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**Introduction:** Head and neck squamous cell carcinomas (HNSCC) are among the most prevalent cancers worldwide, setting them in the 6th place. In Belgium, their incidences are higher and such cancers arise at the 4th position in men. Despite advances in therapeutic approaches, the mortality rate has remained relatively constant in recent years, with a 5-year survival rate around 50% and recurrences occurring in 40-60% of treated patients. It appears that the cell composition of the tumour microenvironment (TME) is likely to influence patient outcome. Currently, there is no immune-based classification of head and neck cancer. However, the evaluation of immune cell recruitment to classify HNSCC patients in different immunologic subgroups depending on the TME composition could be helpful to improve patient prognosis. In this study, we propose an immune signature based on CD8+, FoxP3+ and CD68+ count in IT and/or stromal (ST) compartments in a large clinical series of 258 patients with HNSCC.

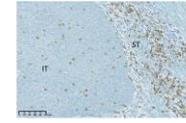
## Patients and Methods

Table 1. Patient population characteristics

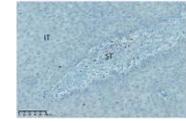
Variables	Number of cases (n=258)	Relapse-free survival p-value, HR (95% CI)	Overall survival p-value, HR (95% CI)
Age (years)	61 (29-90)		
Median (range)			
Recurrence (RFS) (months)	22 (1-245)		
Median (range)			
Yes	104		
No	120		
Unknown	34		
Overall Survival (OS) (months)	33 (1-294)		
Median (range)			
Alive	124		
Dead	102		
Unknown	32		
Gender		0.048	0.67 (0.45-0.99)
Male	177		0.943
Female	81		0.98 (0.66-1.48)
Anatomical site			
Oral cavity	113		
Oropharynx	80		
Larynx	44		
Hypopharynx	19		
Nasopharynx	1		
Tumor stage		0.274	1.27 (0.99-2.62)
I-II	84		0.005
III-IV	130		1.91 (1.22-3.00)
Unknown	44		
Histological grade		0.225	0.76 (0.45-0.99)
Poorly differentiated	112		0.029
Well-differentiated	89		1.62 (0.40-0.95)
Unknown	57		
Tumor invasion		0.053	1.68 (0.99-2.82)
Yes	154		1.57
No	59		1.42 (0.88-2.30)
Unknown	45		
Risk factors			
Tobacco		0.326	1.32 (0.76-2.29)
Smoker	181		1.29 (0.74-2.24)
Non-smoker	36		
Alcohol			
Unknown	41		
Abused	129	0.811	1.05 (0.69-1.60)
Non-abused	129		0.445
1.18 (0.77-1.81)			
Diabetes			
Diabetic	78		
Unknown	53		
HPV status		0.131	0.65 (0.37-1.14)
Positive	65		0.562
Negative	138		0.86 (0.51-1.48)
Unknown	55		
RIS status		0.103	0.57 (0.29-1.12)
Positive	37		0.152
Negative	121		0.62 (0.39-1.19)
Unknown	100		

## IMMUNOHISTOCHEMISTRY

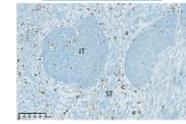
CD8 → Cytotoxic T-lymphocytes



FoxP3 → Regulatory T-lymphocytes

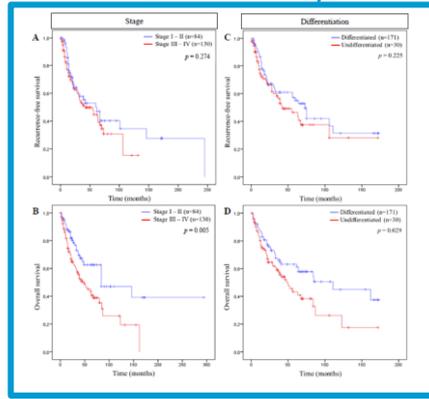


CD68 → Macrophages



Count in 5 randomly fields in the stroma + 5 randomly fields in intratumoral area → Mean → Cut-off value (RStudio) giving the best separation between two groups → Prognostic value of each immune cell type regarding RFS and OS → Combination of most significant marker = **IMMUNOSCORE**

## R-1: Correlations between clinical characteristics and RFS/OS



**Figure 1:** We evaluated the association between tumor stage, histological grade, tumor invasion or risk factors with RFS or OS. Cox regression models highlighted that among such parameters only tumor stage and histological grade correlated with OS.

## R-4: Immunoscore as a prognostic marker

Our immunoscore correlated more significantly, and with a greater separation of the two groups, regarding OS (p=0.018) compared to tumor stage (p=NS) and histological grade (p=NS). Multivariate analyses revealed that the immunoscore was the only parameter associated with a strong and independent prognosis value.

## R-2: Immune cell number and patient survival

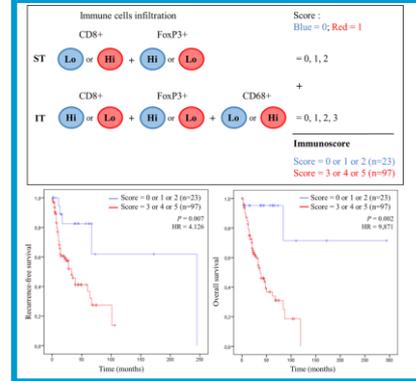
Univariate analysis	Relapse-free survival		Overall survival	
	p-value	HR (95% CI)	p-value	HR (95% CI)
CD8 ST 0-1	0.958	0.98 (0.48-2.02)	0.026	3.19 (1.15-8.90)
CD8 IT 1-0	0.011	3.73 (1.35-10.31)	0.025	3.20 (1.16-8.84)
FoxP3 ST 1-0	0.002	1.97 (1.28-3.04)	0.002	1.95 (1.29-2.96)
FoxP3 IT 1-0	0.008	1.84 (1.17-2.87)	0.001	2.16 (1.36-3.41)
CD68 ST 1-0	0.206	1.56 (0.78-3.11)	0.076	2.12 (0.93-4.85)
CD68 IT 0-1	0.004	1.86 (1.21-2.85)	0.005	1.79 (1.19-2.69)

Multivariate analysis	Relapse-free survival		Overall survival	
	p-value	HR (95% CI)	p-value	HR (95% CI)
CD8 ST 0-1	0.847	1.10 (0.43-2.78)	0.028	5.03 (1.19-21.31)
CD8 IT 1-0	0.024	3.36 (1.17-9.67)	0.038	3.08 (1.06-8.94)
FoxP3 ST 1-0	0.255	1.87 (0.64-5.49)	0.147	2.46 (0.73-8.28)
FoxP3 IT 1-0	0.056	1.88 (0.98-3.57)	0.109	1.63 (0.90-2.98)
CD68 ST 1-0	0.13	1.63 (0.86-3.09)	0.961	1.02 (0.56-1.83)
CD68 IT 0-1	0.6	1.22 (0.58-2.55)	0.080	1.98 (0.92-4.27)

Univariate and multivariate analysis (Cox regression) were performed for the three immunomarkers CD8, FoxP3 and CD68 in the two compartments for RFS and OS (Table 2). Multivariate analysis showed that the CD8+ cell number was a strong and independent prognostic marker. Using these cut-offs, Kaplan-Meier curves were established for each immune cell in each compartment for RFS and OS. Regarding the ST compartment, longer RFS was significantly associated with a high FoxP3+ cell number, while longer OS correlated with low CD8+ and high FoxP3+ cell numbers. In ST, the CD68+ cell number did not correlate with RFS or OS. In the IT compartment, low CD8+, high FoxP3+ and low CD68+ cell numbers were significantly linked to a longer RFS as well as a longer OS.

## R-3: Immunoscore and patient survival



**Figure 2:** Establishment of the immunoscore in HNSCC tissues based on the immune cell infiltration in the ST and IT compartment. Each tumor is categorized into low (Lo) or high (Hi) density for each immune cells in each tumor region according to the calculated cutoff values. Depending on the immune cells and the tumor compartment, the Lo and Hi classes are associated to the blue or red group which correspond to 0 and 1 score, respectively. According to the total number of the score, each patient is classified in the blue group (low immunoscore) or the red group (high immunoscore). Kaplan Meier curves comparing recurrence-free survival (RFS) and immunoscore and overall survival (OS) and immunoscore are represented.

## Conclusion

Our immunoscore represents an efficient and independent prognostic signature that could constitute a novel indicator beyond TNM staging to improve or complement the prediction of clinical outcomes in head and neck cancer patients.