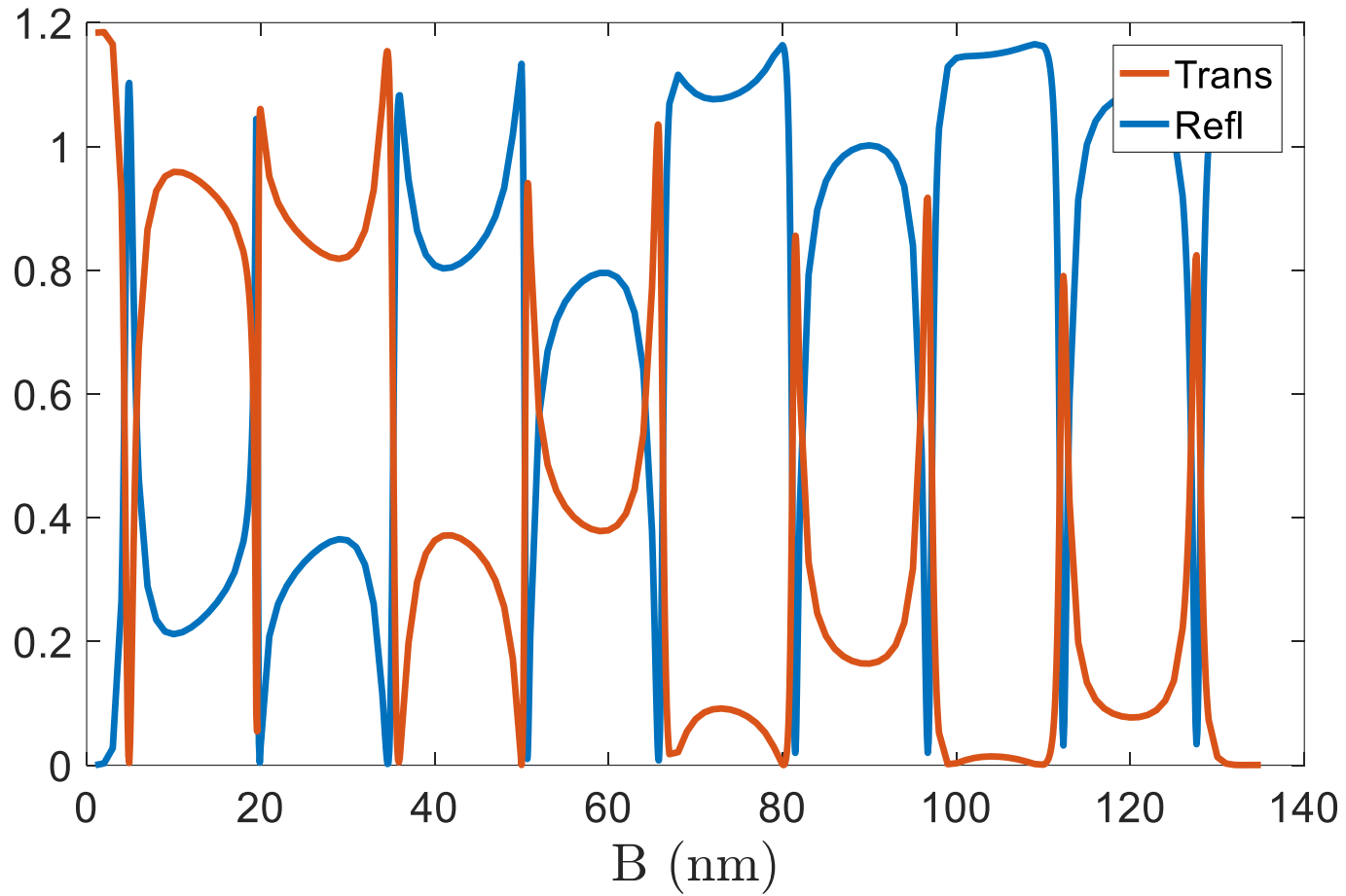


# Scattering with losses for $\Theta = 65^\circ$

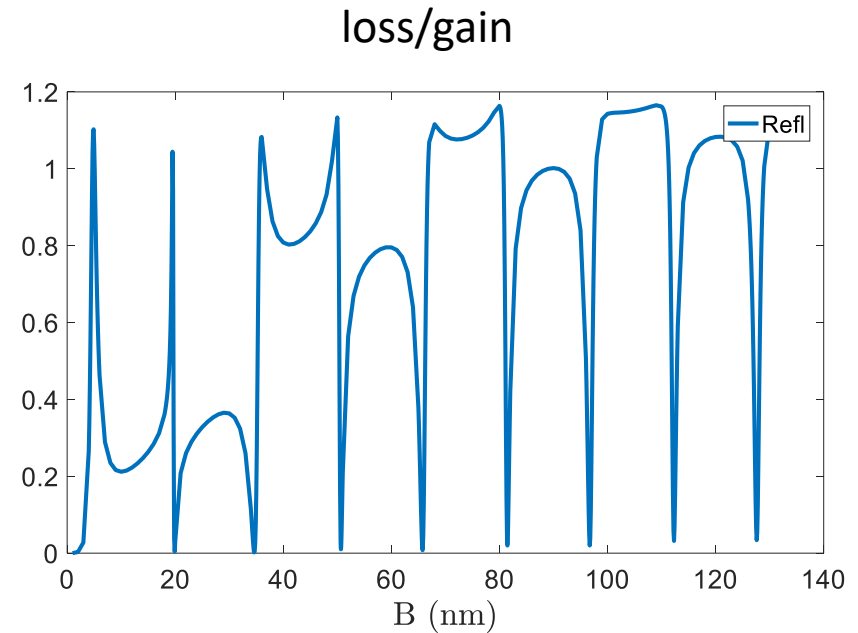
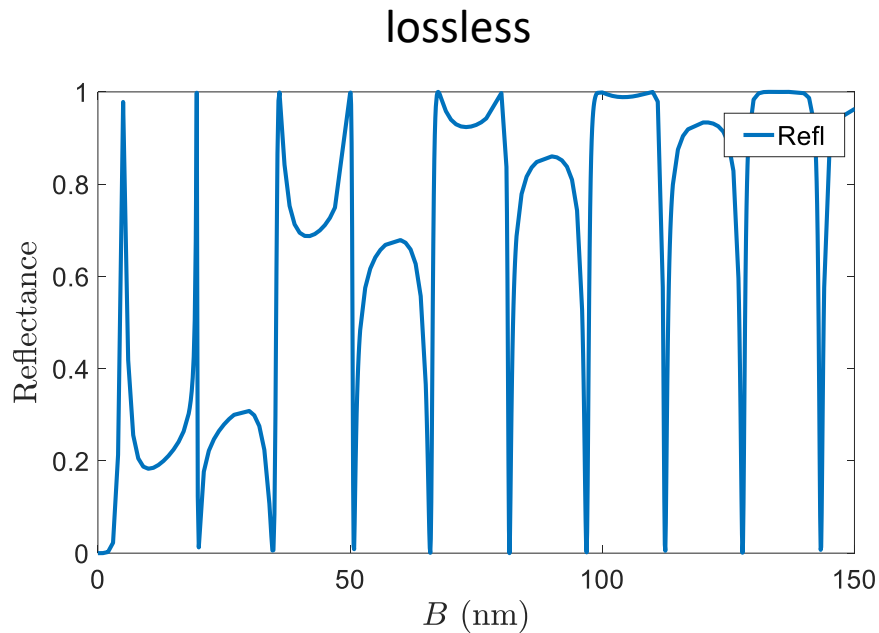


Fano resonances still present but more or less damped

# Introduction of gain in the dielectric : $\text{Im}(n_{\text{TiO}_2}) = -0,07$



# Comparison lossless – gain/loss structures



Introduction of gain allows 100% transmittance-reflectance Fano resonances  
Actually difficult to introduce gain in TiO<sub>2</sub>  
Would be easier to work with semiconductors in infrared regime

# Conclusions

- Hyperbolic metamaterials are periodic plasmonic structures with positive component of dielectric tensor in one direction and negative in another
- Fano resonances in ultra compact cavities for great control of the reflection and transmission of light
- Effective medium approximation inaccurate for this work. Predicts the excitation of one single mode, no Fano resonances possible
- Other topics: Heat transfer, active HMM, tunable HMM with graphene, homogenization theory, ...

*Thank you for your attention*

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