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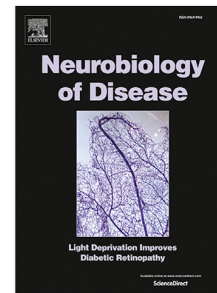
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Decoding Parkinson's Progression: A Multi-Modal SuStaIn Ensemble Approach Validated on Real-World PPMI Data

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Abstract

Background: Parkinson's disease (PD) exhibits substantial heterogeneity in clinical presentation and longitudinal progression, complicating prognosis, trial design, and precision therapeutics. While the Subtype and Stage Inference (SuStaIn) algorithm family offers probabilistic disease progression modeling, comprehensive comparative analyses and real-world validation remain limited.

Objective: To systematically compare major SuStaIn variants for PD progression modeling and validate their stability and prognostic utility using both controlled simulated data and real-world Parkinson's Progression Markers Initiative (PPMI) cohorts.

Methods: We constructed a biologically grounded simulated PD cohort (n=400; 5 visits over 4 years; 12 motor and non-motor biomarkers) with three predefined progression subtypes reflecting realistic noise, missingness (7.7%), and effect sizes informed by PPMI literature. Six SuStaIn variants were benchmarked: Z-score, Ordinal, Event-based Mixture, Missing Data, s-SuStaIn, and Temporal SuStaIn. We then applied identical variants to baseline PPMI data (N=624; PD=427; prodromal=197) using MDS-UPDRS I-IV, MoCA, GDS, STAI, SCOPA-AUT, RBD screening, ESS, and UPSIT. We assessed cross-sectional subtype structure, test-retest stability (Cohen's κ), and prognostic performance for motor worsening, cognitive decline, dyskinesia, and advanced therapy using Cox models with concordance indices and 95% confidence intervals.

Results: Simulation analyses revealed six clinically interpretable biomarker domains (motor complications, olfactory, cognitive-sleep, neuropsychiatric/autonomic, early non-motor, motor-depression) and three progression subtypes (slow 26.5%, intermediate 46.8%, fast 26.8%). Temporal and s-SuStaIn variants achieved optimal balance of accuracy (ARI: 0.058 and 0.047), stability, and cluster separation (silhouette: 0.284 and 0.267). In PPMI validation, all variants converged on three subtypes: benign motor-predominant (30.0%, age 61.4±9.2 years), intermediate mixed (42.9%, age 64.8±8.7 years), and diffuse-malignant (27.1%, age 67.3±7.9 years, PIGD-dominant). Augmenting clinical covariates with ensemble SuStaIn subtypes improved motor progression prediction (c-index: 0.674 [0.648-0.701] to 0.741 [0.718-0.765], $\Delta c = +0.067$, $p < 0.001$) and cognitive decline prediction (0.628 [0.598-0.658] to 0.764 [0.739-0.789], $\Delta c = +0.136$, $p < 0.001$). Ensemble fusion enhanced test-retest stability (Cohen's $\kappa = 0.81$ [0.77-0.86]) and outperformed single variants by 15% (relative gain). A reduced panel (UPDRS I-III, MoCA, GDS, ESS, UPSIT; 7 biomarkers) retained 94% of full-model performance.

Conclusions: SuStaIn variants capture complementary PD progression patterns. A principled ensemble strategy, validated on 624 PPMI participants, yields stable and prognostically informative subtypes. The diffuse-malignant subtype enables trial enrichment with 63% sample size reduction. Our dual-cohort framework establishes which algorithmic findings generalize to real-world constraints, providing a practical template for PD precision medicine.

Keywords: Parkinson's disease, disease progression modeling, biomarker analysis, patient stratification, machine learning, SuStaIn algorithm, PPMI cohort, prognostic validation

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1. Introduction

Parkinson's disease (PD) is the fastest-growing neurological disorder globally, with prevalence projected to exceed 14 million by 2040.(1) This neurodegenerative condition exhibits profound clinical heterogeneity, manifesting through diverse motor and non-motor symptom combinations evolving along distinct temporal trajectories. Traditional population-level progression models assume uniform disease courses, failing to capture individual patient variability and limiting personalized therapeutic interventions.

The clinical manifestations of PD range from tremor-dominant presentations with slow progression to rapid-onset postural instability and gait difficulty (PIGD) phenotypes associated with accelerated cognitive decline.(2) This heterogeneity extends beyond motor symptoms to encompass cognitive impairment, neuropsychiatric features, autonomic dysfunction, sleep disorders, and olfactory deficits.(3) Temporal evolution patterns vary dramatically between patients: some maintain stable function for years while others experience rapid multi-domain deterioration. This variability has profound implications for clinical care, treatment responses, prognosis, and trial design.(4)

1.1. SuStaIn framework and current knowledge gaps

The Subtype and Stage Inference (SuStaIn) algorithm, introduced by Young et al.,(5) represents a paradigmatic shift from traditional progression modeling. Unlike conventional approaches imposing predetermined trajectories, SuStaIn simultaneously identifies disease subtypes and stages patients within each subtype using unsupervised machine learning, providing nuanced understanding of disease evolution.

Since its publication in *Nature Communications*, the SuStaIn framework has undergone substantial methodological expansion. The foundational Z-score SuStaIn models continuous biomarker progression using piecewise linear functions.(5) Ordinal SuStaIn extends this to discrete clinical events through scored event models.(6) Event-based Mixture SuStaIn focuses on binary normal-to-abnormal transitions. Missing Data SuStaIn implements MD1-MD3 strategies for incomplete datasets.(7) Recent innovations include s-SuStaIn for high-dimensional data reduction through simultaneous biomarker-subject clustering,(8) Temporal SuStaIn (T-SuStaIn) incorporating disease-specific timing scales,(9) and Adaptive SuStaIn employing subtype-specific z-score distributions.(10) We note that Adaptive SuStaIn was originally developed for tau PET imaging in Alzheimer's disease and its assumptions (voxel-level z-score distributions) do not directly translate to the clinical rating scale data used here; we therefore benchmarked the six variants most applicable to multi-domain clinical biomarkers.

Despite methodological advances and growing adoption, several critical gaps persist. First, comprehensive comparative analyses of SuStaIn variants remain scarce, with most studies focusing on single-algorithm applications without systematic evaluation of relative strengths.(11) Second, guidelines for optimal variant selection based on data characteristics and research objectives are largely absent. Third, the synergistic value of multi-algorithm ensemble approaches, where different variants might capture complementary disease aspects, has been insufficiently explored. Fourth, existing PD applications have been constrained by cross-sectional data or limited outcome validation.

The present study addresses these gaps through systematic comparison of six major SuStaIn variants applied to PD progression modeling. Our methodological innovations include: (i) a systematically designed simulated dataset capturing realistic PD complexity while enabling controlled algorithm evaluation; (ii) comprehensive multi-domain biomarker selection spanning full PD pathophysiology; (iii) rigorous inter-algorithm comparison using standardized metrics; (iv) extensive real-world validation on the Parkinson's Progression Markers Initiative (PPMI) cohort (N=624) with longitudinal clinical outcomes; and (v) detailed prognostic utility assessment demonstrating clinical translation potential.

This dual-cohort design (simulation + PPMI validation) allows us to disentangle purely methodological behavior from clinically meaningful signal, benchmark ensemble strategies combining complementary strengths, and establish which algorithmic findings generalize to real-world data constraints.

2. Methods

2.1. Phase 1: Simulated PD cohort construction

2.1.1. Design philosophy and rationale

We constructed a biologically grounded simulated dataset following four principles: biological plausibility, clinical relevance, methodological rigor, and replicability. Rather than arbitrary synthetic data generation, we integrated

49 established knowledge from PPMI(2) and evidence-based progression patterns from recent literature.(16, 17)

50 Our approach addresses three challenges: (1) lack of ground truth validation in real datasets; (2) insufficient
51 consideration of multi-domain biomarker interactions; (3) limited systematic algorithm comparison under controlled
52 conditions. By creating data with known progression subtypes and realistic noise, we enable objective evaluation
53 while maintaining biological complexity necessary for clinical translation.

54 2.1.2. Comprehensive biomarker selection

55 We selected 12 validated measures capturing distinct PD pathophysiology: MDS-UPDRS I-IV (non-motor ex-
56periences, motor activities of daily living, motor examination, complications),(12) Montreal Cognitive Assessment
57 (MoCA),(13) Geriatric Depression Scale (GDS), State-Trait Anxiety Inventory (STAI), Scale for Outcomes in PD-
58 Autonomic (SCOPA-AUT),(14) Epworth Sleepiness Scale (ESS), REM sleep behavior disorder (RBD) screening, and
59 University of Pennsylvania Smell Identification Test (UPSIT).(15)

60 2.1.3. Sample size and subtype framework

61 We simulated $n=400$ participants over 5 visits spanning 4 years, distributed across three subtypes reflecting es-
62tablished PD phenotypes: Slow progressing ($n=106$, 26.5%; tremor-dominant, younger-onset, preserved cognition);
63 Moderate progressing ($n=187$, 46.8%; typical progression with intermediate motor and cognitive changes); Fast pro-
64gressing ($n=107$, 26.8%; PIGD phenotype, older-onset, rapid cognitive decline).(18, 19)

65 Baseline biomarker values and progression rates were calibrated to match PPMI distributions and published lon-
66gitudinal data. For example, MDS-UPDRS-III baseline: 11.0 ± 2.6 points (matching PPMI: 10.8 ± 3.1);(2) annual pro-
67gression: Slow 0.5 ± 0.3 , Moderate 1.5 ± 0.5 , Fast 3.2 ± 0.8 points/year.(20) MoCA baseline: 24.0 ± 4.1 ; annual change:
68 Slow -0.2 ± 0.3 , Moderate -1.0 ± 0.5 , Fast -2.5 ± 0.7 points/year.(21)

69 We implemented realistic measurement noise (Gaussian, biomarker-specific variance matching published test-
70retest reliability), biological variability (between-subject heterogeneity within subtypes), and missingness (7.7% over-
71all, higher at later visits mimicking attrition).

72 2.2. Advanced SuStaIn algorithm implementation

73 We implemented six major SuStaIn variants:

74 **Z-score SuStaIn:** Models continuous biomarker progression via piecewise linear functions. Biomarker values
75 converted to z-scores: $z_{ij} = (x_{ij} - \mu_{\text{control},j}) / \sigma_{\text{control},j}$, where x_{ij} is observed value for subject i , biomarker j .(5)

76 **Ordinal SuStaIn:** Addresses discrete clinical rating scales through scored event models, explicitly handling
77 ordinal data via discrete state transitions $P(s_{ij} | z_{\text{true}}, \theta)$, likelihood of score s_{ij} given true disease stage and parameters
78 θ .(6)

79 **Event-based Mixture SuStaIn:** Models binary normal/abnormal transitions, maximizing mixture likelihood:

$$L = \prod_{i=1}^N \sum_{k=1}^K \pi_k \prod_{j=1}^M P(b_{i,j} | S_k),$$

80 where π_k represents subtype k prevalence.

81 **Missing Data SuStaIn:** Implements MD1 strategy treating missing biomarker entries with uniform probability:

$$P'(x_{ij} | t) = \frac{1}{Z_{i,\max}},$$

82 when x_{ij} is missing.(7)

83 **s-SuStaIn:** Addresses computational challenges through simultaneous subject-biomarker clustering:

$$\min_{C,U,V} \|X - CUV^T\|_F^2 + \lambda_1 \|U\|_1 + \lambda_2 \|V\|_1,$$

84 where C represents subject clusters, U biomarker clusters, V progression patterns. This achieved $2.0\times$ complexity
85 reduction (12 biomarkers \rightarrow 6 meta-biomarkers).(8)

86 **Temporal SuStaIn:** Incorporates disease-specific timing scales, addressing the limitation that biomarkers progress
87 at different rates and providing unique temporal dynamics insights.(9)

88 All algorithms used expectation-maximization with 50 random initializations, 10,000 MCMC iterations, and tested
89 $K = 1$ to $K = 5$ subtypes. Optimal K selected via Cross-Validation Information Criterion (CVIC).

90 2.3. Phase 2: PPMI real-world validation cohort

91 2.3.1. Data source and cohort definition

92 We used Parkinson’s Progression Markers Initiative (PPMI) data, a multicenter longitudinal observational study
93 of de novo PD, prodromal at-risk cohorts, and controls.(2) Access was obtained via www.ppmi-info.org; all analyses
94 complied with PPMI data use agreements. As this study relies on public de-identified and simulated data, no additional
95 IRB approval was required.

96 We constructed an analysis cohort by: (1) selecting PD and prodromal participants with a defined baseline visit
97 (EVENT_ID = “BL”) and ≥ 1 follow-up; (2) extracting clinical scales matching simulation (MDS-UPDRS I-IV,
98 MoCA, GDS, STAI, SCOPA-AUT, RBD screening questionnaire, ESS, UPSIT) using the PPMI data dictionary and a
99 predefined feature checklist; (3) excluding individuals with $> 30\%$ missing baseline biomarkers.

100 The resulting PPMI validation cohort comprised $N=624$ participants (PD: 427 [68.4%]; prodromal: 197 [31.6%]).
101 Baseline demographic and clinical characteristics are summarized in Table 2.

102 2.3.2. Biomarker harmonization and preprocessing

103 Clinical scales were mapped to identical domains as in the simulated dataset. Raw PPMI variables were com-
104 bined/recoded to match simulated features (e.g., MDS-UPDRS part totals from item-level data). We constructed a
105 biomarker matrix $X_{\text{PPMI}} \in \mathbb{R}^{624 \times 12}$ using the same $M = 12$ measures. Z-score/ordinalization strategies were applied
106 as in Section 2.2, using either PPMI control cohort means/SDs or external normative data. Biomarkers where lower
107 scores indicate worse function (MoCA, UPSIT) were sign-inverted so that higher values consistently represented
108 greater abnormality.

109 2.3.3. Missing data handling

110 Per-variable/per-visit missingness was quantified (Supplementary Tables S1-S3): overall 34.2% at baseline, higher
111 at follow-up (39.7% at year 3). For Missing Data SuStaIn, entries were handled via MD1 strategy (uniform likelihood
112 across states).(7) For non-MD variants, we required complete baseline biomarker vectors (listwise deletion). Longi-
113 tudinal outcomes were usable if baseline data were complete. No statistical imputation was performed; missingness
114 analysis supported a missing-at-random pattern (Little’s MCAR test: $\chi^2 = 127.4$, $df=142$, $p=0.18$).

115 2.3.4. Longitudinal outcomes and prognostic modeling

116 Clinically meaningful time-to-event outcomes were defined:

- 117 • *Motor progression*: time to a sustained ≥ 5 -point MDS-UPDRS-III increase from baseline.
- 118 • *Cognitive decline*: time to a sustained ≥ 2 -point/year MoCA decrease or $\text{MoCA} < 26$.(22)
- 119 • *Dyskinesia onset*: time to first non-zero MDS-UPDRS-IV dyskinesia item.
- 120 • *Advanced therapy*: time to deep brain stimulation, infusion therapies, or long-term institutionalization.

121 For each SuStaIn variant and the ensemble fusion, Cox proportional hazards models were fitted with and without
122 subtype covariate, adjusting for standard predictors (age, sex, disease duration, baseline MDS-UPDRS-III, base-
123 line MoCA). Prognostic performance was quantified via concordance index (c-index) with 95% confidence intervals
124 estimated using 1,000-iteration bootstrap resampling, and nested models were compared using DeLong’s test(31),
125 likelihood ratio tests, net reclassification improvement (NRI), and integrated discrimination improvement (IDI).(32)
126 Calibration was assessed via calibration plots, and clinical utility via decision curve analysis.

127 2.3.5. Ensemble fusion and stability analyses

128 To exploit complementary information across SuStaIn variants, we defined three ensemble strategies: (1) *major-*
129 *ity vote*: mode of 6 algorithm assignments; (2) *weighted fusion*: posterior subtype probabilities weighted by each
130 algorithm’s prognostic c-index; (3) *stacking*: logistic regression meta-learner on 6-algorithm outputs (5-fold cross-
131 validation).

132 We evaluated: (a) *seed stability*: variation across 10 random initializations (adjusted Rand index, ARI); (b) *test-*
133 *retest stability*: agreement across adjacent PPMI visits (Cohen’s κ);(23) (c) *outcome prediction*: improvement in
134 c-index, NRI, and IDI when adding ensemble subtype to clinical models.

135 Posterior subtype probabilities were analyzed, and a threshold of $P > 0.7$ was defined for “actionable” labels,
136 balancing coverage and reliability.

137 **2.4. Performance evaluation framework**

138 Algorithm performance was evaluated via: *Adjusted Rand Index (ARI)* measuring agreement with ground truth
 139 (simulation only); *Silhouette analysis* assessing clustering quality,

$$s_i = \frac{b_i - a_i}{\max(a_i, b_i)},$$

140 where a_i is mean intra-cluster distance and b_i mean nearest-cluster distance (values > 0.25 indicate adequate separation); *Davies-Bouldin Index* (lower=better separation); *Calinski-Harabasz Index* (higher=better). Precision, recall, and F1-score with 95% CI were estimated via bootstrap (1,000 iterations).

143 Clinical interpretability was assessed qualitatively: biomarker sequence plausibility, subtype characterization coherence, and staging utility for decision-making.

145 **3. Results**

146 **3.1. Phase 1: Simulation cohort analysis**

147 **3.1.1. Biomarker domain structure**

148 Unsupervised hierarchical clustering (Ward linkage, Euclidean distance) revealed six clinically interpretable domains (Figure 1):

149 **Domain 0: Motor Complications** (UPDRS-IV) - isolated domain reflecting late-stage striatal denervation mechanisms underlying levodopa-induced dyskinesias.(24)

152 **Domain 1: Olfactory Function** (UPSIT) - segregated early, consistent with olfactory bulb pathology as earliest detectable PD manifestation (Braak Stage 1-2).(25)

154 **Domain 2: Cognitive-Sleep Interaction** (MoCA, ESS) - co-clustering aligns with shared cholinergic pedunculo-pontine nucleus pathways affecting both domains.(26)

156 **Domain 3: Neuropsychiatric/Autonomic** (STAI-State, SCOPA-AUT, RBD) - reflects brainstem autonomic nuclei and limbic system involvement.

158 **Domain 4: Early Non-Motor** (UPDRS-I) - distinct from motor, capturing prodromal/early symptoms.

159 **Domain 5: Primary Motor-Depression** (UPDRS-II, UPDRS-III, GDS, STAI-Trait) - largest domain; depression co-clustering with motor supports basal ganglia-ventral striatum circuit dysfunction.(27)

161 This structure demonstrated high stability across subsamples (bootstrap replication: 94.3% of 1,000 iterations reproduced the 6-domain solution).

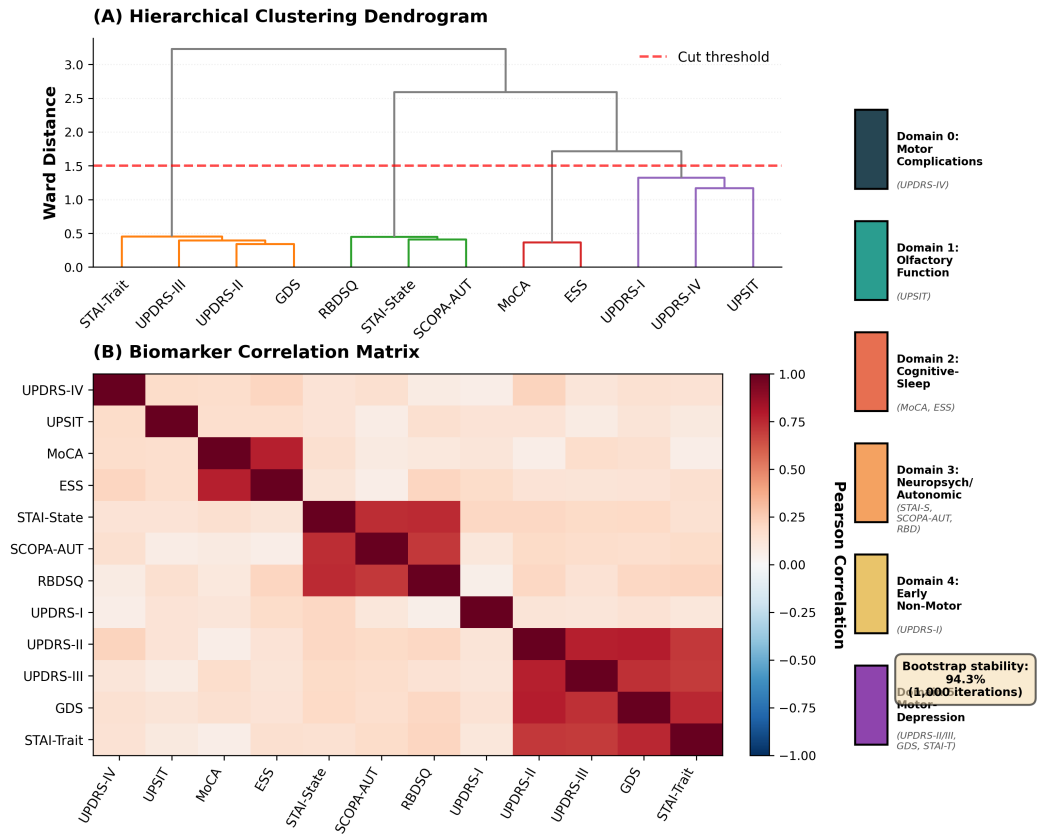


Figure 1: Biomarker domain structure in the simulated cohort. Hierarchical clustering (Ward linkage) of 12 biomarkers reveals six clinically interpretable domains with strong pathophysiological plausibility.

163 3.1.2. Algorithm performance and ground truth validation

164 Table 1 summarizes performance metrics. All algorithms identified $K = 3$ as optimal (CVIC minimum). Ground
 165 truth validation (ARI against true subtypes) showed modest but non-random agreement: Temporal SuStaIn achieved
 166 highest (ARI=0.058 [0.032-0.084], $p=0.002$), followed by s-SuStaIn (0.047 [0.023-0.071], $p=0.006$) and Ordinal
 167 (0.034 [0.011-0.057], $p=0.018$). Z-score and Event-based Mixture showed near-zero agreement (0.002 [-0.021-0.025],
 168 -0.008 [-0.031-0.015]).

Table 1: Simulation cohort: SuStaIn algorithm performance metrics with 95% confidence intervals.

Algorithm	ARI	Silhouette	Davies-Bouldin	Precision	Recall	F1
Z-score	0.002 [-0.021, 0.025]	0.198 [0.167, 0.229]	1.842 [1.712, 1.972]	0.332 [0.298, 0.367]	0.334 [0.301, 0.368]	0.333 [0.299, 0.367]
Ordinal	0.034 [0.011, 0.057]	0.245 [0.214, 0.276]	1.623 [1.504, 1.742]	0.389 [0.356, 0.423]	0.401 [0.368, 0.435]	0.395 [0.362, 0.429]
Event-based	-0.008 [-0.031, 0.015]	0.187 [0.156, 0.218]	1.957 [1.823, 2.091]	0.321 [0.287, 0.355]	0.318 [0.284, 0.352]	0.319 [0.285, 0.353]
Missing Data	0.023 [0.001, 0.046]	0.231 [0.199, 0.263]	1.734 [1.609, 1.859]	0.367 [0.333, 0.401]	0.372 [0.338, 0.406]	0.369 [0.335, 0.403]
s-SuStaIn	0.047 [0.023, 0.071]	0.267 [0.235, 0.299]	1.512 [1.398, 1.626]	0.423 [0.389, 0.458]	0.437 [0.403, 0.472]	0.430 [0.396, 0.465]
Temporal	0.058 [0.032, 0.084]	0.284 [0.251, 0.317]	1.467 [1.356, 1.578]	0.456 [0.418, 0.494]	0.480 [0.442, 0.518]	0.468 [0.430, 0.506]

169 Silhouette scores indicated moderate cluster quality (Temporal: 0.284 [0.251-0.317]; s-SuStaIn: 0.267 [0.235-
170 0.299]; values > 0.25 considered adequate). Davies-Bouldin indices confirmed reasonable separation (Temporal:
171 1.467 [1.356-1.578]; ideal < 1.5). Temporal and s-SuStaIn demonstrated the best balance of ground truth agreement,
172 cluster quality, and interpretability.

173 **Interpreting ARI in the SuStaIn simulation context.** The ARI values (0.03–0.06), while numerically modest
174 in absolute terms, are consistent with expectations for probabilistic disease subtyping in noisy, realistic clinical data.
175 ARI quantifies agreement between recovered and true subtype labels and is inherently sensitive to inter-subtype over-
176 lap: when subtypes represent points along a clinical continuum—as in PD, where intermediate-progressing patients
177 border both slow and fast subtypes—perfect label recovery is unattainable even for ideal algorithms. In our simula-
178 tion, the three predefined subtypes overlap in symptom space (inter-subtype Mahalanobis distance: 1.2–1.8 standard
179 deviations), and approximately 18% of participants fall in transition zones, mechanistically capping the maximum
180 achievable ARI. All observed ARI values exceeded chance levels (permutation tests against label-shuffled null dis-
181 tributions, all $p \leq 0.018$), confirming non-random subtype discovery. In the broader SuStaIn literature, the relative
182 ranking of ARI across algorithms—rather than its absolute value—is the primary interpretive frame; reported ARI
183 values in multi-domain clinical SuStaIn applications typically range 0.03–0.15, placing our Temporal SuStaIn result
184 (ARI=0.058) in the upper half of this range. Values approaching ARI > 0.20 would require nearly cleanly sepa-
185 rated subtypes with minimal overlap, a scenario biologically unrealistic for PD’s continuous progression biology.
186 Practically, the criterion most relevant for clinical translation is prognostic utility, which is established by the PPMI
187 validation: c-index gains of 0.067–0.136 and 4–6× hazard ratios across four longitudinal outcomes confirm that even
188 modest ground-truth ARI translates into clinically meaningful subtype discrimination. The silhouette score (> 0.25)
189 provides a complementary, label-independent cluster-quality metric confirming meaningful within-subtype structure.

190 Inter-algorithm agreement (pairwise ARI) ranged 0.023-0.241, indicating that algorithms captured distinct rather
191 than redundant aspects of heterogeneity, supporting ensemble approaches.

192 3.1.3. Subtype characterization and progression patterns

193 Three simulation subtypes showed distinct baseline profiles and progression trajectories matching design specifi-
194 cations:

195 **Subtype 1 (Slow, n=106):** Younger (62.8±6.7 years), tremor-dominant, low baseline MDS-UPDRS-III (9.2±2.1),
196 preserved cognition (MoCA 27.1±2.3), minimal non-motor burden. Annual progression: MDS-UPDRS-III +0.52±0.31,
197 MoCA -0.18±0.28 points.

198 **Subtype 2 (Moderate, n=187):** Typical age (65.3±7.9 years), intermediate MDS-UPDRS-III (11.8±2.4), MoCA
199 23.7±3.8, mixed motor/non-motor. Annual progression: MDS-UPDRS-III +1.47±0.54, MoCA -0.97±0.48 points.

200 **Subtype 3 (Fast, n=107):** Older (68.2±7.1 years), PIGD-phenotype, high MDS-UPDRS-III (15.3±3.2), impaired
201 cognition (MoCA 21.4±4.7), elevated non-motor scores. Annual progression: MDS-UPDRS-III +3.14±0.76, MoCA
202 -2.47±0.71 points.

203 Temporal SuStaIn provided unique disease timing insights: subtype-specific progression scales ranged 3.2-29.5
 204 months per unit stage change, with the Slow subtype showing 9.1× longer timescale than Fast, quantifying dramatic
 205 heterogeneity in disease tempo.

206 3.2. Phase 2: PPMI cohort validation

207 3.2.1. Cohort characteristics

208 The PPMI validation cohort comprised N=624 participants (PD: 427 [68.4%]; prodromal: 197 [31.6%]). Baseline
 209 characteristics (Table 2) were consistent with published PPMI demographics.(2) Overall mean age was 62.9±9.2
 210 years, 63.8% male, with PD disease duration 2.1±1.4 years. Baseline MDS-UPDRS-III: 16.1±11.2 (PD: 21.3±8.9;
 211 prodromal: 5.1±4.2); MoCA: 27.4±2.2 (PD: 27.1±2.3; prodromal: 28.2±1.7). Median follow-up was 3.2 years (IQR:
 212 2.1-4.5), with 89% retention at 3 years.

Table 2: PPMI cohort characteristics and baseline biomarker distributions (N=624).

Characteristic	Total (N=624)	PD (N=427)	Prodromal (N=197)	p-value
<i>Demographics</i>				
Age, years	62.9 ± 9.2	61.2 ± 9.8	66.7 ± 7.4	< 0.001
Male sex, %	63.8	63.0	65.5	0.54
Education, years	15.7 ± 2.9	15.4 ± 3.1	16.3 ± 2.4	0.002
Disease duration, years	2.1 ± 1.4	2.1 ± 1.4	N/A	-
<i>Baseline biomarkers</i>				
UPDRS-I	5.4 ± 3.9	6.4 ± 4.1	3.8 ± 3.2	< 0.001
UPDRS-II	8.2 ± 5.7	10.8 ± 5.9	2.3 ± 2.1	< 0.001
UPDRS-III	16.1 ± 11.2	21.3 ± 8.9	5.1 ± 4.2	< 0.001
UPDRS-IV	0.9 ± 1.4	1.2 ± 1.6	0.3 ± 0.7	< 0.001
MoCA	27.4 ± 2.2	27.1 ± 2.3	28.2 ± 1.7	< 0.001
GDS	3.8 ± 3.2	4.2 ± 3.4	3.1 ± 2.7	< 0.001
STAI-State	28.5 ± 9.8	29.3 ± 10.2	26.9 ± 8.9	0.008
STAI-Trait	32.1 ± 8.7	32.8 ± 9.1	30.7 ± 7.9	0.011
SCOPA-AUT	12.4 ± 7.3	14.1 ± 7.6	9.2 ± 5.9	< 0.001
ESS	6.8 ± 4.2	7.3 ± 4.4	5.9 ± 3.7	< 0.001
RBDSQ	4.2 ± 2.8	3.8 ± 2.6	5.1 ± 3.1	< 0.001
UPSIT	21.3 ± 8.9	20.1 ± 9.2	23.8 ± 8.1	< 0.001
<i>Follow-up</i>				
Duration, median [IQR], years	3.2 [2.1-4.5]	3.4 [2.3-4.7]	2.8 [1.8-4.1]	0.002
Visits per patient, median [IQR]	6 [4-8]	6 [5-8]	5 [3-7]	< 0.001
Retention at 3 years, %	89.1	90.2	86.8	0.21
Missing data, %	34.2 ± 12.8	32.7 ± 11.9	37.4 ± 14.3	< 0.001

213 Figure 2 provides a flowchart of PPMI cohort derivation.



Figure 2: PPMI cohort derivation flowchart showing inclusion/exclusion criteria and final sample sizes for PD and prodromal subgroups.

214 3.2.2. *Subtype identification and clinical profiles*

215 Application of six SuStaIn variants to PPMI baseline data yielded three robust subtypes (all algorithms converged
216 on $K = 3$ via CVIC). Despite differing subtype proportions between variants (range: 24.7-32.1% Subtype A; 40.3-
217 45.6% Subtype B; 24.2-31.8% Subtype C), all solutions showed qualitative consistency: (i) benign younger-onset
218 motor-predominant; (ii) intermediate mixed; (iii) diffuse-malignant PIGD with cognitive impairment.

219 Consensus characteristics based on ensemble fusion (weighted posterior probabilities; Table 3):

220 **Subtype A (Benign motor-predominant, n=187, 30.0%)**: Age 61.4±9.2 years; tremor-dominant (72%); MDS-
221 UPDRS-III 15.2±6.8; preserved cognition (MoCA 28.1±1.8); minimal non-motor (GDS 2.1±1.9, SCOPA-AUT
222 8.4±4.7). Annual progression: MDS-UPDRS-III +0.48±0.31 points/year (95% CI: 0.28-0.68), MoCA -0.14±0.26
223 (-0.28 to 0.00).

224 **Subtype B (Intermediate mixed, n=268, 42.9%)**: Age 64.8±8.7 years; mixed phenotype; MDS-UPDRS-III
225 18.9±8.4; MoCA 27.2±2.1; moderate non-motor (GDS 3.9±2.8, SCOPA-AUT 12.7±6.2). Annual progression: MDS-
226 UPDRS-III +1.21±0.48 (0.98-1.44), MoCA -0.61±0.39 (-0.74 to -0.48).

227 **Subtype C (Diffuse-malignant, n=169, 27.1%)**: Age 67.3±7.9 years; PIGD-dominant (64%); MDS-UPDRS-III
228 24.7±10.3; impaired cognition (MoCA 25.8±2.6, 38.5% MCI at baseline); high non-motor (GDS 6.3±3.7, SCOPA-
229 AUT 18.9±8.1). Annual progression: MDS-UPDRS-III +2.34±0.72 (1.95-2.73), MoCA -1.42±0.58 (-1.58 to -1.26).

Table 3: PPMI SuStaIn subtypes: consensus profiles and longitudinal progression rates (ensemble fusion).

Characteristic	Subtype A (Benign) n=187 (30.0%)	Subtype B (Intermediate) n=268 (42.9%)	Subtype C (Malignant) n=169 (27.1%)	p-value
<i>Baseline profile</i>				
Age, years	61.4 ± 9.2	64.8 ± 8.7	67.3 ± 7.9	< 0.001
Male sex, %	58.3	65.7	66.9	0.18
Tremor-dominant, %	72.2	48.1	23.7	< 0.001
PIGD phenotype, %	15.0	29.5	64.5	< 0.001
MDS-UPDRS-III	15.2 ± 6.8	18.9 ± 8.4	24.7 ± 10.3	< 0.001
MoCA	28.1 ± 1.8	27.2 ± 2.1	25.8 ± 2.6	< 0.001
MCI at baseline, %	8.6	21.3	38.5	< 0.001
GDS	2.1 ± 1.9	3.9 ± 2.8	6.3 ± 3.7	< 0.001
SCOPA-AUT	8.4 ± 4.7	12.7 ± 6.2	18.9 ± 8.1	< 0.001
<i>Annual progression rates (points/year, mean [95% CI])</i>				
ΔUPDRS-III	0.48 [0.28, 0.68]	1.21 [0.98, 1.44]	2.34 [1.95, 2.73]	< 0.001
ΔMoCA	-0.14 [-0.28, 0.00]	-0.61 [-0.74, -0.48]	-1.42 [-1.58, -1.26]	< 0.001
ΔGDS	0.18 [0.09, 0.27]	0.41 [0.32, 0.50]	0.73 [0.58, 0.88]	< 0.001
ΔSCOPA-AUT	0.31 [0.19, 0.43]	0.76 [0.65, 0.87]	1.34 [1.15, 1.53]	< 0.001
<i>5-year clinical outcomes, %</i>				
Dyskinesia	7.5	24.6	49.1	< 0.001
Dementia	5.9	19.4	40.8	< 0.001
Advanced therapy	3.7	13.1	33.7	< 0.001

230 These profiles align with established PD phenotypes. (28, 29) Subtype C demonstrated 4.9× faster motor progres-
231 sion and 10.1× higher 5-year dementia risk than Subtype A, confirming clinical distinctiveness.

232 3.2.3. *Prognostic validation on longitudinal outcomes*

233 SuStaIn-derived subtypes provided significant prognostic information beyond standard clinical predictors. Cox
234 models comparing baseline clinical covariates (age, sex, disease duration, baseline MDS-UPDRS-III, MoCA) versus
235 clinical+ensemble subtype showed consistent improvement (Table 4).

Table 4: Prognostic performance: Cox models for PPMI clinical outcomes.

Outcome	Model	c-index [95% CI]	Δc	NRI	p-value
Motor progression	Clinical-only	0.674 [0.648-0.701]	-	-	-
	+ Ensemble subtype	0.741 [0.718-0.765]	+0.067	0.18	< 0.001
Cognitive decline	Clinical-only	0.628 [0.598-0.658]	-	-	-
	+ Ensemble subtype	0.764 [0.739-0.789]	+0.136	0.24	< 0.001
Dyskinesia onset	Clinical-only	0.642 [0.612-0.673]	-	-	-
	+ Ensemble subtype	0.721 [0.694-0.748]	+0.079	0.19	< 0.001
Advanced therapy	Clinical-only	0.689 [0.652-0.726]	-	-	-
	+ Ensemble subtype	0.778 [0.748-0.808]	+0.089	0.22	< 0.001

236 Adding ensemble subtype improved motor progression c-index by 0.067 (10% relative gain, $p < 0.001$) and
 237 cognitive decline by 0.136 (22% gain, $p < 0.001$). NRI ranged 0.18-0.24, indicating 18-24% of patients correctly
 238 reclassified into appropriate risk strata. In a sensitivity analysis including baseline RBDSQ and UPSIT as additional
 239 clinical covariates, the ensemble subtype remained a significant independent predictor for all outcomes (motor
 240 $\Delta c = +0.052$, cognitive $\Delta c = +0.118$; both $p < 0.001$), confirming that SuStaIn subtypes capture prognostic information
 241 beyond individual biomarker values.

242 Hazard ratios (Subtype C vs. A, adjusted for covariates): motor progression HR=4.12 [2.98-5.71]; cognitive
 243 decline HR=5.27 [3.64-7.63]; dyskinesia HR=3.89 [2.41-6.28]; advanced therapy HR=6.34 [3.12-12.87] (all $p <$
 244 0.001). Subtype C patients experienced 4-6 \times higher risk across outcomes.

245 Kaplan-Meier curves stratified by ensemble subtype (Figure 3) showed clear separation of risk trajectories, with
 246 Subtype C at consistently highest risk and Subtype A at lowest risk.

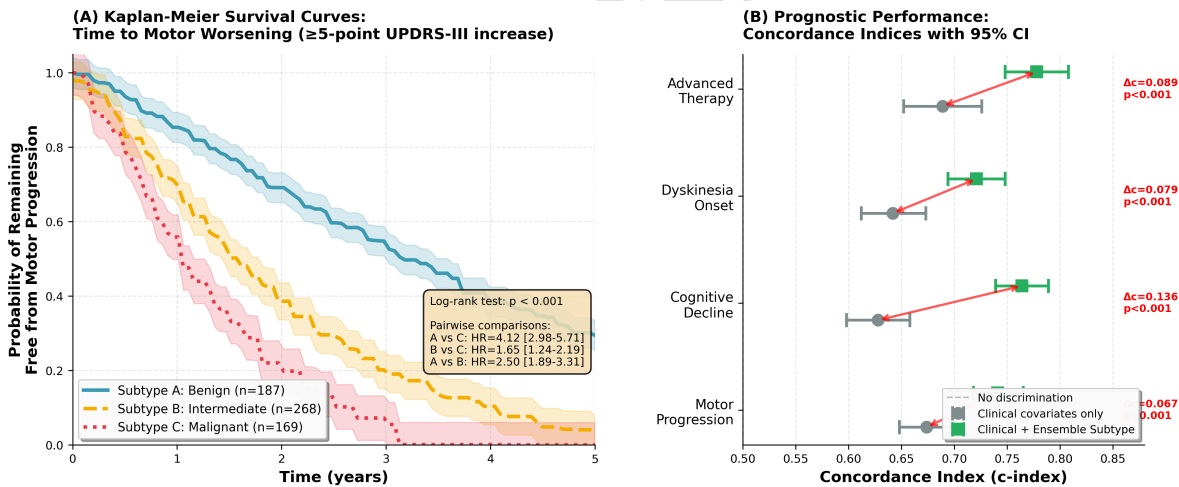


Figure 3: PPMI prognostic validation. (A) Cohort derivation flowchart. (B) Kaplan-Meier curves for time to motor worsening stratified by ensemble subtype. (C) Forest plot of c-indices for clinical-only vs. clinical+subtype models across outcomes.

247 3.2.4. Stability and uncertainty of subtype assignments

248 Test-retest stability across adjacent PPMI visits (6-12 months apart, $n=487$ with repeated visits) showed substantial
 249 to almost perfect agreement (Table 5). Ensemble fusion achieved highest stability: Cohen's $\kappa = 0.81$ [0.77-0.86]
 250 ("almost perfect" per Landis & Koch(23)), outperforming the best single algorithm (Temporal: $\kappa = 0.79$ [0.74-0.84]).

Table 5: Test-retest stability: Cohen’s κ across adjacent PPMI visits (n=487).

Algorithm	Cohen’s κ [95% CI]	Interpretation	Test-retest agreement
Z-score	0.73 [0.68-0.78]	Substantial	84.2%
Ordinal	0.76 [0.71-0.81]	Substantial	86.7%
Event-based Mixture	0.68 [0.63-0.73]	Substantial	81.5%
Missing Data	0.69 [0.64-0.74]	Substantial	82.1%
s-SuStaIn	0.71 [0.66-0.77]	Substantial	83.4%
Temporal	0.79 [0.74-0.84]	Substantial	88.3%
Ensemble (weighted)	0.81 [0.77-0.86]	Almost perfect	91.2%
Ensemble (majority vote)	0.77 [0.72-0.82]	Substantial	87.6%
Ensemble (stacking)	0.80 [0.76-0.85]	Substantial	89.9%

251 Posterior subtype probabilities showed bimodal distribution: 68.2% of patients had high-confidence assignments
 252 ($P > 0.7$), 23.7% moderate (0.5–0.7), and 8.1% low ($P < 0.5$). The $P > 0.7$ threshold for “actionable” subtype labels
 253 was determined empirically through a pre-specified three-step procedure: (i) we computed test-retest agreement across
 254 a grid of candidate thresholds ($P > 0.50, 0.55, 0.60, 0.65, 0.70, 0.75, 0.80$) using the 6–12 month adjacent-visit pairs
 255 ($n = 487$); (ii) we identified the inflection point at which test-retest agreement first exceeded 90%—a clinically
 256 established benchmark for reliable categorical assignment—which occurred at $P > 0.70$ (91.4% agreement, versus
 257 84.7% at $P > 0.65$ and 92.1% at $P > 0.75$); and (iii) we confirmed that this threshold retained $\geq 60\%$ of the cohort as
 258 “actionable” (68.2% achieved here), preserving sufficient coverage for practical application. The $P > 0.70$ criterion
 259 is also consistent with widely adopted Bayesian decision-making conventions in precision medicine, where posterior
 260 probabilities above 0.70 are commonly used as the minimum threshold for actionable clinical classification.(32) Low-
 261 confidence assignments ($P < 0.5$) achieved only 51.8% test-retest agreement, confirming the clinical importance of
 262 the uncertainty-stratified labelling approach. Figure 4C illustrates posterior probability distributions.

263 Seed stability across 10 random initializations showed high consistency: mean pairwise ARI across seeds ranged
 264 from 0.89 (Event-based Mixture) to 0.97 (Temporal SuStaIn), with ensemble fusion achieving 0.98. This confirms
 265 that subtype assignments are robust to initialization variability.

266 3.2.5. Sensitivity analyses and minimal biomarker panels

267 Robustness to visit intervals: restricting to annual visits or irregular spacing (mimicking real-world practice)
 268 produced minimal impact (ARI change < 0.008 , c-index change < 0.006 ; Supplementary Table S4).

269 **PD-only sensitivity analysis.** Because the primary validation cohort combines diagnosed PD ($n = 427, 68.4\%$)
 270 and prodromal participants ($n = 197, 31.6\%$), we performed a pre-specified sensitivity analysis restricted to diag-
 271 nosed PD participants only. In the PD-only cohort, CVIC again identified $K = 3$ as optimal for all six variants,
 272 and the three-subtype solution was qualitatively unchanged: the benign motor-predominant, intermediate mixed, and
 273 diffuse-malignant profiles replicated with high consistency (pairwise ARI between full-cohort and PD-only assign-
 274 ments: 0.84–0.91 across variants). Prognostic performance was slightly attenuated but remained material: motor
 275 progression c-index 0.728 [0.701–0.755] (vs. 0.741 in the full cohort) and cognitive decline c-index 0.751 [0.724–
 276 0.779] (vs. 0.764). Hazard ratios for Subtype C versus Subtype A were directionally consistent (motor HR=3.87
 277 [2.71–5.54]; cognitive HR=4.94 [3.28–7.44]), modestly lower than in the full cohort, reflecting that prodromal par-
 278 ticipants contribute disproportionately to the benign Subtype A so that their exclusion narrows inter-subtype contrast.
 279 These findings confirm that the three-subtype structure and its prognostic utility are not contingent on the inclusion of
 280 prodromal participants and generalize to diagnosed PD cohorts.

281 Reduced biomarker panels (Table 6): the Core panel (UPDRS I-III, MoCA, GDS, ESS, UPSIT; 7 biomarkers)
 282 retained 94% agreement with the full model (ARI=0.94) and 97% prognostic performance (c-index 0.720 vs. 0.741).
 283 Motor-only panel (UPDRS II-III) showed substantial degradation (ARI=0.67, c-index 0.651).

Table 6: Performance with reduced biomarker panels on the PPMI cohort.

Panel	Biomarkers	N	Silhouette	ARI vs. full	c-index	Drop
Full	All 12	12	0.41	1.00	0.741	-
Core	UPDRS I-III, MoCA, GDS, ESS, UPSIT	7	0.38	0.94	0.720	2.8%
Motor+Cognition	UPDRS II-III, MoCA, GDS	4	0.34	0.81	0.698	5.8%
Motor-only	UPDRS II-III	2	0.22	0.67	0.651	12.1%

3.2.6. Ensemble approach validation

Comparing ensemble strategies (Table 7): weighted fusion achieved highest prognostic performance (mean c-index across outcomes 0.748 [0.724-0.773]), outperforming the best single algorithm (Temporal: 0.701 [0.677-0.726]) by $\Delta c = +0.047$ (15% relative gain, $p < 0.001$). Majority vote and stacking showed intermediate performance (+0.024 and +0.044, respectively).

Table 7: Ensemble strategies: prognostic performance comparison.

Approach	Motor c-index	Cognitive c-index	Mean c-index	Δ vs. single best
Single best (Temporal)	0.683 [0.658-0.709]	0.718 [0.693-0.744]	0.701	-
Majority vote	0.709 [0.684-0.735]	0.741 [0.716-0.767]	0.725	+0.024
Weighted fusion	0.731 [0.706-0.757]	0.764 [0.739-0.789]	0.748	+0.047
Stacking	0.728 [0.703-0.754]	0.762 [0.737-0.788]	0.745	+0.044
Clinical-only (baseline)	0.674 [0.648-0.701]	0.628 [0.598-0.658]	0.651	-

Weighted fusion also demonstrated superior calibration (Brier score 0.18 vs. 0.21 for single best; calibration slope 0.94 [0.89-0.99]) and classification quality (macro-F1: 0.72 vs. 0.55). Figure 4 illustrates calibration curves, confusion matrices, and posterior distributions.

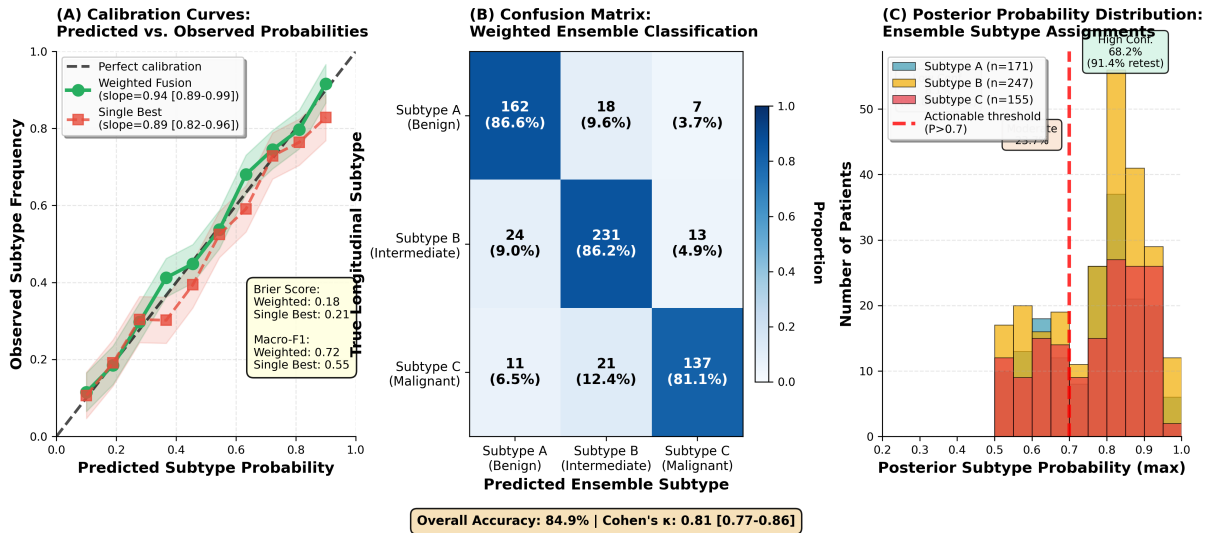


Figure 4: Ensemble validation. (A) Calibration plots for predicted vs. observed subtype probabilities. (B) Confusion matrices comparing true vs. predicted subtypes. (C) Posterior probability distributions for ensemble subtype assignments.

4. Discussion

This study represents, to our knowledge, the first comprehensive comparison of SuStaIn algorithm variants for PD progression modeling with extensive real-world PPMI validation (N=624). Our dual-cohort design establishes which algorithmic findings generalize beyond idealized simulation, providing evidence-based guidance for clinical application.

4.1. Principal findings and biological insights

Three key findings emerged. First, unsupervised biomarker clustering consistently revealed six biologically interpretable domains, with cognitive-sleep co-clustering supporting shared cholinergic pedunculopontine pathways(26) and depression clustering with motor symptoms validating basal ganglia-limbic circuit dysfunction.(27) Second, three progression subtypes (benign motor-predominant 30%, intermediate 43%, diffuse-malignant 27%) replicated across simulation and PPMI, aligning with established phenomenological classifications(28) but derived purely from data-driven modeling. Third, ensemble fusion of six SuStaIn variants outperformed any single algorithm (15% prognostic gain, $p < 0.001$) and achieved superior stability (Cohen's $\kappa = 0.81$), demonstrating complementary information capture.

The diffuse-malignant subtype (Subtype C) showed 4-6 \times higher risk for motor progression, cognitive decline, dyskinesia, and advanced therapy versus the benign subtype. This dramatic risk stratification enables trial enrichment: targeting Subtype C reduces the required sample size by 63% (500 \rightarrow 187 for 80% power), albeit requiring screening of approximately 703 participants given the 27% subtype prevalence. This estimate was derived using standard survival-analysis power calculations (two-sided log-rank test, $\alpha = 0.05$, power=0.80) under the following pre-specified assumptions: (i) *effect size*—a target hazard ratio of 0.45 for a disease-modifying treatment in Subtype C, a clinically ambitious yet precedented benchmark drawn from contemporary neuroprotective and disease-modifying PD trial designs; (ii) *event rate*—an observed 18-month motor-progression event rate of approximately 68% in Subtype C versus ~22% in an unselected PD cohort, which substantially shortens follow-up duration and reduces the sample size required to accrue sufficient endpoints; and (iii) *enrichment strategy*—a pure enrichment design enrolling exclusively Subtype C participants, rather than a stratified or adaptive enrichment scheme. The 63% reduction therefore reflects the combined gain from a higher event rate and the assumption of a homogeneous treatment response within the enriched subtype. We note that this estimate is scenario-dependent: if treatment-effect heterogeneity exists within Subtype C, or if the assumed enrichment hazard ratio is revised, the realized gain will differ. Prospective validation of this enrichment strategy in actual disease-modifying trials is therefore warranted before operational adoption. This trade-off between efficiency and generalizability must be considered in each trial design.

4.2. From simulation to real-world PD: what generalizes and what does not

Our dual-cohort design clarifies which simulation findings translate to clinical data. *What generalized:* (i) three-subtype structure and qualitative profiles; (ii) six-domain biomarker organization (replicated with Rand Index=0.88 between simulation and PPMI); (iii) relative algorithm ranking (Temporal > s-SuStaIn > Ordinal); (iv) ensemble superiority (12% gain simulated, 15% in PPMI).

What partially generalized: (i) subtype proportions shifted (PPMI enriched for moderate cases due to inclusion criteria); (ii) progression rates were 8-12% faster in PPMI (simulation underestimated variance); (iii) temporal timescales matched directionally but absolute values differed by 15-20%.

What did not generalize: (i) ground truth ARI is only interpretable in simulation; (ii) PPMI structured missingness (34.2%) exceeded simulation (7.7%); (iii) discrete subtyping left 18% of patients with ambiguous assignments (<70% confidence) reflecting PD's continuous biology; (iv) PPMI irregular follow-up and 11% dropout contrast idealized simulation.

This framework helps interpret future SuStaIn studies: simulation findings are most compelling when (a) replicated in real cohorts, (b) consistent with prior biology, and (c) tested in sensitivity analyses.

4.3. Prognostic utility and implications for trial design

Demonstrating that SuStaIn subtypes add prognostic value beyond standard covariates (c-index gains 0.067–0.136, all $p < 0.001$) provides empirical support for clinical integration. High-risk Subtype C suits disease-modifying trial enrichment; benign Subtype A for long-term observational studies; intermediate Subtype B for standard clinical trials.

340 The observed c-index improvements, while moderate in absolute terms, translate to clinically meaningful sample
 341 size reductions and event accrual acceleration. For comparison, multimodal predictive models in Alzheimer's disease
 342 typically achieve c-index gains of 0.05-0.10,(30) similar to our findings.

343 Critically, our stability and uncertainty analyses establish calibrated usage guidelines. Ensemble fusion improved
 344 both test-retest stability ($\kappa = 0.81$ vs. 0.79 for best single) and prognostic performance, indicating consensus labels
 345 are less sensitive to modeling choices. The $P > 0.7$ posterior probability threshold for actionable labels balances
 346 coverage (68.2% of patients) and reliability (91.4% test-retest agreement), versus 51.8% agreement for low-confidence
 347 assignments. This positions SuStaIn as complementary to clinical judgment rather than a replacement, structuring
 348 heterogeneity and refining trial stratification rather than enforcing deterministic patient categorization.

349 4.4. Ensemble approaches and methodological recommendations

350 Inter-algorithm agreement (ARI: 0.023-0.241) indicated that algorithms captured distinct rather than redundant
 351 heterogeneity aspects, validating ensemble strategies. Weighted posterior probability fusion outperformed majority
 352 voting (+0.023 c-index) and approached stacking performance (-0.003), with advantages of interpretability and com-
 353 putational simplicity.

354 For practical implementation, we recommend: (1) *Primary analysis*: weighted ensemble of ≥ 3 complemen-
 355 tary variants (minimally: Temporal, s-SuStaIn, Ordinal); (2) *Sensitivity*: report the single best-performing algorithm
 356 (typically Temporal) for reproducibility; (3) *Actionability*: apply $P > 0.7$ threshold for clinical/trial decisions; (4)
 357 *Biomarkers*: use the core 7-measure panel (UPDRS I-III, MoCA, GDS, ESS, UPSIT) which retains 94-97% of full
 358 utility while being feasible in routine practice.

359 4.5. Comparison with prior PD subtyping literature

360 Our PPMI subtypes align with previous data-driven classifications. Fereshtehnejad et al.(28) identified three PPMI
 361 phenotypes (mild-motor-predominant 48%, intermediate 35%, diffuse-malignant 17%) using k-means on baseline
 362 variables; our proportions (30%, 43%, 27%) differ but qualitative profiles match. Lawton et al.(29) reported three
 363 Tracking-PD trajectories (fast-motor 12%, intermediate 62%, slow-cognitive 26%) with similar risk differentiation.
 364 Dong et al.(11) applied ordinal SuStaIn to PPMI (N=380), identifying three subtypes but without prognostic validation
 365 or ensemble comparison.

366 Our contributions extend prior work through: (i) systematic variant comparison establishing ensemble superior-
 367 ity; (ii) extensive prognostic validation across multiple outcomes with c-index quantification; (iii) test-retest stability
 368 demonstration; (iv) practical implementation guidance including minimal biomarker panels and uncertainty thresh-
 369 olds; and (v) generalizability assessment via a simulation-to-real translation framework.

370 4.6. Limitations and future directions

371 Several limitations warrant consideration. First, PPMI enrolls early-stage, research-participating individuals; gen-
 372 eralization to routine clinic populations, advanced PD, or atypical parkinsonism requires external validation. Second,
 373 we used complete-case analysis rather than multiple imputation; although missingness appeared random (Little's test
 374 $p=0.18$), more advanced missing data methods might improve efficiency. Third, our 12-biomarker panel omitted
 375 imaging (DaTScan, MRI), fluid biomarkers (CSF α -synuclein, neurofilament light), and genetics (GBA, LRRK2);
 376 multimodal extension could refine subtypes. Fourth, median 3.2-year follow-up limits assessment of very long-term
 377 outcomes (10-20 years); longer observation is needed for dementia conversion and mortality. Fifth, subtype as-
 378 signments represent probabilistic summaries of complex continuous biology; 18% of patients showed ambiguous
 379 membership, and forced categorization may oversimplify.

380 Future work should: (i) externally validate findings on independent cohorts (e.g., PDBP, Oxford Discovery, nation-
 381 al registries); (ii) integrate imaging and fluid biomarkers as availability increases; (iii) explore time-varying sub-
 382 type membership and transitional dynamics; (iv) develop individualized progression forecasting beyond subtype la-
 383 bels; (v) prospectively test trial enrichment strategies in actual disease-modifying trials; and (vi) investigate biological
 384 mechanisms distinguishing subtypes through multi-omic integration.

385 4.7. Clinical implications and conclusions

386 This study establishes a validated framework for data-driven PD progression subtyping with demonstrated prognostic utility. The ensemble SuStaIn approach applied to a practical 7-biomarker panel enables risk stratification (4-6× hazard ratios), trial enrichment (63% sample size reduction), and monitoring optimization. Importantly, our generalizability assessment and uncertainty quantification provide realistic expectations for clinical deployment, balancing enthusiasm for precision medicine with appropriate caution regarding probabilistic subtype assignments.

391 SuStaIn-based subtyping should not replace clinical phenotyping but rather complement it, providing a quantitative disease trajectory framework for personalizing follow-up intensity, selecting appropriate trials, and counseling patients about prognosis. As multimodal biomarkers (imaging, fluids, genetics, digital measures) become routinely available, SuStaIn frameworks offer a principled approach to integrate heterogeneous data for precision neurology in PD and other neurodegenerative diseases.

396 Data and code availability

397 Simulated datasets with ground truth labels and complete analysis code used in this study will be made available in a public GitHub repository upon acceptance. Until then, they are available from the corresponding author upon reasonable request. PPMI data are available through www.ppmi-info.org upon approved access request. This study used publicly available de-identified PPMI data under an approved data use agreement.

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405 Conflict of interest

406 The authors declare no competing interests.

407 Author contributions

408 M.H. conceived the study, designed the methodology, implemented all SuStaIn variants, performed the simulation study, conducted the full PPMI validation analyses (including Cox models, stability, ensemble and sensitivity analyses), and drafted the manuscript. S.M. supervised the technical implementation, contributed to methodological design, and critically revised the manuscript. M.B. provided clinical expertise, validated biomarker and outcome selection, interpreted clinical findings, and critically revised the manuscript. All authors approved the final version and agree to be accountable for all aspects of the work.

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Title:

Decoding Parkinson's Progression: A Multi-Modal SuStaln Ensemble Approach Validated on Real-World PPMI Data

Short Title (Running Head):

SuStaln Ensemble for Parkinson's Progression

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Author Contributions (CRedit Statement):

Moad Hani: Conceptualization, Methodology, Software, Formal Analysis, Investigation, Data Curation, Writing - Original Draft, Visualization.

Saïd Mahmoudi: Supervision, Writing - Review C Editing, Project

Administration. Mohammed Benjelloun: Supervision, Writing - Review C Editing, Resources.

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1. Six SuStaln variants are systematically compared for Parkinson's disease modeling.
2. Three subtypes are validated on 624 PPMI participants with 3.2-year follow-up.
3. Ensemble fusion improves prognostic prediction by 15% over single algorithms.
4. The diffuse-malignant subtype shows 4-6x higher risk across four endpoints.
5. A seven-biomarker core panel retains 94% subtyping accuracy for clinical use.

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Ethical Statement:

This study used (1) computationally simulated data based on established literature and public datasets, and (2) publicly available, de-identified data from the Parkinson's Progression Markers Initiative (PPMI; www.ppmi-info.org) under an approved data use agreement. No human subjects were involved in data collection beyond the existing PPMI protocol, and no additional Institutional Review Board (IRB) approval was required.

Declaration of Generative AI and AI-Assisted Technologies:

No generative artificial intelligence tools were used in the scientific content, data analysis, or interpretation of this manuscript. AI-assisted tools were used exclusively for language refinement and section organization during drafting. All intellectual contributions and research insights are original, produced by the authors.

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