

A semi-analytical model for unidirectional guided



resonances based on multimodal interference

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1 - Introduction

Recently, optical bound states in the continuum (BICs) have been produced in photonic crystal slabs. A variation, unidirectional guided resonances (UGRs), has been reported, where the symmetry is broken, leading to leakage in a specific direction [1]. We explore a microscopic semi-analytical model to understand these resonances, by extending a multimodal interference approach of BICs.

The multimodal approach consists of searching for vertically propagating guided modes in a waveguide that has the same dimensions as our geometry. Then by injecting these modes in the upper and lower

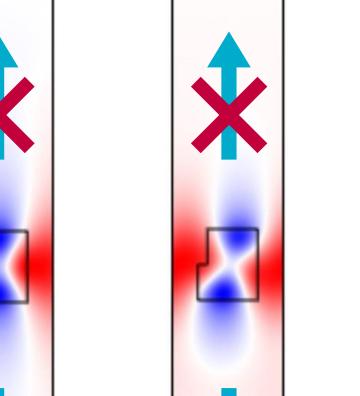


Figure 1 – Out-of-plane electric field for a **BIC** (left) and a **UGR** (right).

half of our structure we construct de reflection matrices of two halves of our cell. These matrices gives us information about the way the guided modes interfere in the structure.

2 - Search of guided modes

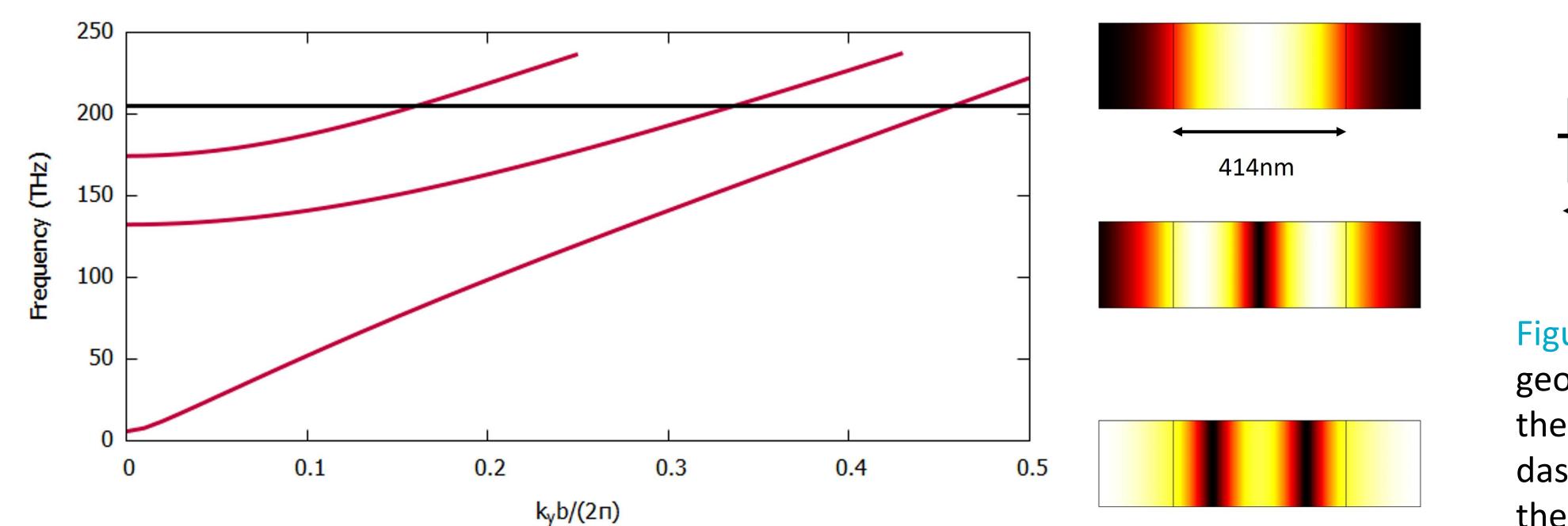


Figure 2 – *Left*: Dispersion curve of a waveguide with same dimensions as our structure. The black line shows the frequency of the UGR. *Right*: Electric field norm of the three 414nm Figure 3 – Inner geometry used for the UGR. The dashed line shows the separation between the upper and lower half.

How we proceed:

Using an eigenmode solver, we search for guided modes with the same horizontal wavenumber and frequency as the UGR.

We inject these modes in the upper and lower half of the structure.

We construct the reflection matrices R_u (up)

guided modes used for interference.

and R_d (down).

3 - Model

 $R_d R_u v_u = \lambda v_u$ The **eigenvalue** λ gives us insight in the resonance:

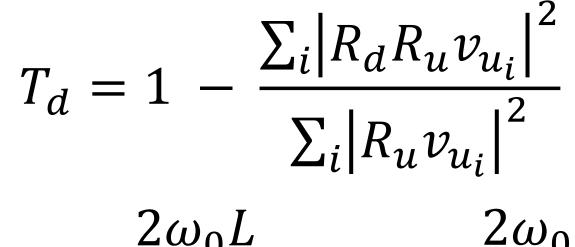
 $R_u R_d v_d = \lambda v_d$

If Im(λ) → 0 we have a phase resonance.
If |λ| → 1 losses go to zero.

Losses are computed with the **eigenvectors and reflection matrices**.

$$T_{u} = 1 - \frac{\sum_{i} |R_{u} v_{u_{i}}|^{2}}{\sum_{i} |v_{u_{i}}|^{2}}$$

Based on [2] and [3] we constructed the **semi-analytical Q factor** for the two halves.



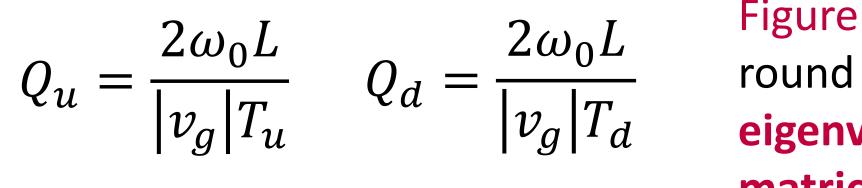


Figure 4 – We construct the round trip by combining the eigenvectors and reflection matrices.

 $R_u v_u$

5 - Conclusion and perspectives

As shown on **figure 5**, our model gives good results in comparison to an eigenmode solver. Meaning that we can describe **BICs** and **UGRs** as interferences between fundamental modes. However more investigation are needed to construct a more precise formula for the **Q factor**.

Perspectives:

- Extending the model to more elaborate structures
- Using the multimodal interference to find BICs and UGRs in new geometries
- Connecting our near-field

4 - Results

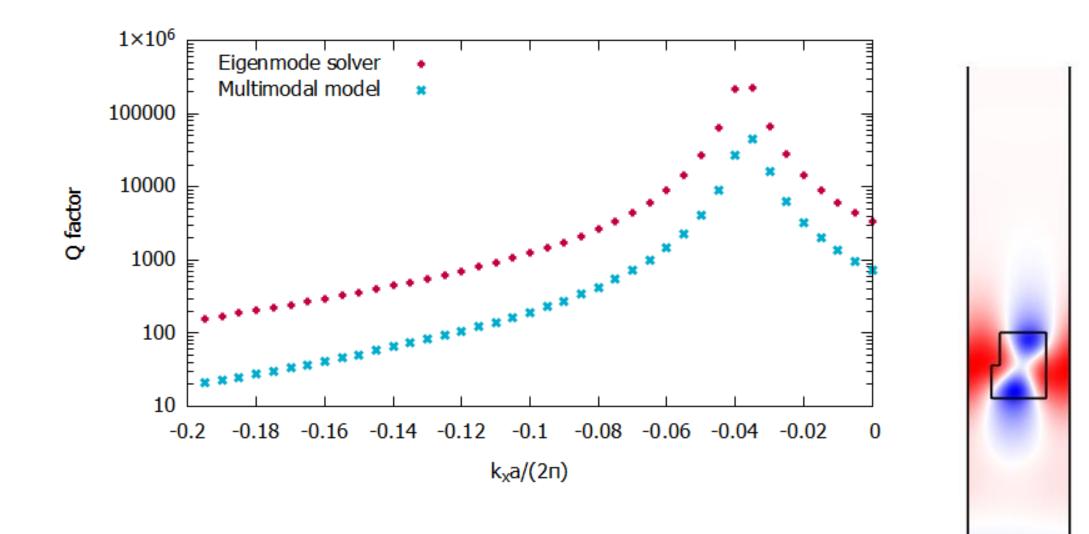


Figure 5 – Comparison between the **Q** factor given by our model and an eigenmode solver. Figure 6 – Comparison of the outof-plane electrical field profile given by an eigenmode solver (left) and the mode mixing of our method (right).

 $v_u \models$

 $R_d R_u v_u$

As we can see, the profile of the two methods are similar. Showing that the mode mix given by the eigenvectors reproduces the UGR. approach with the far-field description of UGRs [1]

References

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[3] H.A. Haus, Waves and fields in optoelectronics (Prentice-Hall, 1984).

[4] A. I. Ovcharenko, et al., Phys. Rev. B, Vol. 101, Issue 15, 155303 (2020)

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