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Introduction: Head and neck squamous cell carcinomas (HNSCC) are among the most prevalent cancers worldwide, setting them in the 6th place. In Belgium, their incidences are higher and such cancers arise at the 4th position in men. Despite advances in therapeutic approaches, the mortality rate has remained relatively constant in recent years, with a 5-year survival rate around 50% and recurrences occurring in 40-60% of treated patients. It appears that the cell composition of the tumour microenvironment (TME) is likely to influence patient outcome. Currently, there is no immune-based classification of head and neck cancer. However, the evaluation of immune cell recruitment to classify HNSCC patients in different immunologic subgroups depending on the TME composition could be helpful to improve patient prognosis. In this study, we propose an immune signature based on CD8+, FoxP3+ and CD68+ count in IT and/or stromal (ST) compartments in a large clinical series of 258 patients with HNSCC.

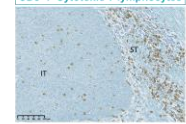
Patients and Methods

Table 1. Patient population characteristics

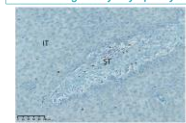
Variables	Number of cases (n=258)	Relapse-free survival p-value HR (95% CI)	Overall survival p-value HR (95% CI)
Age (years)	61 (29-90)		
Median (range)			
Recurrence (RFS)			
Median (range)	22 (1-245)		
Yes	104		
No	120		
Unknown	34		
Overall Survival (OS)			
Median (range)	33 (1-294)		
Alive	124		
Dead	102		
Unknown	32		
		0.048 0.67 (0.45-0.99)	0.943 0.98 (0.66-1.48)
Gender			
Male	177		
Female	81		
Anatomical site			
Oral cavity	113		
Oropharynx	80		
Larynx	44		
Hypopharynx	19		
Nasopharynx	2		
Tumor stage			
I-II	84		
III-IV	130		
Unknown	44		
		0.274 1.27 (0.99-1.62)	0.005 1.91 (1.22-3.00)
Histological grade			
Poorly differentiated	112		
Well differentiated	89		
Unknown	57		
		0.225 0.76 (0.45-0.99)	0.029 0.62 (0.40-0.95)
Tumor invasion			
Yes	154		
No	59		
Unknown	45		
		0.053 1.68 (0.99-2.82)	0.157 1.42 (0.88-2.30)
Risk factors			
Tobacco			
Smoker	181		
Non-smoker	36		
Unknown	41		
Alcohol			
Drinker	129		
Non-drinker	78		
Unknown	51		
		0.811 1.05 (0.69-1.60)	0.445 1.18 (0.77-1.81)
HPV status			
Positive	65		
Negative	138		
Unknown	55		
		0.131 0.65 (0.37-1.14)	0.562 0.86 (0.51-1.44)
CD8 status			
Positive	127		
Negative	121		
Unknown	100		
		0.103 0.57 (0.29-1.12)	0.152 0.62 (0.32-1.19)

IMMUNOHISTOCHEMISTRY

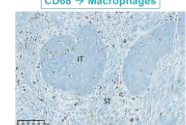
CD8 → Cytotoxic T-lymphocytes



FoxP3 → Regulatory T-lymphocytes



CD68 → Macrophages



Count in 5 randomly fields in the stroma + 5 randomly fields in intratumoral area
→ Mean → Cut-off value (RStudio) giving the best separation between two groups → Prognostic value of each immune cell type regarding RFS and OS
→ Combination of most significant marker = **IMMUNOSCORE**

R-1: Correlations between clinical characteristics and RFS/OS

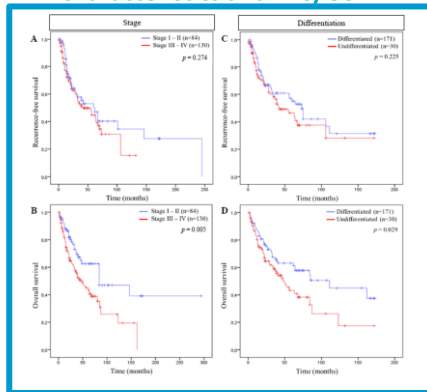


Figure 1: We evaluated the association between tumor stage, histological grade, tumor invasion or risk factors with RFS or OS. Cox regression models highlighted that among such parameters only tumor stage and histological grade correlated with OS.

R-4: Immunoscore as a prognostic marker

Our immunoscore correlated more significantly, and with a greater separation of the two groups, regarding OS (p=0.018) compared to tumor stage (p=NS) and histological grade (p=NS). Multivariate analyses revealed that the immunoscore was the only parameter associated with a strong and independent prognosis value.

R-2: Immune cell number and patient survival

Univariate analysis	Relapse-free survival p-value HR (95% CI)	Overall survival p-value HR (95% CI)
CD8 ST 0-1	0.958 0.98 (0.48-2.02)	0.026 3.19 (1.15-8.90)
CD8 IT 1-0	0.011 3.73 (1.35-10.31)	0.025 3.20 (1.16-8.84)
FoxP3 ST 1-0	0.002 1.97 (1.28-3.04)	0.002 1.95 (1.29-2.96)
FoxP3 IT 1-0	0.008 1.84 (1.17-2.87)	0.001 2.16 (1.36-3.41)
CD68 ST 1-0	0.206 1.56 (0.78-3.11)	0.076 2.12 (0.93-4.85)
CD68 IT 0-1	0.004 1.86 (1.21-2.85)	0.005 1.79 (1.19-2.69)

Multivariate analysis	Relapse-free survival p-value HR (95% CI)	Overall survival p-value HR (95% CI)
CD8 ST 0-1	0.847 1.10 (0.43-2.78)	0.028 5.03 (1.19-21.31)
CD8 IT 1-0	0.024 3.36 (1.17-9.67)	0.038 3.08 (1.06-8.94)
FoxP3 ST 1-0	0.255 1.87 (0.64-5.49)	0.147 2.46 (0.73-8.28)
FoxP3 IT 1-0	0.056 1.88 (0.98-3.57)	0.109 1.63 (0.90-2.98)
CD68 ST 1-0	0.13 1.63 (0.86-3.09)	0.961 1.02 (0.56-1.83)
CD68 IT 0-1	0.6 1.22 (0.58-2.55)	0.080 1.98 (0.92-4.27)

Univariate and multivariate analysis (Cox regression) were performed for the three immunomarkers CD8, FoxP3 and CD68 in the two compartments for RFS and OS (Table 2). Multivariate analysis showed that the CD8+ cell number was a strong and independent prognostic marker. Using these cut-offs, Kaplan-Meier curves were established for each immune cell in each compartment for RFS and OS. Regarding the ST compartment, longer RFS was significantly associated with a high FoxP3+ cell number, while longer OS correlated with low CD8+ and high FoxP3+ cell numbers. In ST, the CD68+ cell number did not correlate with RFS or OS. In the IT compartment, low CD8+, high FoxP3+ and low CD68+ cell numbers were significantly linked to a longer RFS as well as a longer OS.

R-3: Immunoscore and patient survival

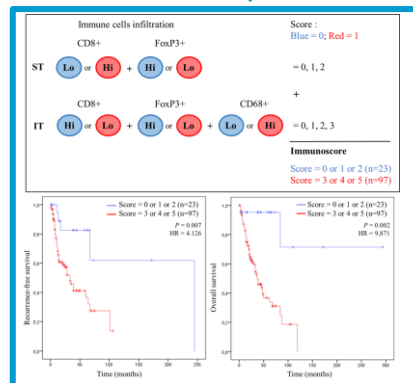


Figure 2: Establishment of the immunoscore in HNSCC tissues based on the immune cell infiltration in the ST and IT compartment. Each tumor is categorized into low (Lo) or high (Hi) density for each immune cells in each tumor region according to the calculated cutoff values. Depending on the immune cells and the tumor compartment, the Lo and Hi classes are associated to the blue or red group which correspond to 0 and 1 score, respectively. According to the total number of the score, each patient is classified in the blue group (low immunoscore) or the red group (high immunoscore). Kaplan Meier curves comparing recurrence-free survival (RFS) and immunoscore and overall survival (OS) and immunoscore are represented.

Conclusion

Our immunoscore represents an efficient and independent prognostic signature that could constitute a novel indicator beyond TNM staging to improve or complement the prediction of clinical outcomes in head and neck cancer patients.